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STS Intermacs

Quarterly Quality Assurance Report (2021 Q2) - Prior VAD Patients

HOSPX - DEMO SITE REPORT

Implant and event dates: January 1, 2020 to June 30, 2021

09/30/2021

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About This Report

The "Recent" version of the Quality Assurance report focuses on a contemporary cohort of patients. This report includes patients whos initial VAD was implanted within the previous 6 quarters.

The "Prior VAD Patients" version of the report is limited to patients whose first device pre-implant form indicates prior VAD support.

Confidential

This report is intended only for STS Intermacs personnel at the hospital named on the cover of this report. Distribution of the report to other personnel within the hospital is left to the discretion of the STS Intermacs site administrator and physician representative. The STS Intermacs data in this report may not be distributed to anyone outside of your hospital. STS Intermacs has a separate mechanism for the creation and distribution of STS Intermacs aggregate data.

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This quarterly report includes clinical information from 7 patients receiving implants between January 1, 2020 and June 30, 2021 at HOSPX - DEMO SITE REPORT.

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I. Introduction and Methods

I.A. Purpose of this Report

As specified by the STS Intermacs Leadership, the primary goals of the registry are:

- Facilitate the refinement of patient selection to maximize outcomes with current and new device options.
- Identify predictors of good outcomes as well as risk factors for adverse events after device implantation.
- Develop consensus best practice guidelines to improve clinical management by reducing short and long term complications of MCSD therapy.
- Guide clinical application and evolution of next generation devices.

• Utilize Registry information to guide improvements in technology, particularly as next generation devices evolve.

Consistent with these goals, we have greatly expanded our site reports to move towards these goals at the hospital and patient level. Specifically, these reports will provide information in the following areas:

- Statistical Summaries and Benchmarks for Quality Assurance
- Patient Level Data
- Registry Compliance
- Data Quality Queries

I.B. Source of Data and Limitations

The data in this report are based on all data entered into the STS Intermacs web-based data application through June 30, 2021. Patient enrollment in STS Intermacs began on June 23, 2006 and retrospective entry of patients with implants after March 1, 2006 was allowed. Your institution may have joined STS Intermacs at a later date and therefore your patient enrollment may have begun at a later date. This report is restricted to your patients who were implanted after your enrollment date and received a primary implant.

STS Intermacs is a registry that strives to meet the data quality standards of a prospective clinical trial. STS Intermacs is an on-going registry and therefore data quality efforts will always have an associated lag time.

As you review the data from your hospital that are contained in this report, you may find some information that appears incorrect or inconsistent. Please remember that this report is a direct function of the data that has been entered at your hospital. Therefore, please check any data issues with your online submitted data. The only caveat to this is that any corrections that you have made to your data since June 30, 2021 will not appear in this report. They will appear in the next quarterly report.

A registry that collects data on mechanical circulatory assist devices is necessarily complex. This complexity is the result of the support that the device provides (left ventricular support, right ventricular support, biventricular support, total heart support) and the potential for multiple exchanges within a patient. The major outcomes are just as complex because the standard outcome of death must be considered in the presence of transplantation and explant due to recovery of the heart. We have organized this report so that the pre-implant data represents all prospective device implants of LVAD, BiVAD or TAH. This is based on the organization of the STS Intermacs web-based data entry and will allow a complete picture of the patients and devices entered for your hospital.

I.C. Statistical Summaries and Benchmarks for Quality Assurance

A primary purpose of this report is to provide statistical summaries of patients enrolled at the local hospital and to provide side-by-side comparisons of the hospital data with the data from the entire STS Intermacs Registry. Therefore these reports will provide the data information component of quality assurance where the hospital can compare both their patient mix with a national standard and will also be able to compare their outcomes after device implant with national results.

STS Intermacs is a valuable source of benchmarking according to what is occurring across the country in the MCSD community. This benchmark is not intended to imply good practice or bad practice but rather allows the hospital to compare the characteristics of their implanted patients with the patients from a national database.

I.D. Registry Compliance

Each hospital is evaluated for compliance and data entry.

I.E. Future Reports

As previously stated, this report is a major step in greatly expanded quarterly reports from STS Intermacs. We will continue expansion of this report which will be the results of our pre-specified plans and feedback from the MCSD community.

Some of our specific plans include statistical summaries according to primary and secondary implants and also according to adult and pediatric patients. We also plan to add a section that summarizes the results of the initial screening log. This will include a frequency of the reasons for failing to obtain informed consent and a comparison to the national experience.

I.F. Report Coverage - Inclusion / Exclusion Criteria

Starting 2020 Q4 the INTERMACS reports have been restructured to provide information from ALL patients enrolled in INTERMACS. To organize the information a series of reports is provided to compare patient characteristics and outcomes of different kinds of patients at your site with the aggregate INTERMACS cohort. The following report versions are created:

- Cumulative All Patients
- Cumulative Primary Patients
- Cumulative Prior VAD Patients
- Recent All Patients
- Recent Primary Patients
- Recent Prior VAD Patients

The CUMULATIVE report versions includes patients enrolled throughout the entire registry and is intended to provide a comprehensive set of long term outcomes. The RECENT report is limited to patients enrolled within the last rolling 6 quarters and provides information on contemporary patients.

The versions of the reports generated for ALL PATIENTS include all patients implanted within the report coverage dates. The PRIMARY PATIENTS versions are limited to patients that do not have a history of prior VAD reported on the pre-implant form of their first INTERMACS enrolled implant. The PRIOR VAD PATEINTS reports are limited to patients in which a prior VAD is indicated on their first enrolled pre-implant form.

There are a few administrative scenarios in which patients are excluded from the CUMULATIVE – ALL PATIENTS Report in order facilitate accurate comparisons to the aggregate INTERMACS data. The following patients are removed from all analyses:

- Retrospective Patients the patient's initial implant date is prior to your site's activation in INTERMACS
- Implant, Pre-Implant, or Patient form not validated patients missing a fully submitted implant, pre-implant, or patient form are not included because key data elements used in the reporting may be missing.
- Patient Implant Date Outside of Report Coverage Patients implanted prior to the start of data collection June 23, 2006 or after the report coverage stop date December 31, 2020 are not include in the report.
- Pediatric Patients (Age <19 years at implant

Patients that meet these exclusion criteria are listed in "Exhibit 49: Patients Implanted at your Center but Excluded from the Cumulative - All Patient Report

The accompanying Data Quality Report lists all patients enrolled in STS Intermacs at your site.

Transfer patients and their subsequent experience are analyzed in the report for the site that implanted the patients first device enrolled in INTERMACS.

II. Statistical Summaries and Benchmarks for Quality Assurance

The first part of this section contains summary statistics of patients implanted (and enrolled in STS Intermacs) at your hospital. As a benchmark, the corresponding summary statistics for the entire Intermacs Registry are also provided. For explanations and/or definitions of the data elements go to the STS Intermacs User's Guide www.intermacs.org and select 'Intermacs Appendices', Appendix M/User's Guide'.

The summary statistics for continous variables includes N (the number of patients with data), MEAN (the average value), STD (standard deviation), MIN (the smallest value), and MAX (the largest value).

Exhibit 1: Device Counts for Patients in this Report

The following tables list the number of implants received by patients meeting the inclusion criteria for this report. Subsequent exhibits are based on a patient level analysis. Characteristics are reported at the time of first implant. Unless noted otherwise, outcomes and follow-up include all information reported to INTERMACS for the patient.

NOTE: For most patients a second device indicates a device exchange. However, this count also includes the patients who receive a subsequent RVAD.

	IMPL	ANT DATE PE	RIOD	
Device	2020 Q3	2020 Q4	2021 Q2	TOTAL
	n	n	n	n
Primary Device	1	3	3	7
2nd Device	0	0	0	0
3rd Device	0	0	0	0
4th Device	0	0	0	0
5th Device	0	0	0	0

GROUP=HOSPX-9999

GROUP=STS Intermacs

			IMPLANT DA	TE PERIOD			
Device	2020 Q1	2020 Q2	2020 Q3	2020 Q4	2021 Q1	2021 Q2	TOTAL
	n	n	n	n	n	n	n
Primary Device	66	64	78	91	109	88	496
2nd Device	2	4	3	4	6	2	21
3rd Device	0	1	0	1	1	0	3
4th Device	0	0	0	0	0	0	0
5th Device	0	0	0	0	0	0	0

II.A. Pre-Implant Summaries - Demographics

Exhibit 2: Age Group

The following tables summarize age groups at your site and STS Intermacs over time.

		HOSPX-9999										
AGE GROUP (yr)	2020 Q3		2020 Q4		202 ²	1 Q2	тот	ΓAL				
	n	%	n	%	n	%	n	%				
19-39	1	100.0 %					1	14.2 %				
40-59			1	33.3 %			1	14.2 %				
60-79			2	66.6 %	3	100.0 %	5	71.4 %				
80+												
TOTAL	1	100.0 %	3	100.0 %	3	100.0 %	7	100.0 %				

		STS Intermacs												
AGE GROUP (yr)	2020 Q1		2020 Q2		202	2020 Q3		2020 Q4		l Q1	2021 Q2		TOTAL	
	n	%	n	%	n	%	n	%	n	%	n	%	n	%
19-39	9	13.6 %	12	18.7 %	12	15.3 %	12	13.1 %	12	11.0 %	7	7.9 %	64	12.9 %
40-59	27	40.9 %	28	43.7 %	25	32.0 %	43	47.2 %	53	48.6 %	35	39.7 %	211	42.5 %
60-79	29	43.9 %	23	35.9 %	41	52.5 %	36	39.5 %	44	40.3 %	45	51.1 %	218	43.9 %
80+	1	1.5 %	1	1.5 %						-	1	1.1 %	3	0.6 %
TOTAL	66	100.0 %	64	100.0 %	78	100.0 %	91	100.0 %	109	100.0 %	88	100.0 %	496	100.0 %

II.A. Pre-Implant Summaries - Demographics

Exhibit 3: Gender

The following tables summarize gender at your site and STS Intermacs over time.

		HOSPX-9999											
GENDER	202	0 Q3	2020	0 Q4	202 ⁻	1 Q2	TOTAL						
	n	%	n	%	n	%	n	%					
Female			1	33.3 %			1	14.2 %					
Male	1	100.0 %	2	66.6 %	3	100.0 %	6	85.7 %					
TOTAL	1	100.0 %	3	100.0 %	3	100.0 %	7	100.0 %					

							STS Int	ermacs						
GENDER	2020 Q1		2020 Q2		2020 Q3		2020 Q4		2021 Q1		2021 Q2		TOTAL	
	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Female	18	27.2 %	11	17.1 %	16	20.5 %	15	16.4 %	31	28.4 %	24	27.2 %	115	23.1 %
Male	48	72.7 %	53	82.8 %	62	79.4 %	76	83.5 %	78	71.5 %	64	72.7 %	381	76.8 %
TOTAL	66	100.0 %	64	100.0 %	78	100.0 %	91	100.0 %	109	100.0 %	88	100.0 %	496	100.0 %

II.A. Pre-Implant Summaries - Demographics

Exhibit 4: Race

The following tables summarize race at your site and STS Intermacs over time. The coordinator may check all that apply.

		HOSPX-9999											
RACE	202	0 Q3	2020) Q4	202 ⁻	1 Q2	TOTAL						
	n	%	n	%	n	%	n	%					
African American	1	100.0 %	1	33.3 %			2	28.5 %					
Other, Unknown, Undisclosed			-										
White			2	66.6 %	3	100.0 %	5	71.4 %					
TOTAL	1	100.0 %	3	100.0 %	3	100.0 %	7	100.0 %					

		STS Intermacs												
RACE	2020 Q1		2020 Q2		2020 Q3		2020 Q4		2021 Q1		2021 Q2		TOTAL	
	n	%	n	%	n	%	n	%	n	%	n	%	n	%
African American	17	25.7 %	17	26.5 %	17	21.7 %	20	21.9 %	29	26.6 %	31	35.2 %	131	26.4 %
Other, Unknown, Undisclosed	6	9.0 %	5	7.8 %	9	11.5 %	20	21.9 %	11	10.0 %	7	7.9 %	58	11.6 %
White	43	65.1 %	42	65.6 %	52	66.6 %	51	56.0 %	69	63.3 %	50	56.8 %	307	61.8 %
TOTAL	66	100.0 %	64	100.0 %	78	100.0 %	91	100.0 %	109	100.0 %	88	100.0 %	496	100.0 %

II.A. Pre-Implant Summaries - Demographics

Exhibit 5: Hispanic Ethnicity

The following tables summarize Hispanic ethnicity at your site and STS Intermacs over time.

		HOSPX-9999											
HISPANIC ETHNICITY	2020 Q3		2020 Q4		2021	I Q2	TOTAL						
	n	%	n	%	n	%	n	%					
Non-Hispanic			3	100.0 %	3	100.0 %	6	85.7 %					
Unknown						-							
Hispanic	1	100.0 %				-	1	14.2 %					
TOTAL	1	100.0 %	3	100.0 %	3	100.0 %	7	100.0 %					

							STS Int	ermacs						
HISPANIC ETHNICITY	2020 Q1		2020 Q2		2020	2020 Q3		2020 Q4		2021 Q1		1 Q2	TOTAL	
	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Non-Hispanic	59	89.3 %	60	93.7 %	66	84.6 %	76	83.5 %	96	88.0 %	80	90.9 %	437	88.1 %
Unknown	2	3.0 %	1	1.5 %	2	2.5 %	7	7.6 %	2	1.8 %	1	1.1 %	15	3.0 %
Hispanic	5	7.5 %	3	4.6 %	10	12.8 %	8	8.7 %	11	10.0 %	7	7.9 %	44	8.8 %
TOTAL	66	100.0 %	64	100.0 %	78	100.0 %	91	100.0 %	109	100.0 %	88	100.0 %	496	100.0 %

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 6. BSA

The following tables summarize body surface area (BSA) at your site and STS Intermacs over time. BSA is a variable that is calculated based on the patient's height and weight. The Boyd calculation is used in this analysis.

PRE-IMPLANT BSA	2020 Q3	2020 Q4	2021 Q2	TOTAL
Ν	1	3	3	7
Mean	2.14	2.19	2.02	2.11
Std		0.47	0.16	0.30
Min	2.14	1.87	1.91	1.87
Max	2.14	2.73	2.20	2.73

GROUP=HOSPX-9999

GROUP=STS Intermacs

 IPLANT SA	2020 Q1	2020 Q2	2020 Q3	2020 Q4	2021 Q1	2021 Q2	TOTAL
Ν	66	63	78	90	109	88	494
Mean	2.12	2.13	2.08	2.08	2.09	2.13	2.10
Std	0.33	0.37	0.32	0.28	0.33	0.28	0.32
Min	1.50	1.03	1.40	1.47	0.98	1.57	0.98
Max	3.07	3.05	2.95	2.76	2.88	2.89	3.07

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 7. Device Strategy

The following tables summarize pre-implant device strategy at your site and STS Intermacs over time.

	HOSPX-9999										
PRE-IMPLANT DEVICE STRATEGY	2020 Q3		202	0 Q4	202	1 Q2	TOTAL				
	n	%	n	%	n	%	n	%			
BTT - Listed											
BTT - Likely			1	33.3 %			1	14.2 %			
BTT - Moderate											
BTT - Unlikely											
Destination Therapy	1	100.0 %	2	66.6 %	3	100.0 %	6	85.7 %			
Bridge to Recovery											
Rescue Therapy											
Other											
TOTAL	1	100.0 %	3	100.0 %	3	100.0 %	7	100.0 %			

		STS Intermacs												
PRE-IMPLANT DEVICE STRATEGY	2020) Q1	2020) Q2	202) Q3	2020 Q4		2021 Q1		2021 Q2		TOTAL	
	n	%	n	%	n	%	n	%	n	%	n	%	n	%
BTT - Listed	8	12.1 %	2	3.1 %	4	5.1 %	4	4.3 %	8	7.3 %	7	7.9 %	33	6.6 %
BTT - Likely	3	4.5 %	5	7.8 %	6	7.6 %	4	4.3 %	5	4.5 %	7	7.9 %	30	6.0 %
BTT - Moderate	3	4.5 %	3	4.6 %	4	5.1 %	8	8.7 %	9	8.2 %	10	11.3 %	37	7.4 %
BTT - Unlikely		-	1	1.5 %	1	1.2 %	1	1.0 %	2	1.8 %	-		5	1.0 %
Destination Therapy	49	74.2 %	52	81.2 %	62	79.4 %	70	76.9 %	83	76.1 %	63	71.5 %	379	76.4 %
Bridge to Recovery		-	1	1.5 %			-		-	-	-		1	0.2 %
Rescue Therapy	1	1.5 %		-	1	1.2 %	2	2.1 %	-	-	-		4	0.8 %
Other	2	3.0 %					2	2.1 %	2	1.8 %	1	1.1 %	7	1.4 %
TOTAL	66	100.0 %	64	100.0 %	78	100.0 %	91	100.0 %	109	100.0 %	88	100.0 %	496	100.0 %

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 8. Patient Profile Levels

The following tables summarize pre-implant STS Intermacs patient profile levels at your site and STS Intermacs over time.

	HOSPX-9999										
PRE-IMPLANT PATIENT PROFILE	2020 Q3		2020) Q4	202	1 Q2	TOTAL				
	n	%	n	%	n	%	n	%			
1 Critical Cardiogenic Shock			1	33.3 %			1	14.2 %			
2 Progressive Decline			1	33.3 %	2	66.6 %	3	42.8 %			
3 Stable but Inotrope Dependent					1	33.3 %	1	14.2 %			
4 Resting Symptoms											
5 Exertion Intolerant											
6 Exertion Limited											
7 Advanced NYHA Class 3	1	100.0 %	1	33.3 %			2	28.5 %			
TOTAL	1	100.0 %	3	100.0 %	3	100.0 %	7	100.0 %			

	STS Intermacs													
PRE-IMPLANT PATIENT PROFILE	2020 Q1		2020) Q2	2020 Q3		2020 Q4		2021 Q1		2021 Q2		то	ΓAL
	n	%	n	%	n	%	n	%	n	%	n	%	n	%
1 Critical Cardiogenic Shock	31	46.9 %	34	53.1 %	32	41.0 %	38	41.7 %	52	47.7 %	33	37.5 %	220	44.3 %
2 Progressive Decline	21	31.8 %	23	35.9 %	27	34.6 %	31	34.0 %	36	33.0 %	29	32.9 %	167	33.6 %
3 Stable but Inotrope Dependent	9	13.6 %	5	7.8 %	12	15.3 %	16	17.5 %	12	11.0 %	18	20.4 %	72	14.5 %
4 Resting Symptoms	3	4.5 %	1	1.5 %	5	6.4 %	2	2.1 %	6	5.5 %	4	4.5 %	21	4.2 %
5 Exertion Intolerant		-			-		1	1.0 %			1	1.1 %	2	0.4 %
6 Exertion Limited	1	1.5 %			-		1	1.0 %			1	1.1 %	3	0.6 %
7 Advanced NYHA Class 3	1	1.5 %	1	1.5 %	2	2.5 %	2	2.1 %	3	2.7 %	2	2.2 %	11	2.2 %
TOTAL	66	100.0 %	64	100.0 %	78	100.0 %	91	100.0 %	109	100.0 %	88	100.0 %	496	100.0 %

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 9a. Medications - IV Inotropes Within 48 Hours of Implant

The following tables summarize IV inotrope therapy within 48 hours prior to implant at your site and STS Intermacs over time.

				H	OSPX-999	99				
IV INOTROPES		2020 Q3			2020 Q4		2021 Q2			
	Ν	Yes	%	N	Yes	%	Ν	Yes	%	
Dobutamine	0			3	0	0.0%	3	2	66.7%	
Dopamine	0			3	0	0.0%	3	0	0.0%	
Milrinone	0			3	2	66.7%	3	3	100.0%	
Levosimendan	0			3	0	0.0%	3	0	0.0%	
Epinephrine	0			3	1	33.3%	3	1	33.3%	
Norepinephrine	0			3	0	0.0%	3	1	33.3%	
Isoproterenol	0			3	0	0.0%	3	0	0.0%	
Other Specify	0			3	1	33.3%	3	0	0.0%	
Unknown	0			3	0	0.0%	3	0	0.0%	

									STS Int	ermacs								
IV INOTROPES		2020 Q1			2020 Q2			2020 Q3			2020 Q4			2021 Q1			2021 Q2	
	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%
Dobutamine	48	20	41.7%	54	22	40.7%	61	25	41.0%	65	34	52.3%	84	37	44.0%	71	31	43.7%
Dopamine	48	1	2.1%	54	4	7.4%	61	2	3.3%	65	2	3.1%	84	4	4.8%	71	6	8.5%
Milrinone	48	30	62.5%	54	32	59.3%	61	37	60.7%	65	39	60.0%	84	55	65.5%	71	44	62.0%
Levosimendan	48	0	0.0%	54	0	0.0%	61	0	0.0%	65	0	0.0%	84	1	1.2%	71	0	0.0%
Epinephrine	48	6	12.5%	54	9	16.7%	61	16	26.2%	65	18	27.7%	84	20	23.8%	71	21	29.6%
Norepinephrine	48	6	12.5%	54	10	18.5%	61	13	21.3%	65	6	9.2%	84	27	32.1%	71	16	22.5%
Isoproterenol	48	0	0.0%	54	0	0.0%	61	0	0.0%	65	0	0.0%	84	0	0.0%	71	0	0.0%
Other Specify	48	0	0.0%	54	0	0.0%	61	0	0.0%	65	1	1.5%	84	0	0.0%	71	1	1.4%
Unknown	48	0	0.0%	54	0	0.0%	61	0	0.0%	65	1	1.5%	84	0	0.0%	71	0	0.0%

IV INOTROPES	H	OSPX-999	99	STS Intermacs				
IV INUTROPES	Ν	Yes	%	Ν	Yes	%		
Dobutamine	6	2	33.3%	383	169	44.1%		
Dopamine	6	0	0.0%	383	19	5.0%		
Milrinone	6	5	83.3%	383	237	61.9%		
Levosimendan	6	0	0.0%	383	1	0.3%		
Epinephrine	6	2	33.3%	383	90	23.5%		
Norepinephrine	6	1	16.7%	383	78	20.4%		
Isoproterenol	6	0	0.0%	383	0	0.0%		

(Continued)

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 9a. Medications - IV Inotropes Within 48 Hours of Implant

IV INOTROPES	H	OSPX-99	99	STS Intermacs				
IV INOTROPES	Ν	Yes	%	Ν	Yes	%		
Other Specify	6	1	16.7%	383	2	0.5%		
Unknown	6	0	0.0%	383	1	0.3%		

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 9b. Medications - History and Current Use

The following tables compare the history and current use of specific medications prior to implant at your site and STS Intermacs.

ACE INHIBITORS	HOSP	X-9999	STS Intermacs				
ACE INHIBITORS	N	%	Ν	%			
Currently using	1	25.0	23	6.7			
Known previous use (within past year)	2	50.0	65	19.0			
No		•	210	61.4			
Unknown	1	25.0	44	12.9			
TOTAL	4	100	342	100			

ALDOSTERONE ANTAGONIST	HOSP	X-9999	STS Intermacs			
ANTAGONIST	N	%	N	%		
Currently using	1	25.0	82	24.0		
Known previous use (within past year)	2	50.0	81	23.7		
No			149	43.6		
Unknown	1	25.0	30	8.8		
TOTAL	4	100	342	100		

ALLOPURINOL⁺	HOSP	X-9999	STS Intermacs				
ALLOPURINUL	N	%	N	%			
Currently using	1	25.0	27	7.9			
Known previous use (within past year)			14	4.1			
No	2	50.0	266	77.8			
Unknown	1	25.0	35	10.2			
TOTAL	4	100	342	100			

AMIODARONE	HOSP	X-9999	STS Intermacs			
AWIODARONE	N	%	N	%		
Currently using	2	50.0	152	44.4		
Known previous use (within past year)			42	12.3		
No	2	50.0	129	37.7		
Unknown	-	-	19	5.6		
TOTAL	4	100	342	100		

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 9b. Medications - History and Current Use

ANGIOTENSIN RECEPTOR BLOCKER	HOSP	X-9999	STS Intermacs		
RECEPTOR BLOCKER	N	%	Ν	%	
Currently using			26	7.6	
Known previous use (within past year)			79	23.1	
No	3	75.0	204	59.6	
Unknown	1	25.0	33	9.6	
TOTAL	4	100	342	100	

ANTIPLATELET THERAPY DRUG	HOSP	X-9999	STS Intermacs		
THERAPT DRUG	N	%	N	%	
Currently using	4	100	209	61.1	
Known previous use (within past year)			49	14.3	
No	-		69	20.2	
Unknown	-	-	15	4.4	
TOTAL	4	100	342	100	

BETA BLOCKERS	HOSP	X-9999	STS Intermacs		
BETA BLUCKERS	N	%	N	%	
Currently using	2	50.0	92	26.9	
Known previous use (within past year)	1	25.0	127	37.1	
No			95	27.8	
Unknown	1	25.0	28	8.2	
TOTAL	4	100	342	100	

WARFARIN (COUMADIN)	HOSP	X-9999	STS Intermacs		
(COOMADIN)	N	%	Ν	%	
Currently using	2	50.0	35	10.2	
Known previous use (within past year)	1	25.0	37	10.8	
No	1	25.0	243	71.1	
Unknown		-	27	7.9	
TOTAL	4	100	342	100	

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 9b. Medications - History and Current Use

NESIRITIDE	HOSP	X-9999	STS Intermacs		
NESIKITIDE	N	%	N	%	
No	1	100	208	91.2	
Unknown			19	8.3	
Yes			1	0.4	
TOTAL	1	100	228	100	

NITRIC OXIDE	HOSP	X-9999	STS Intermacs		
NTRIC OXIDE	N	%	Ν	%	
No	2	50.0	296	86.5	
Unknown	•	•	30	8.8	
Yes	2	50.0	16	4.7	
TOTAL	4	100	342	100	

LOOP DIURETICS	HOSP	X-9999	STS Intermacs		
LOOP DIORETICS	N	%	N	%	
No			83	24.3	
Unknown	1	25.0	12	3.5	
Yes	3	75.0	247	72.2	
TOTAL	4	100	342	100	

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 9b. Medications - History and Current Use

PRE-IMPLANT LOOP DIURETIC TYPE⁺		HOSPX-9999			STS Intermacs	
TTPE	Ν	Yes	%	N	Yes	%
Furosemide	3	3	100.0%	242	112	46.28%
Torsemide	3	0	0.00%	242	61	25.21%
Bumetanide	3	0	0.00%	242	71	29.34%
Other	3	0	0.00%	242	0	0.00%

	HOSPX-9999		STS Intermacs	
THERAPY (CRT)*	Ν	%	N	%
No			164	72.2
Unknown	1	100	14	6.2
Yes			49	21.6
TOTAL	1	100	227	100

METALOZONE / THIAZIDE ⁺	HOSP	X-9999	STS Intermacs		
INAZIDE	N	%	N	%	
No	2	50.0	264	77.2	
Unknown	2	50.0	24	7.0	
Yes			54	15.8	
TOTAL	4	100	342	100	

METALOZONE / THIAZIDE - FREQUENCY ⁺	STS Int	ermacs
FREQUENCT	N	%
Regular	16	29.6
Intermittent	38	70.4
TOTAL	54	100

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 10. Laboratories

The following tables summarize pre-implant laboratories at your site and STS Intermacs.

		HOSPX-9999	STS Intermacs
Sodium (mEq/L)	Ν	4	342
	Mean	138.50	135.17
	Std	1.91	4.99
	Min	137.00	122.00
	Max	141.00	152.00
Potassium (mEq/L)	Ν	4	342
	Mean	3.88	4.09
	Std	0.36	0.46
	Min	3.60	2.90
	Max	4.40	5.80
BUN (mg/dL)	Ν	4	342
	Mean	28.25	29.67
	Std	12.42	17.11
	Min	10.00	5.00
	Max	37.00	138.00
Creatinine (mg/dL)	Ν	4	342
	Mean	1.39	1.37
	Std	0.70	0.71
	Min	0.91	0.30
	Max	2.42	5.17
Alanine Aminotransferase/ALT (u/L)	Ν	4	339
	Mean	26.25	50.62
	Std	25.88	91.87
	Min	8.00	3.00
	Max	64.00	1233.00
Aspartate Aminotransferase/AST (u/L)	Ν	4	338
	Mean	23.00	59.38
	Std	5.42	94.34
	Min	19.00	2.00
	Max	31.00	1094.00
LDH (µkat/L), (U/L)	Ν	4	308
	Mean	1355.00	620.11
	Std	1698.95	620.65
	Min	296.00	39.00
	Max	3892.00	
All	N	7	496

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 10. Laboratories

PRE-IMPLANT LABORATORI	ES	HOSPX-9999	STS Intermacs
Total Bilirubin (mg/dL)	Ν	4	339
	Mean	2.23	1.73
	Std	2.04	2.02
	Min	0.60	0.10
	Max	5.00	15.60
Albumin (g/dL)	N	4	338
	Mean	3.73	3.12
	Std	0.30	0.65
	Min	3.40	0.20
	Max	4.10	6.50
Pre-albumin (mg/dL)	N	4	190
	Mean	22.50	15.56
	Std	9.87	7.17
	Min	15.00	3.90
	Max	37.00	41.00
Total Cholesterol (mg/dL)	N	4	204
	Mean	120.00	117.40
	Std	26.75	40.87
	Min	94.00	53.00
	Max	157.00	284.00
Brain Natriuretic Peptide (pg/mL)	N	0	128
	Mean	-	1401.70
	Std	-	1218.59
	Min	_	2.00
	Max	_	7319.00
Pro Brain Natriuretic Peptide (pg/mL)	N	2	143
	Mean	3299.50	8576.15
	Std	463.15	9106.04
	Min	2972.00	106.00
	Max	3627.00	51420.00
White Blood Cell Count (x10/µL)	N	4	342
	Mean	7.58	10.29
	Std	1.46	4.41
	Min	5.60	3.09
	Max	9.00	30.50
Hemoglobin (g/dL)	N	4	342
	Mean	8.98	9.19
	Std	0.58	1.75
	Min	8.20	0.85
	Max	9.60	15.50
All	N	7	496

II.A. Pre-Implant Summaries - Clinical Characteristics

Exhibit 10. Laboratories

PRE-IMPLANT LABOR	ATORIES	HOSPX-9999	STS Intermacs
Platelets (x10/µL)	Ν	4	342
	Mean	185.75	164.70
	Std	66.51	73.44
	Min	122.00	10.00
	Max	272.00	497.00
INR	Ν	4	326
	Mean	1.28	1.31
	Std	0.29	0.36
	Min	1.02	0.90
	Max	1.70	3.35
Sensitivity CRP (mg/L)	Ν	0	112
	Mean	-	37.37
	Std	-	52.73
	Min	-	0.09
	Max	-	246.60
All	Ν	7	496

PRE-IMPLANT LUPUS ANTICOAGULANT STATUS⁺	HOSP	X-9999	STS Int	ermacs
STATUS	N	%	N	%
Negative			39	11.4
Positive		-	8	2.3
Unknown	4	100	295	86.3
TOTAL	4	100	342	100

II.A. Pre-Implant Summaries - Comorbidities and Potential Barriers to Heart Transplant

Exhibit 11. Pre-Implant Comorbidities

The following tables compares pre-implant comorbidities at the time of durable MCSD implant between your site and STS Intermacs over time. This section was revised during the v6.0 launch (11/24/20) and includes mapped data from patients implanted 2012 and later. Information on Prior Sternotomy and History of Hematopoietic Cancer is currently being mapped and will be available in the reports starting next quarter.

	HOSPX-9999													
Cardiothoracic issues		2020 Q3			2020 Q4			2021 Q2						
	Ν	Yes	%	N	Yes	%	N	Yes	%					
Frequent ICD Shocks	1	0	0.0%	2	0	0.0%	0							
Chronic Lung Disease	1	0	0.0%	3	1	33.3%	0							
Pulmonary Hypertension	1	0	0.0%	3	2	66.7%	0							
Recent Pulmonary Embolus	1	0	0.0%	2	0	0.0%	0							
History of Atrial Arrhythmia	1	0	0.0%	2	0	0.0%	0							
Thoracic Aortic Disease	1	0	0.0%	3	0	0.0%	0							

									STS Int	ermacs								
Cardiothoracic issues		2020 Q1			2020 Q2			2020 Q3			2020 Q4			2021 Q1			2021 Q2	
	Ν	Yes	%	Ν	Yes	%	N	Yes	%	Ν	Yes	%	N	Yes	%	Ν	Yes	%
Frequent ICD Shocks	65	1	1.5%	64	2	3.1%	77	2	2.6%	86	8	9.3%	48	2	4.2%	0	-	-
Chronic Lung Disease	65	2	3.1%	64	5	7.8%	77	8	10.4%	86	11	12.8%	47	6	12.8%	0	-	-
Pulmonary Hypertension	65	10	15.4%	64	8	12.5%	75	10	13.3%	84	24	28.6%	46	23	50.0%	0	-	-
Recent Pulmonary Embolus	65	2	3.1%	64	1	1.6%	77	2	2.6%	85	5	5.9%	49	2	4.1%	0	-	-
History of Atrial Arrhythmia	65	14	21.5%	64	13	20.3%	76	13	17.1%	84	30	35.7%	49	23	46.9%	0		-
Thoracic Aortic Disease	65	0	0.0%	64	0	0.0%	76	1	1.3%	85	1	1.2%	49	1	2.0%	0		-

Cardiothoracic issues	H	OSPX-99	99	ST	S Interma	ics
Cardiothoracic issues	N	Yes	%	N	Yes	%
Frequent ICD Shocks	3	0	0.0%	340	15	4.4%
Chronic Lung Disease	4	1	25.0%	339	32	9.4%
Pulmonary Hypertension	4	2	50.0%	334	75	22.5%
Recent Pulmonary Embolus	3	0	0.0%	340	12	3.5%
History of Atrial Arrhythmia	3	0	0.0%	338	93	27.5%
Thoracic Aortic Disease	4	0	0.0%	339	3	0.9%

II.A. Pre-Implant Summaries - Comorbidities and Potential Barriers to Heart Transplant

Exhibit 11. Pre-Implant Comorbidities

	HOSPX-9999														
Nutritional/GI issues		2020 Q3			2020 Q4		2021 Q2								
	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%						
Severe Diabetes	1	0	0.0%	3	1	33.3%	0								
Malnutrition/Cachexia	1	0	0.0%	3	1	33.3%	0								
History GI Ulcers	1	0	0.0%	3	1	33.3%	0								
Liver Dysfunction	1	0	0.0%	2	0	0.0%	0								
Hepatitis	1	0	0.0%	3	0	0.0%	0								

		STS Intermacs																
Nutritional/GI issues		2020 Q1			2020 Q2			2020 Q3			2020 Q4			2021 Q1			2021 Q2	
	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%	N	Yes	%	Ν	Yes	%
Severe Diabetes	65	5	7.7%	64	9	14.1%	77	14	18.2%	87	17	19.5%	47	10	21.3%	0		
Malnutrition/Cachexia	65	0	0.0%	64	2	3.1%	76	3	3.9%	85	7	8.2%	49	7	14.3%	0		
History GI Ulcers	65	0	0.0%	64	0	0.0%	77	0	0.0%	85	3	3.5%	48	3	6.3%	0		
Liver Dysfunction	65	1	1.5%	64	3	4.7%	77	5	6.5%	85	9	10.6%	49	4	8.2%	0		
Hepatitis	65	0	0.0%	64	0	0.0%	77	1	1.3%	87	1	1.1%	48	2	4.2%	0		

Nutritional/GI issues	H	OSPX-99) 9	STS Intermacs					
Nutritional/GLISSues	Ν	Yes	%	N	Yes	%			
Severe Diabetes	4	1	25.0%	340	55	16.2%			
Malnutrition/Cachexia	4	1	25.0%	339	19	5.6%			
History GI Ulcers	4	1	25.0%	339	6	1.8%			
Liver Dysfunction	3	0	0.0%	340	22	6.5%			
Hepatitis	4	0	0.0%	341	4	1.2%			

II.A. Pre-Implant Summaries - Comorbidities and Potential Barriers to Heart Transplant

Exhibit 11. Pre-Implant Comorbidities

	HOSPX-9999													
Vascular issues		2020 Q3			2020 Q4			2021 Q2						
	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%					
Heparin-induced Thrombocytopenia	1	0	0.0%	3	0	0.0%	0		-					
Chronic Coagulopathy	1	0	0.0%	3	0	0.0%	0		-					
Cerebrovascular Disease	1	0	0.0%	3	0	0.0%	0		-					
Major Stroke	0		-	1	0	0.0%	0		-					
Peripheral Arterial Disease	1	0	0.0%	3	0	0.0%	0		-					

									STS Int	ermacs								
Vascular issues		2020 Q1			2020 Q2			2020 Q3			2020 Q4			2021 Q1			2021 Q2	
	N	Yes	%	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%
Heparin-induced Thrombocytopenia	65	2	3.1%	64	2	3.1%	77	5	6.5%	83	4	4.8%	41	0	0.0%	0		
Chronic Coagulopathy	65	1	1.5%	64	1	1.6%	76	2	2.6%	84	3	3.6%	40	1	2.5%	0		
Cerebrovascular Disease	65	3	4.6%	64	9	14.1%	77	4	5.2%	86	6	7.0%	48	11	22.9%	0		
Major Stroke	65	3	4.6%	63	6	9.5%	67	1	1.5%	39	5	12.8%	11	10	90.9%	0		
Peripheral Arterial Disease	65	3	4.6%	64	4	6.3%	76	3	3.9%	87	4	4.6%	47	6	12.8%	0		-

Vascular issues	H	OSPX-99	99	STS Intermacs					
Vascular Issues	Ν	Yes	%	N	Yes	%			
Heparin-induced Thrombocytopenia	4	0	0.0%	330	13	3.9%			
Chronic Coagulopathy	4	0	0.0%	329	8	2.4%			
Cerebrovascular Disease	4	0	0.0%	340	33	9.7%			
Major Stroke	1	0	0.0%	245	25	10.2%			
Peripheral Arterial Disease	4	0	0.0%	339	20	5.9%			

II.A. Pre-Implant Summaries - Comorbidities and Potential Barriers to Heart Transplant

Exhibit 11. Pre-Implant Comorbidities

	HOSPX-9999										
Oncology/infection issues		2020 Q3			2020 Q4		2021 Q2				
	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%		
History Solid Organ Cancer	1	0	0.0%	3	1	33.3%	0	-			
Currently has Cancer v6	1	0	0.0%	2	0	0.0%	0	-			
History of Solid Organ Transplant v6	1	0	0.0%	2	0	0.0%	0	-			
History of Bone Marrow Transplant (BMT)	1	0	0.0%	3	0	0.0%	0	-			
HIV	0			1	0	0.0%	0	-			

									STS Int	ermacs								
Oncology/infection issues		2020 Q1		2020 Q2			2020 Q3		2020 Q4			2021 Q1			2021 Q2			
	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%
History Solid Organ Cancer	65	2	3.1%	64	3	4.7%	77	4	5.2%	86	3	3.5%	49	4	8.2%	0		
Currently has Cancer v6	0			2	0	0.0%	11	0	0.0%	53	1	1.9%	48	2	4.2%	0		
History of Solid Organ Transplant v6	0			2	0	0.0%	11	1	9.1%	53	0	0.0%	49	0	0.0%	0		
History of Bone Marrow Transplant (BMT)	65	0	0.0%	64	0	0.0%	77	0	0.0%	87	0	0.0%	49	0	0.0%	0		-
HIV	65	1	1.5%	62	0	0.0%	66	0	0.0%	35	0	0.0%	0	-		0		

Opening winfaction incurs	H	OSPX-999	99	STS Intermacs			
Oncology/infection issues	N	Yes	%	N	Yes	%	
History Solid Organ Cancer	4	1	25.0%	341	16	4.7%	
Currently has Cancer v6	3	0	0.0%	114	3	2.6%	
History of Solid Organ Transplant v6	3	0	0.0%	115	1	0.9%	
History of Bone Marrow Transplant (BMT)	4	0	0.0%	342	0	0.0%	
HIV	1	0	0.0%	228	1	0.4%	

II.A. Pre-Implant Summaries - Comorbidities and Potential Barriers to Heart Transplant

Exhibit 11. Pre-Implant Comorbidities

Data collection for the psychosocial issues section changed structure as a part of the v6.0 release. Mapping of this data is underway and this information will be available in the reports starting next quarter.

II.A. Pre-Implant Summaries - Comorbidities and Potential Barriers to Heart Transplant

Exhibit 12. Pre-Implant Potential Barriers to Heart Transplant

The following tables compare pre-implant potential barriers to heart transplant at your site and STS Intermacs over time. This section was revised during the v6.0 launch (11/24/20) and includes mapped data from patients implanted 2012 and later.

				H	OSPX-99	99				
Potential Barriers to Heart Transplant		2020 Q3			2020 Q4		2021 Q2			
	Ν	Yes	%	Ν	Yes	%	Ν	Yes	%	
Advanced Age	1	0	0.0%	3	0	0.0%	0			
Frailty	1	0	0.0%	2	0	0.0%	0			
Patient Does Not Want Transplant	1	0	0.0%	2	0	0.0%	0			
Musculoskeletal Limitation to Ambulation	1	0	0.0%	2	0	0.0%	0			
Contraindications to Immunosuppression	1	0	0.0%	2	0	0.0%	0			
Allosensitization	1	0	0.0%	2	0	0.0%	0			
Chronic Renal Disease	1	0	0.0%	3	0	0.0%	0			
Large BMI	1	0	0.0%	3	1	33.3%	0	-	-	
Chronic Infectious Concerns	1	0	0.0%	3	1	33.3%	0			

Potential Barriers to Heart		STS Intermacs																
Transplant		2020 Q1		2020 Q2		2020 Q3		2020 Q4			2021 Q1			2021 Q2				
	N	Yes	%	N	Yes	%	Ν	Yes	%	N	Yes	%	Ν	Yes	%	Ν	Yes	%
Advanced Age	65	6	9.2%	64	8	12.5%	76	7	9.2%	87	15	17.2%	45	2	4.4%	0	-	
Frailty	65	4	6.2%	64	5	7.8%	76	4	5.3%	83	13	15.7%	43	8	18.6%	0		
Patient Does Not Want Transplant	65	2	3.1%	64	0	0.0%	75	0	0.0%	78	1	1.3%	40	1	2.5%	0		
Musculoskeletal Limitation to Ambulation	65	1	1.5%	64	1	1.6%	76	0	0.0%	83	1	1.2%	44	2	4.5%	0		
Contraindications to Immunosuppression	65	0	0.0%	64	0	0.0%	76	0	0.0%	82	0	0.0%	40	0	0.0%	0		
Allosensitization	65	1	1.5%	64	1	1.6%	75	1	1.3%	78	0	0.0%	39	0	0.0%	0		
Chronic Renal Disease	65	4	6.2%	64	8	12.5%	76	6	7.9%	86	14	16.3%	44	7	15.9%	0		
Large BMI	65	9	13.8%	64	11	17.2%	76	10	13.2%	87	16	18.4%	47	12	25.5%	0		
Chronic Infectious Concerns	65	2	3.1%	64	2	3.1%	76	2	2.6%	85	4	4.7%	43	4	9.3%	0		

II.A. Pre-Implant Summaries - Comorbidities and Potential Barriers to Heart Transplant

Exhibit 12. Pre-Implant Potential Barriers to Heart Transplant

Potential Parriero to Heart Tropoplant	Н	OSPX-999	99	STS Intermacs			
Potential Barriers to Heart Transplant	Ν	Yes	%	Ν	Yes	%	
Advanced Age	4	0	0.0%	337	38	11.3%	
Frailty	3	0	0.0%	331	34	10.3%	
Patient Does Not Want Transplant	3	0	0.0%	322	4	1.2%	
Musculoskeletal Limitation to Ambulation	3	0	0.0%	332	5	1.5%	
Contraindications to Immunosuppression	3	0	0.0%	327	0	0.0%	
Allosensitization	3	0	0.0%	321	3	0.9%	
Chronic Renal Disease	4	0	0.0%	335	39	11.6%	
Large BMI	4	1	25.0%	339	58	17.1%	
Chronic Infectious Concerns	4	1	25.0%	333	14	4.2%	

II.A. Pre-Implant Summaries - Hemodynamics

Exhibit 13. General Hemodynamics

The following table summarizes pre-implant heart rate, systolic BP and diastolic BP at your site and STS Intermacs.

PRE-IMPLANT GENERAL HEMODYNAMICS	L	HOSPX-9999	STS Intermacs
Heart Rate	Ν	4	341
	Mean	96.00	93.21
	Std	4.55	17.17
	Min	90.00	48.00
	Мах	101.00	151.00
Diastolic Blood Pressure (mmHg)	Ν	3	320
	Mean	68.67	69.79
	Std	7.02	12.01
	Min	62.00	35.00
	Мах	76.00	109.00
Systolic Blood Pressure (mmHg)	N	3	323
	Mean	101.33	101.25
	Std	17.62	17.23
	Min	87.00	57.00
	Мах	121.00	176.00
All	Ν	7	496

II.A. Pre-Implant Summaries - Hemodynamics

Exhibit 14a. Echo Hemodynamics - LVEDD

The following tables summarizes pre-implant LVEDD at your site and STS Intermacs over time.

IPLANT D (cm)	2020 Q3	2020 Q4	2021 Q2	TOTAL
Ν	1	3	0	4
Mean	8.10	6.21		6.69
Std		1.16		1.34
Min	8.10	4.90		4.90
Мах	8.10	7.10		8.10

GROUP=HOSPX-9999

GROUP=STS Intermacs

 IPLANT D (cm)	2020 Q1	2020 Q2	2020 Q3	2020 Q4	2021 Q1	2021 Q2	TOTAL
N	59	52	61	66	40	0	278
Mean	6.48	6.38	6.31	6.41	6.56		6.42
Std	1.32	1.07	1.04	1.10	0.99		1.11
Min	3.69	3.50	3.70	3.78	4.10		3.50
Max	9.80	8.71	8.35	10.50	8.80		10.50

II.A. Pre-Implant Summaries - Hemodynamics

Exhibit 14b. Echo Hemodynamics - LVEF

The following tables summarizes pre-implant LVEF at your site and STS Intermacs over time.

	HOSPX-9999									
PRE-IMPLANT LVEF	202	0 Q3	202	0 Q4	TOTAL					
	n	%	n	%	n	%				
30-39 (moderate)										
20-29 (moderate/severe)			1	33.3 %	1	25.0 %				
< 20 (severe)	1	100.0 %	2	66.6 %	3	75.0 %				
Not Recorded or Not Documented										
Unknown										
TOTAL	1	100.0 %	3	100.0 %	4	100.0 %				

	STS Intermacs												
PRE-IMPLANT LVEF	2020	2020 Q1		2020 Q2		2020 Q3		0 Q4	2021 Q1		TOT	ΓAL	
	n	%	n	%	n	%	n	%	n	%	n	%	
30-39 (moderate)	3	4.6 %	3	4.6 %	3	3.8 %	3	3.4 %	2	4.0 %	14	4.0 %	
20-29 (moderate/severe)	22	33.8 %	9	14.0 %	15	19.4 %	20	22.9 %	10	20.4 %	76	22.2 %	
< 20 (severe)	37	56.9 %	51	79.6 %	56	72.7 %	61	70.1 %	36	73.4 %	241	70.4 %	
Not Recorded or Not Documented	3	4.6 %			2	2.5 %	3	3.4 %	1	2.0 %	9	2.6 %	
Unknown			1	1.5 %	1	1.2 %					2	0.5 %	
TOTAL	65	100.0 %	64	100.0 %	77	100.0 %	87	100.0 %	49	100.0 %	342	100.0 %	

II.A. Pre-Implant Summaries - Hemodynamics

Exhibit 14c. Echo Hemodynamics - RVEF

The following tables summarizes pre-implant RVEF at your site and STS Intermacs over time.

	HOSPX-9999									
PRE-IMPLANT RVEF	202	0 Q3	202) Q4	TOTAL					
	n	%	n	%	n	%				
Normal			2	66.6 %	2	50.0 %				
Mild						-				
Moderate	1	100.0 %	1	33.3 %	2	50.0 %				
Severe										
Not Done										
Unknown										
TOTAL	1	100.0 %	3	100.0 %	4	100.0 %				

		STS Intermacs											
PRE-IMPLANT RVEF	2020 Q1		2020 Q2		2020 Q3		2020 Q4		2021 Q1		TOT	FAL	
	n	%	n	%	n	%	n	%	n	%	n	%	
Normal	13	20.0 %	18	28.1 %	14	18.1 %	17	19.5 %	13	26.5 %	75	21.9 %	
Mild	20	30.7 %	16	25.0 %	21	27.2 %	19	21.8 %	11	22.4 %	87	25.4 %	
Moderate	9	13.8 %	9	14.0 %	13	16.8 %	22	25.2 %	9	18.3 %	62	18.1 %	
Severe	12	18.4 %	13	20.3 %	16	20.7 %	8	9.1 %	11	22.4 %	60	17.5 %	
Not Done	5	7.6 %	6	9.3 %	8	10.3 %	9	10.3 %	2	4.0 %	30	8.7 %	
Unknown	6	9.2 %	2	3.1 %	5	6.4 %	12	13.7 %	3	6.1 %	28	8.1 %	
TOTAL	65	100.0 %	64	100.0 %	77	100.0 %	87	100.0 %	49	100.0 %	342	100.0 %	

II.A. Pre-Implant Summaries - Hemodynamics

Exhibit 14d. Echo Hemodynamics - Mitral Regurgitation

The following tables summarizes pre-implant mitral regurgitation at your site and STS Intermacs over time.

PRE-IMPLANT MITRAL	HOSPX-9999									
REGURGITATION	202	0 Q3	2020	0 Q4	TOTAL					
	n	%	n	%	n	%				
0 (none)										
1 (mild)	1	100.0 %	2	66.6 %	3	75.0 %				
2 (moderate)										
3 (severe)			1	33.3 %	1	25.0 %				
Not Recorded or Not Documented										
TOTAL	1	100.0 %	3	100.0 %	4	100.0 %				

PRE-IMPLANT MITRAL	STS Intermacs											
REGURGITATION	2020) Q1	2020 Q2		2020 Q3		2020 Q4		2021 Q1		тот	TAL
	n	%	n	%	n	%	n	%	n	%	n	%
0 (none)	8	12.3 %	11	17.1 %	9	11.6 %	6	6.8 %	2	4.0 %	36	10.5 %
1 (mild)	21	32.3 %	27	42.1 %	30	38.9 %	40	45.9 %	12	24.4 %	130	38.0 %
2 (moderate)	18	27.6 %	19	29.6 %	25	32.4 %	21	24.1 %	13	26.5 %	96	28.0 %
3 (severe)	14	21.5 %	4	6.2 %	8	10.3 %	15	17.2 %	19	38.7 %	60	17.5 %
Not Recorded or Not Documented	4	6.1 %	3	4.6 %	5	6.4 %	5	5.7 %	3	6.1 %	20	5.8 %
TOTAL	65	100.0 %	64	100.0 %	77	100.0 %	87	100.0 %	49	100.0 %	342	100.0 %

II.A. Pre-Implant Summaries - Hemodynamics

Exhibit 14e. Echo Hemodynamics - Aortic Regurgitation

The following tables summarizes pre-implant aortic regurgitation at your site and STS Intermacs over time.

PRE-IMPLANT AORTIC	HOSPX-9999									
REGURGITATION	202	0 Q3	202	0 Q4	TOTAL					
	n	%	n	%	n	%				
0 (none)			2	66.6 %	2	50.0 %				
1 (mild)	1	100.0 %			1	25.0 %				
2 (moderate)										
3 (severe)										
Not Recorded or Not Documented			1	33.3 %	1	25.0 %				
TOTAL	1	100.0 %	3	100.0 %	4	100.0 %				

PRE-IMPLANT AORTIC						STS Int	ermacs					
REGURGITATION	202	0 Q1	2020 Q2		2020	2020 Q3		2020 Q4		1 Q1	TOT	FAL
	n	%	n	%	n	%	n	%	n	%	n	%
0 (none)	20	30.7 %	25	39.0 %	24	31.1 %	34	39.0 %	16	32.6 %	119	34.7 %
1 (mild)	33	50.7 %	23	35.9 %	32	41.5 %	33	37.9 %	17	34.6 %	138	40.3 %
2 (moderate)	3	4.6 %	4	6.2 %	5	6.4 %	4	4.5 %	4	8.1 %	20	5.8 %
3 (severe)	1	1.5 %			1	1.2 %	1	1.1 %			3	0.8 %
Not Recorded or Not Documented	8	12.3 %	12	18.7 %	15	19.4 %	15	17.2 %	12	24.4 %	62	18.1 %
TOTAL	65	100.0 %	64	100.0 %	77	100.0 %	87	100.0 %	49	100.0 %	342	100.0 %

II.A. Pre-Implant Summaries - Hemodynamics

Exhibit 14f. Echo Hemodynamics - Tricuspid Regurgitation

The following tables summarizes pre-implant tricuspid regurgitation at your site and STS Intermacs over time.

PRE-IMPLANT TRICUSPID	HOSPX-9999									
REGURGITATION	202	0 Q3	2020) Q4	TOTAL					
	n	%	n	%	n	%				
0 (none)	1	100.0 %			1	25.0 %				
1 (mild)			2	66.6 %	2	50.0 %				
2 (moderate)			1	33.3 %	1	25.0 %				
3 (severe)										
Not Recorded or Not Documented										
TOTAL	1	100.0 %	3	100.0 %	4	100.0 %				

PRE-IMPLANT TRICUSPID	SPID STS Intermacs											
REGURGITATION	2020	0 Q1	2020 Q2		2020 Q3		2020 Q4		2021 Q1		TOT	AL
	n	%	n	%	n	%	n	%	n	%	n	%
0 (none)	7	10.7 %	8	12.5 %	11	14.2 %	11	12.6 %	2	4.0 %	39	11.4 %
1 (mild)	27	41.5 %	27	42.1 %	34	44.1 %	38	43.6 %	14	28.5 %	140	40.9 %
2 (moderate)	20	30.7 %	12	18.7 %	19	24.6 %	19	21.8 %	17	34.6 %	87	25.4 %
3 (severe)	8	12.3 %	8	12.5 %	4	5.1 %	9	10.3 %	8	16.3 %	37	10.8 %
Not Recorded or Not Documented	3	4.6 %	9	14.0 %	9	11.6 %	10	11.4 %	8	16.3 %	39	11.4 %
TOTAL	65	100.0 %	64	100.0 %	77	100.0 %	87	100.0 %	49	100.0 %	342	100.0 %

II.A. Pre-Implant Summaries - Hemodynamics

Exhibit 15. Swan Hemodynamics

The following table summarizes pre-implant hemodynamics derived from a Swan-Ganz catheter at your site and STS Intermacs.

PRE-IMPLANT SWAN HEMODYNAMICS		HOSPX-9999	STS Intermacs
Pulmonary Artery Systolic Pressure (mmHg)	Ν	3	281
	Mean	36.00	46.76
	Std	8.89	14.68
	Min	29.00	12.00
	Мах	46.00	94.00
Pulmonary Artery Diastolic Pressure (mmHg)	Ν	3	279
	Mean	18.67	22.97
	Std	4.73	8.22
	Min	15.00	4.00
	Мах	24.00	44.00
~Mean RA Pressure (mmHg)~	Ν	1	129
	Mean	26.00	12.78
	Std	-	7.19
	Min	26.00	1.00
	Мах	26.00	35.00
Mean Pulmonary Artery Capillary Wedge Pressure (mmHg)	N	2	208
	Mean	14.50	25.08
	Std	0.71	9.34
	Min	14.00	4.00
	Мах	15.00	50.00
Cardiac Index	Ν	3	259
	Mean	3.26	2.35
	Std	0.64	0.89
	Min	2.80	0.90
	Мах	3.99	6.30
Cardiac Output (L/min)	Ν	3	259
	Mean	6.62	4.73
	Std	1.06	1.79
	Min	5.40	1.80
	Мах	7.35	13.03
All	Ν	7	496

II.A. Pre-Implant Summaries - Hemodynamics

Exhibit 16. ECG Cardiac Rhythm

The following tables summarizes pre-implant ECG cardiac rhythm at your site and STS Intermacs.

			HOSP	X-9999			
ECG CARDIAC RHYTHM	202	0 Q3	202) Q4	TOTAL		
	n	%	n	%	n	%	
Sinus			2	66.6 %	2	50.0 %	
Atrial fibrillation						-	
Atrial Flutter							
Not done							
Atrial Paced, Ventricular Sensed							
Atrial Sensed, Ventricular Paced			1	33.3 %	1	25.0 %	
Atrial Paced, Ventricular Paced							
Unknown							
Other, specify	1	100.0 %			1	25.0 %	
TOTAL	1	100.0 %	3	100.0 %	4	100.0 %	

		STS Intermacs										
ECG CARDIAC RHYTHM	202	2020 Q1		0 Q2	202	2020 Q3		2020 Q4		2021 Q1		ΓAL
	n	%	n	%	n	%	n	%	n	%	n	%
Sinus	41	63.0 %	44	68.7 %	51	66.2 %	57	65.5 %	32	65.3 %	225	65.7 %
Atrial fibrillation	6	9.2 %	2	3.1 %	8	10.3 %	8	9.1 %	4	8.1 %	28	8.1 %
Atrial Flutter	1	1.5 %			3	3.8 %					4	1.1 %
Not done	1	1.5 %	2	3.1 %			1	1.1 %			4	1.1 %
Atrial Paced, Ventricular Sensed		-	1	1.5 %	2	2.5 %	2	2.2 %	1	2.0 %	6	1.7 %
Atrial Sensed, Ventricular Paced	7	10.7 %	10	15.6 %	6	7.7 %	9	10.3 %	7	14.2 %	39	11.4 %
Atrial Paced, Ventricular Paced	7	10.7 %	2	3.1 %	1	1.2 %	7	8.0 %	4	8.1 %	21	6.1 %
Unknown		-	-	-	1	1.2 %	2	2.2 %			3	0.8 %
Other, specify	2	3.0 %	3	4.6 %	5	6.4 %	1	1.1 %	1	2.0 %	12	3.5 %
TOTAL	65	100.0 %	64	100.0 %	77	100.0 %	87	100.0 %	49	100.0 %	342	100.0 %

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 17a. Functional Capacity - NYHA Class

The following table summarizes the pre-implant NYHA Class at your site and STS Intermacs over time.

	HOSPX-9999								
PRE-IMPLANT NYHA CLASS	202	0 Q3	202	0 Q4	TOTAL				
	n	%	n	%	n	%			
Class I									
Class II	1	100.0 %			1	25.0 %			
Class III									
Class IV			2	66.6 %	2	50.0 %			
Unknown			1	33.3 %	1	25.0 %			
TOTAL	1	100.0 %	3	100.0 %	4	100.0 %			

	STS Intermacs														
PRE-IMPLANT NYHA CLASS	202	2020 Q1 n %		Q2 2020		0 Q3 202) Q4	2021 Q1		тот	ΓAL			
	n			%	n	n %		n %		%	n	%			
Class I	1	1.5 %			1	1.2 %					2	0.5 %			
Class II	1	1.5 %	2	3.1 %	3	3.8 %	4	4.5 %			10	2.9 %			
Class III	7	10.7 %	1	1.5 %	3	3.8 %	6	6.8 %	2	4.0 %	19	5.5 %			
Class IV	52	80.0 %	58	90.6 %	69	89.6 %	75	86.2 %	44	89.7 %	298	87.1 %			
Unknown	4	6.1 %	3	4.6 %	1	1.2 %	2	2.2 %	3	6.1 %	13	3.8 %			
TOTAL	65	100.0 %	64	100.0 %	77	100.0 %	87	100.0 %	49	100.0 %	342	100.0 %			

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 17b. Functional Capacity - VO2 Max

The following table summarizes the pre-implant VO2 max at your site and STS Intermacs.

VO2 MAX		HOSPX-9999	STS Intermacs
VO2 Max (mL/kg/min)	Ν	0	12
	Mean	•	12.01
	Std	-	4.00
	Min	-	6.30
	Max	-	17.50
All	Ν	7	496

The following table summarizes the reasons the pre-implant VO2 max was incomplete at your site and STS Intermacs.

VO2 MAX - Reason for Incomplete	HOSP	X-9999	STS Intermacs						
incompiete	N	%	N	%					
Not Done: Other	3	75.0	114	34.5					
Not Done: Too Sick	1	25.0	192	58.2					
Unknown			24	7.3					
TOTAL	4	100	330	100					

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 17c. Functional Capacity - R Value at Peak

The following table summarizes the pre-implant R value at peak at your site and STS Intermacs.

R VALUE PEAK		HOSPX-9999	STS Intermacs
Peak R (%)	Ν	0	8
	Mean		14.31
	Std	-	20.24
	Min		1.07
	Max		55.00
All	Ν	7	496

The following table summarizes the reasons the pre-implant R value at peak was incomplete at your site and Intermacs.

R VALUE AT PEAK - Reason for Incomplete	HOSP	X-9999	STS Int	ermacs
incomplete	N	%	Ν	%
Not Done	4	100	312	93.4
Unknown			22	6.6
TOTAL	4	100	334	100

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 17d. Functional Capacity - Six Minute Walk

The following table summarizes the pre-implant six minute walk at your site and STS Intermacs.

6 MINUTE WAL	K	HOSPX-9999	STS Intermacs
Six Minute Walk (ft)	Ν	0	28
	Mean	•	724.54
	Std	•	484.89
	Min	•	5.00
	Max	•	2000.00
All	Ν	7	496

The following table summarizes the reasons the pre-implant six minute walk was incomplete at your site and STS Intermacs.

6 MINUTE WALK - Reason for Incomplete	HOSP	X-9999	STS Int	ermacs
incomplete	N	%	N	%
Not Done: Other	3	75.0	66	21.0
Not Done: Too Sick	1	25.0	236	75.2
Unknown	•	•	12	3.8
TOTAL	4	100	314	100

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 18a. Quality of Life - EQ-5D Mobility

J			,			J											
		HOSP	X-9999		STS Intermacs												
EQ-5D Mobility	202	2020 Q4 TOTAL		2020 Q1		2020 Q2		2020 Q3		2020 Q4		2021 Q1		тот	FAL		
	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	
I have no problems in walking about	1	100.0 %	1	100.0 %	8	30.7 %	6	30.0 %	4	17.3 %	17	45.9 %	7	35.0 %	42	33.3 %	
I have some problems in walking about	-				12	46.1 %	9	45.0 %	12	52.1 %	17	45.9 %	8	40.0 %	58	46.0 %	
I am confined to bed					4	15.3 %	4	20.0 %	7	30.4 %	3	8.1 %	4	20.0 %	22	17.4 %	
Unknown					2	7.6 %	1	5.0 %					1	5.0 %	4	3.1 %	
TOTAL	1	100.0 %	1	100.0 %	26	100.0 %	20	100.0 %	23	100.0 %	37	100.0 %	20	100.0 %	126	100.0 %	

The following table compares the EQ-5D Mobility domain between your site and STS Intermacs.

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 18b. Quality of Life - EQ-5D Self Care

The following table compares the EQ-5D Self Care domain between your site and STS Intermacs.

		HOSP	X-9999		STS Intermacs													
EQ-5D Self Care	202	0 Q4	TOTAL		2020 Q1		2020 Q2		2020 Q3		2020 Q4		2021 Q1		тот	ΓAL		
	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%		
I have no problems with self-care					8	30.7 %	7	35.0 %	7	30.4 %	19	51.3 %	9	45.0 %	50	39.6 %		
I have some problems washing or dressing myself	1	100.0 %	1	100.0 %	10	38.4 %	11	55.0 %	13	56.5 %	12	32.4 %	7	35.0 %	53	42.0 %		
I am unable to wash or dress myself					7	26.9 %	2	10.0 %	3	13.0 %	6	16.2 %	3	15.0 %	21	16.6 %		
Unknown					1	3.8 %							1	5.0 %	2	1.5 %		
TOTAL	1	100.0 %	1	100.0 %	26	100.0 %	20	100.0 %	23	100.0 %	37	100.0 %	20	100.0 %	126	100.0 %		

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 18c. Quality of Life - EQ-5D Usual Activities

The following table compares the EQ-5D Usual Activities domain between your site and STS Intermacs.

		HOSP	X-9999							STS Int	ermacs					
EQ-5D Usual Activities	202	0 Q4	TO	TAL	202	0 Q1	202) Q2	202) Q3	2020) Q4	202 ²	1 Q1	тот	FAL
	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%
I have no problems with performing my usual activities					5	19.2 %	3	15.0 %	1	4.3 %	12	32.4 %	4	20.0 %	25	19.8 %
I have some problems with performing my usual activities					8	30.7 %	6	30.0 %	11	47.8 %	14	37.8 %	11	55.0 %	50	39.6 %
I am unable to perform my usual activities	1	100.0 %	1	100.0 %	12	46.1 %	11	55.0 %	10	43.4 %	10	27.0 %	4	20.0 %	47	37.3 %
Unknown					1	3.8 %			1	4.3 %	1	2.7 %	1	5.0 %	4	3.1 %
TOTAL	1	100.0 %	1	100.0 %	26	100.0 %	20	100.0 %	23	100.0 %	37	100.0 %	20	100.0 %	126	100.0 %

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 18d. Quality of Life - EQ-5D Pain/Discomfort

The following table compares the EQ-5D Pain/Discomfort domain between your site and STS Intermacs.

		HOSP	X-9999		STS Intermacs												
EQ-5D Pain/Discomfort	2020 Q4 TOTAL		2020 Q1		2020 Q2		2020 Q3		2020 Q4		2021 Q1		TOT	ΓAL			
	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	
I have no pain or discomfort	1	100.0 %	1	100.0 %	12	46.1 %	5	25.0 %	5	21.7 %	19	51.3 %	7	35.0 %	48	38.0 %	
I have moderate pain or discomfort					12	46.1 %	11	55.0 %	12	52.1 %	14	37.8 %	11	55.0 %	60	47.6 %	
I have extreme pain or discomfort					1	3.8 %	3	15.0 %	4	17.3 %	4	10.8 %	1	5.0 %	13	10.3 %	
Unknown					1	3.8 %	1	5.0 %	2	8.6 %			1	5.0 %	5	3.9 %	
TOTAL	1	100.0 %	1	100.0 %	26	100.0 %	20	100.0 %	23	100.0 %	37	100.0 %	20	100.0 %	126	100.0 %	

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 18e. Quality of Life - EQ-5D Anxiety/Depression

The following table compares the EQ-5D Anxiety/Depression domain between your site and STS Intermacs.

		HOSP	X-9999		STS Intermacs												
EQ-5D Anxiety	202	0 Q4	TOTAL		2020 Q1		2020 Q2		2020 Q3		2020 Q4		2021 Q1		TOTAL		
	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%	
I am not anxious or depressed	1	100.0 %	1	100.0 %	9	34.6 %	5	25.0 %	10	43.4 %	16	43.2 %	13	65.0 %	53	42.0 %	
l am moderately anxious or depressed					14	53.8 %	13	65.0 %	10	43.4 %	14	37.8 %	5	25.0 %	56	44.4 %	
I am extremely anxious or depressed					2	7.6 %	2	10.0 %	2	8.6 %	7	18.9 %	1	5.0 %	14	11.1 %	
Unknown		-			1	3.8 %			1	4.3 %			1	5.0 %	3	2.3 %	
TOTAL	1	100.0 %	1	100.0 %	26	100.0 %	20	100.0 %	23	100.0 %	37	100.0 %	20	100.0 %	126	100.0 %	

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 18f. Quality of Life - EQ-5D Visual Analog Scale

The following table compares the EQ-5D Visual Analog Scale domain between your site and STS Intermacs.

) - VAS ore	2020 Q3	2020 Q4	2021 Q2	TOTAL
Ν	0	1	0	1
Mean	-	15.00		15.00
Std	-			
Min	-	15.00		15.00
Max	-	15.00		15.00

GROUP=HOSPX-9999

) - VAS ore	2020 Q1	2020 Q2	2020 Q3	2020 Q4	2021 Q1	2021 Q2	TOTAL
Ν	24	20	20	31	19	0	114
Mean	44.96	41.15	48.80	50.84	49.11		47.25
Std	25.56	29.28	23.40	27.16	29.13		26.69
Min	1.00	3.00	15.00	0.00	0.00		0.00
Max	100.00	100.00	100.00	100.00	100.00		100.00

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 18g. Quality of Life - EQ-5D Incomplete - Patient Reasons

The following table compares the Patient Reasons the EQ-5D was not completed.

	HOSPX-9999									
Patient Reasons for Incomplete EQ-5D	202	0 Q3	2020) Q4	TOTAL					
	n	%	n	%	n	%				
Too sick (ex., intubated/sedated, critically ill, on short-term VAD)			1	50.0 %	1	33.3 %				
Too tired										
Too stressed, anxious, and/or depressed										
No time / too busy			1	50.0 %	1	33.3 %				
Can't concentrate										
Unwilling to complete instrument, no reason given										
Administrative (check specific reason below)	1	100.0 %			1	33.3 %				
TOTAL	1	100.0 %	2	100.0 %	3	100.0 %				

						STS Int	ermacs					
Patient Reasons for Incomplete EQ-5D	2020 Q1		202	0 Q2	2020 Q3		2020 Q4		2021 Q1		TOTAL	
	n	%	n	%	n	%	n	%	n	%	n	%
Too sick (ex., intubated/sedated, critically ill, on short-term VAD)	20	58.8 %	27	64.2 %	28	57.1 %	37	74.0 %	15	57.6 %	127	63.1 %
Too tired	2	5.8 %							1	3.8 %	3	1.4 %
Too stressed, anxious, and/or depressed	1	2.9 %	1	2.3 %	5	10.2 %	1	2.0 %			8	3.9 %
No time / too busy	1	2.9 %			1	2.0 %	2	4.0 %	-	-	4	1.9 %
Can't concentrate	-	-			1	2.0 %	1	2.0 %	-	-	2	0.9 %
Unwilling to complete instrument, no reason given	2	5.8 %			1	2.0 %		-	-	-	3	1.4 %
Administrative (check specific reason below)	8	23.5 %	14	33.3 %	13	26.5 %	9	18.0 %	10	38.4 %	54	26.8 %
TOTAL	34	100.0 %	42	100.0 %	49	100.0 %	50	100.0 %	26	100.0 %	201	100.0 %

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 18h. Quality of Life - EQ-5D Incomplete - Administrative Reasons

The following table compares the Administrative Reasons the EQ-5D was not completed.

		HOSP	X-9999							STS Int	ermacs					
Administrative Reasons for Incomplete EQ-5D	2020 Q3		то	TOTAL		2020 Q1		2020 Q2		0 Q3	2020 Q4		2021 Q1		TOTAL	
	n	%	n	%	n	%	n	%	n	%	n	%	n	%	n	%
Urgent/emergent implant, no time to administer QOL instruments	1	100.0 %	1	100.0 %	1	12.5 %	-		2	15.3 %	1	11.1 %			4	7.4 %
Coordinator too busy or forgot to administer QOL instruments					7	87.5 %	8	57.1 %	7	53.8 %	5	55.5 %	3	30.0 %	30	55.5 %
Unable to contact patient (ie., not hospitalized or no clinic visit) within the window for QOL instr	-						2	14.2 %	3	23.0 %	1	11.1 %	3	30.0 %	9	16.6 %
Other reason (describe)							4	28.5 %	1	7.6 %	2	22.2 %	4	40.0 %	11	20.3 %
TOTAL	1	100.0 %	1	100.0 %	8	100.0 %	14	100.0 %	13	100.0 %	9	100.0 %	10	100.0 %	54	100.0 %

II.A. Pre-Implant Summaries - Functional Capacity and Quality of Life

Exhibit 19. Trailmaking

Trailmaking Test time is the number of seconds required to complete the test.

GROUP=HOSPX-9999

Trailmakin Time(s)	ig 2020 Q3	2020 Q4	2021 Q2	TOTAL
Ν	0	1	0	1
Mea	in	. 110.00		110.00
Std				
Min		. 110.00		110.00
Max	L	. 110.00		110.00

Trailm Tim	-	2020 Q1	2020 Q2	2020 Q3	2020 Q4	2021 Q1	2021 Q2	TOTAL
	N	13	13	18	16	12	0	72
	Mean	156.62	116.62	128.50	135.19	205.17	-	145.69
	Std	78.03	44.31	51.10	72.11	285.63	-	128.83
	Min	73.00	54.00	69.00	52.00	54.00		52.00
	Max	324.00	179.00	233.00	333.00	1093.00	-	1093.00

II.B. Implant Summaries

Exhibit 20. VAD Indication

The following table summarizes additional implant reasons that necessitated the placement of a VAD at your site and STS Intermacs.

	HOSP	X-9999	STS Intermacs			
VAD INDICATION	N	%	N	%		
Failure to wean from Cardio Pumonary Bypass	•	-	5	2.1 %		
Persistent Heart Failure Following Cardiac Surgery			17	7.2 %		
None	1	100.0 %	213	90.6 %		
Total Patients	1	100.0 %	235	100.0 %		

II.B. Implant Summaries

Exhibit 21. Device Type

The following tables summarizes the implant side (location) of VADs at your site and STS Intermacs over time.

	HOSPX-9999											
Device Type	2020 Q3		2020 Q4		2021 Q2		TOTAL					
	n	%	n	%	n	%	n	%				
LVAD	1	100.0 %	3	100.0 %	3	100.0 %	7	100.0 %				
BiVAD												
ТАН												
TOTAL	1	100.0 %	3	100.0 %	3	100.0 %	7	100.0 %				

	STS Intermacs													
Device Type	2020 Q1 2020 Q		0 Q2	Q2 2020 Q3		2020 Q4		2021 Q1		2021 Q2		TOTAL		
	n	%	n	%	n	%	n	%	n	%	n	%	n	%
LVAD	58	87.8 %	57	89.0 %	73	93.5 %	86	94.5 %	99	90.8 %	82	93.1 %	455	91.7 %
BiVAD	7	10.6 %	6	9.3 %	5	6.4 %	5	5.4 %	10	9.1 %	6	6.8 %	39	7.8 %
ТАН	1	1.5 %	1	1.5 %		-		-	-	-		-	2	0.4 %
TOTAL	66	100.0 %	64	100.0 %	78	100.0 %	91	100.0 %	109	100.0 %	88	100.0 %	496	100.0 %

II.B. Implant Summaries

Exhibit 22. Additional Interventions within 48 Hours of Implant

This table lists the interventions that occurred during the 48 hours prior to implant. A patient can have more than 1 additional intervention.

		HOSPX-9999			STS Intermacs	
ADDITIONAL INTERVENTIONS	N	Yes	%	N	Yes	%
IABP	2	0	0.00%	230	8	3.48%
Dialysis	2	0	0.00%	230	8	3.48%
Ultrafiltration	2	0	0.00%	230	1	0.43%
Ventilator	2	1	50.00%	230	12	5.22%
Feeding Tube	2	0	0.00%	230	9	3.91%
ECMO	2	0	0.00%	230	7	3.04%
None	2	1	50.00%	230	148	64.35%
CABG+	2	0	0.00%	230	0	0.00%
Aortic Valve Replacement/Repair+	2	0	0.00%	230	2	0.87%
Mitral Valve Replacement/Repair+	2	0	0.00%	230	0	0.00%
Congenital Cardiac Surgery+	2	0	0.00%	230	0	0.00%
LVAD+	2	0	0.00%	230	53	23.04%
RVAD+	2	0	0.00%	230	10	4.35%
TAH+	2	0	0.00%	230	0	0.00%
Aneurysmectomy+	2	0	0.00%	230	0	0.00%

Note: + = variable collected post relaunch (5/2/2012)

II.C. Post Implant Summary - Adverse Event Rates

Exhibit 23a. Patient Percent Experiencing Each Adverse Event Type

For each adverse event type, the percent of patients with a least one event of that type reported is presented at for your site and STS-INTERMACS overall.

Patient percent experiencing a specific adverse event = number of unique patients with reported event / number of patients at your site * 100

While useful, this measure does not take into consideration the varying amount of time on support for each patient (exposure time) or the timing of the adverse events. Subsequent exhibits use adverse event rates that more accurately compare adverse events reported at your site to the overall cohort.

	Н	OSPX-99	99	ST	S Interm	acs
	Events	Patients	Patient Percent	Events	Patients	Patient Percent
Arterial Non-CNS Thromboembolism				2	2	0.4%
Bleeding				173	106	21.4%
Cardiac Arrhythmia	2	2	28.6%	108	88	17.7%
Device Malfunction and/or Pump Thrombosis				10	10	2.0%
Hepatic Dysfunction				15	14	2.8%
Infection	1	1	14.3%	248	164	33.1%
Neurological Dysfunction				67	57	11.5%
Other Serious Adverse Event				138	86	17.3%
Pericardial Drainage				18	17	3.4%
Psychiatric Episode				18	14	2.8%
Rehospitalization	1	1	14.3%	391	183	36.9%
Renal Dysfunction				71	64	12.9%
Respiratory Failure	1	1	14.3%	89	77	15.5%
Venous Thromboembolism				17	14	2.8%
Wound Dehiscence				12	12	2.4%

II.C. Post Implant Summary - Adverse Event Rates

Exhibit 23b. Adverse Event Exposure

The following table summarizes the total time patients receiving primary prospective implants have been followed at your site and STS Intermacs overall. The total follow-up time is divided into an early period (less than or equal to three months) and late period (more than three months). These totals are the accrued exposure time for each patient group and are used as the denominators in the following rate calculations.

Patient Follow-Up	HOSPX-9999	STS Intermacs
Patient exposure during the first 3 months post implant (months)	14	1222
Patient exposure after the first 3 months post implant (months)	14	1969
Number of Patients	7	496

II.C. Post Implant Summary - Adverse Event Rates

Exhibit 23c. Adverse Event Rates

GROUP=HOSPX-9999

	HOSPX-9999						
	Early (During the First Three Months)						
	Episodes Patient % pt mont						
Cardiac Arrhythmia	2	28.6%	14.53				
Infection	1	14.3%	7.27				
Rehospitalization	1	14.3%	7.27				
Respiratory Failure	1	14.3%	7.27				

	STS Intermacs								
	(During th	Early e First Thre	e Months)	Late (After the First Three Months)					
	Episodes	Patient %	Rate (per 100 pt month)	Episodes	Patient %	Rate (per 100 pt month)			
Arterial Non-CNS Thromboembolism	2	0.4%	0.16			-			
Bleeding	125	17.9%	10.23	48	6.3%	2.44			
Cardiac Arrhythmia	92	16.3%	7.53	16	2.6%	0.81			
Device Malfunction and/or Pump Thrombosis	6	1.2%	0.49	4	0.8%	0.20			
Hepatic Dysfunction	11	2.0%	0.90	4	0.8%	0.20			
Infection	167	25.4%	13.67	81	12.7%	4.11			
Neurological Dysfunction	51	9.1%	4.17	16	3.0%	0.81			
Other Serious Adverse Event	110	15.5%	9.00	28	3.8%	1.42			
Pericardial Drainage	18	3.4%	1.47						
Psychiatric Episode	14	2.4%	1.15	4	0.8%	0.20			
Rehospitalization	126	19.6%	10.31	265	26.6%	13.46			
Renal Dysfunction	65	12.1%	5.32	6	1.2%	0.30			
Respiratory Failure	81	14.1%	6.63	8	1.6%	0.41			
Venous Thromboembolism	15	2.6%	1.23	2	0.2%	0.10			
Wound Dehiscence	12	2.4%	0.98	•	•				

II.C. Post Implant Summary - Adverse Event Rates

Exhibit 23d. Infection Rates by Location

The following table compares infection rates according to location at your site and STS Intermacs. Every infection location reported is counted in the table.

	HOSPX-9999								
	Early (During the First Three Months)								
	Episodes	Patient %	Rate (per 100 pt month)						
Pulmonary	1	14.3%	7.27						

GROUP=HOSPX-9999

	STS Intermacs									
	(During th	Early e First Thre	e Months)	(After the	Late First Three	Months)				
	Episodes	Patient %	Rate (per 100 pt month)	Episodes	Patient %	Rate (per 100 pt month)				
GI	16	3.2%	1.31	4	0.8%	0.20				
Line Sepsis	1	0.2%	0.08							
Mediastinum	12	2.2%	0.98	2	0.4%	0.10				
Other Specify	29	5.8%	2.37	12	2.2%	0.61				
Peripheral Wound	7	1.4%	0.57	2	0.4%	0.10				
Positive Blood Cultures	40	7.3%	3.27	22	4.4%	1.12				
Pulmonary	52	9.1%	4.26	13	2.6%	0.66				
Pump/Related - Drive Line	21	4.0%	1.72	31	5.0%	1.57				
Pump/Related - Exit Cannula				2	0.4%	0.10				
Pump/Related - Pump Interior	1	0.2%	0.08	1	0.2%	0.05				
Pump/Related - Pump Pocket	1	0.2%	0.08							
Unknown	2	0.4%	0.16							
Urinary Tract	29	5.6%	2.37	6	1.0%	0.30				

II.C. Post Implant Summary - Adverse Event Rates

Exhibit 23e. Bleeding Rates by Source

The following table compares bleeding rates according to source at your site and STS Intermacs. Every bleed source reported is counted in the table.

NOTE: These rates are reported for patients receiving a implant starting in March 2009.

	STS Intermacs								
	(During th	Early e First Thre	e Months)	Late (After the First Three Month					
	Episodes	Patient %	Rate (per 100 pt month)	Episodes	Patient %	Rate (per 100 pt month)			
ENT / Dental	8	1.2%	0.65	7	1.0%	0.36			
GI OVERALL: upper, lower, unknown	53	9.9%	4.34	35	4.4%	1.78			
GI: Lower gastrointestinal (colon, rectum, and anus)	9	1.8%	0.74	7	1.4%	0.36			
GI: Upper gastrointestinal (esophagus, stomach, duo	18	3.2%	1.47	15	1.8%	0.76			
GI: unknown, but guaiac positive stools	27	5.2%	2.21	14	2.6%	0.71			
Intra-abdominal	2	0.4%	0.16	1	0.2%	0.05			
Mediastinal: Unspecified	2	0.4%	0.16						
Mediastinal: chest wall	12	2.0%	0.98						
Mediastinal: coagulopathy with no surgical site	5	1.0%	0.41						
Mediastinal: inflow conduit	1	0.2%	0.08						
Mediastinal: other surgical site	4	0.8%	0.33						
Mediastinal: outflow conduit	1	0.2%	0.08						
Mediastinal: outflow-aorta anastomosis	3	0.6%	0.25						
Other	41	6.3%	3.36	8	1.2%	0.41			
Pleural space	5	1.0%	0.41	1	0.2%	0.05			
Pulmonary	7	1.0%	0.57	-					
Retroperitoneal	4	0.8%	0.33						
Urinary tract	1	0.2%	0.08	2	0.4%	0.10			

II.C. Post Implant Summary - Adverse Event Rates

Exhibit 23f. Device Malfunction and/or Pump Thrombosis by type

The following table compares Device Malfunction and/or Pump Thrombosis rates according to type at your site and STS Intermacs.

NOTE: These rates are reported for patients receiving implant starting with Version 4.0 (June 2, 2014).

	STS Intermacs										
	(During th	Early e First Thre	e Months)	(After the	Late First Three	Months)					
	Episodes	Patient %	Rate (per 100 pt month)	Episodes	Patient %	Rate (per 100 pt month)					
Device Malfunction	1	0.2%	0.08	3	0.6%	0.15					
Pump Thrombosis	4	0.8%	0.33	1	0.2%	0.05					

II.C. Post Implant Summary - Adverse Event Rates

Exhibit 23g. Neurological Dysfunction by Category

The following table compares neurological dysfunction rates according to category at your site and STS Intermacs.

NOTE: These rates are reported for patients receiving implant starting with Version 3.0 (May 2, 2012).

	STS Intermacs										
	(During th	Early e First Thre	e Months)	Late (After the First Three Months)							
	Episodes	Patient %	Rate (per 100 pt month)	Episodes	Patient %	Rate (per 100 pt month)					
CVA	23	4.4%	1.88	10	2.0%	0.51					
Confusion	12	2.2%	0.98	1	0.2%	0.05					
Encephalopathy	9	1.8%	0.74	2	0.4%	0.10					
Seizure	4	0.8%	0.33	1	0.2%	0.05					
TIA	3	0.6%	0.25	2	0.2%	0.10					

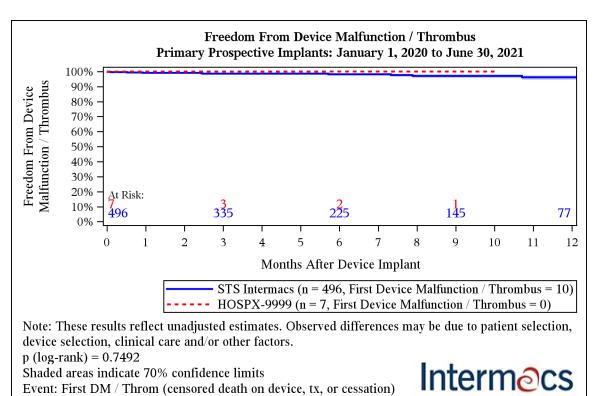
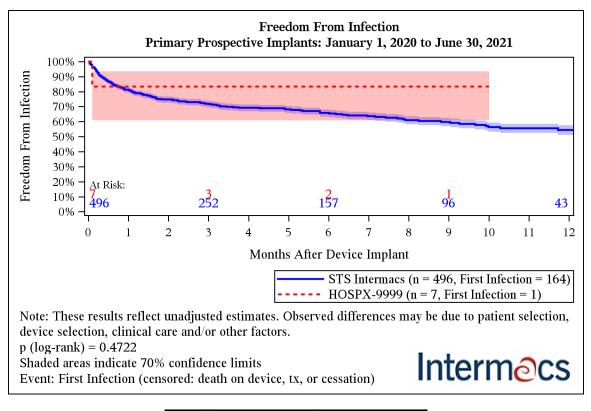


Exhibit 24a. Time to First Device Malfunction / Thrombus

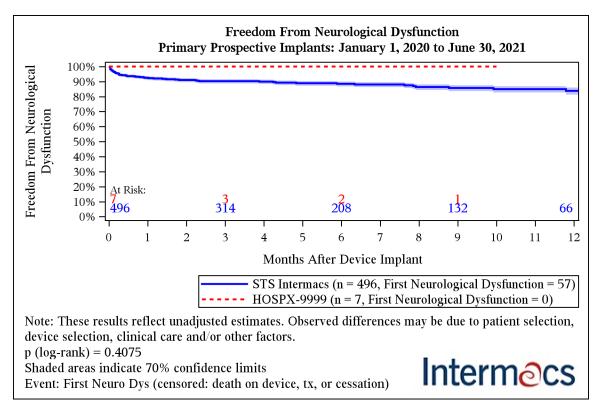
Months after Device **STS Intermacs HOSPX-9999** Implant 99.1% (98.6%-99.5%) 100.0% (100.0%-100.0% 1 3 98.6% (97.9%-99.1%) 100.0% (100.0%-100.0%) 6 98.2% (97.3%-98.8%) 100.0% (100.0%-100.0%) 97.1% (95.9%-98.0%) 100.0% (100.0%-100.0% 9 12 96.2% (94.6%-97.3%)

Exhibit 24b. Time to First Infection



Months after Device		
Implant	STS Intermacs	HOSPX-9999
1	81.3% (79.4%-83.0%)	83.3% (60.9%-93.5%)
3	71.9% (69.6%-73.9%)	83.3% (60.9%-93.5%)
6	65.8% (63.4%-68.2%)	83.3% (60.9%-93.5%)
9	59.8% (57.0%-62.5%)	83.3% (60.9%-93.5%)
12	54.4% (51.2%-57.6%)	





Months after Device Implant		HOSPX-9999
1	92.5% (91.2%-93.6%)	100.0% (100.0%-100.0%)
3	90.3% (88.8%-91.6%)	100.0% (100.0%-100.0%)
6	88.5% (86.8%-90.0%)	100.0% (100.0%-100.0%)
9	85.7% (83.7%-87.6%)	100.0% (100.0%-100.0%)
12	83.8% (81.3%-86.0%)	

Exhibit 25. Hospital Days for Discharged Patients

This table compares hospital days (initial hospitalization) from implant date to discharge date for patients with submitted discharge forms. For most patients this is the date they were discharged alive on a device but for patients that died or were transplanted in the implantation hospitalization it is the death date or transplant date, respectively. The first section (All) compares overall hospital days. The remaining sections compare hospital days by each device type, LVAD, Bi-VAD and TAH respectively.

HOSDIT	AL DAYS											
FOR DIS	CHARGED		HOSPX-9999			STS Intermacs						
PAI	IEN I S	2020 Q3	2020 Q4	TOTAL	2020 Q1	2020 Q2	2020 Q3	2020 Q4	2021 Q1	2021 Q2	TOTAL	
All	Ν	1	3	4	58	53	69	72	89	56	397	
	Mean	15.00	20.33	19.00	32.62	42.15	27.62	31.79	25.60	19.59	29.46	
	Std		0.58	2.71	23.89	56.84	17.15	19.71	15.97	11.82	27.28	
	Median	15	20	20	25	29	24	27	21	17	22	
	Min	15	20	15	7	8	0	0	1	7	0	
	Max	15	21	21	123	378	88	96	85	67	378	
BiVAD	Ν		-		4	4	2	2	8	1	21	
	Mean		-		66.50	129.00	12.50	35.00	45.50	16.00	59.86	
	Std		-		40.44	167.06	17.68	0.00	21.19	-	77.68	
	Median		-		58	58	13	35	41	16	35	
	Min		-		27	23	0	35	22	16	0	
	Max		-		123	378	25	35	77	16	378	
LVAD	Ν	1	3	4	54	49	67	70	81	55	376	
	Mean	15.00	20.33	19.00	30.11	35.06	28.07	31.70	23.63	19.65	27.76	
	Std		0.58	2.71	20.69	32.81	17.06	19.98	14.06	11.92	20.24	
	Median	15	20	20	22	28	24	26	20	17	21	
	Min	15	20	15	7	8	0	0	1	7	0	
	Мах	15	21	21	84	210	88	96	85	67	210	

II.C. Post Implant Summary - 30 Day Readmission

Exhibit 26. 30 Days Readmission

The following tables present the counts and percentages of patients that were discharged alive on a device that were readmitted within 30 days for your site and for STS Intermacs overall. Percentages are listed by device type and discharge year.

30 D	av		Device Type LVAD		TOTAL			
30 Day Readmission		Alive on	Patients with 30 day Readmission	With 30 day	Alive on	Patients with 30 day Readmission	% With 30 day Readmission	
Discharge Year								
	2020Q4	3	0	0.0%	3	0	0.0%	
	2021Q1	1	1	100.0%	1	1	100.0%	
	TOTAL	4	1	25.0%	4	1	25.0%	

GROUP=HOSPX-9999

30 Day Readmission				Device							
			LVAD			BiVAD		TOTAL			
		Patients Discharged Patients with Alive on 30 day Device (n) Readmission		With 30 day	Alive on	Patients with 30 day Readmission	% With 30 day Readmission	Patients Discharged Patients with Alive on 30 day Device (n) Readmission		With 30 day	
Discharge Year											
	2020Q1	37	5	13.5%	2	0	0.0%	39	5	12.8%	
	2020Q2	48	10	20.8%	2	0	0.0%	50	10	20.0%	
	2020Q3	63	17	27.0%	3	2	66.7%	66	19	28.8%	
	2020Q4	65	10	15.4%	2	0	0.0%	67	10	14.9%	
	2021Q1	84	15	17.9%	5	1	20.0%	89	16	18.0%	
	2021Q2	74	11	14.9%	6	1	16.7%	80	12	15.0%	
	TOTAL	371	68	18.3%	20	4	20.0%	391	72	18.4%	

II.C. Post Implant Summary - Functional Capacity

Exhibit 27a. 6 Minute Walk - Overall

The following tables summarize Six Minute Walk completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

VISIT Patients Not Done: Too (n) Other/Unknown Sick Complete Six Minute Walk

GROUP=HOSPX-9999

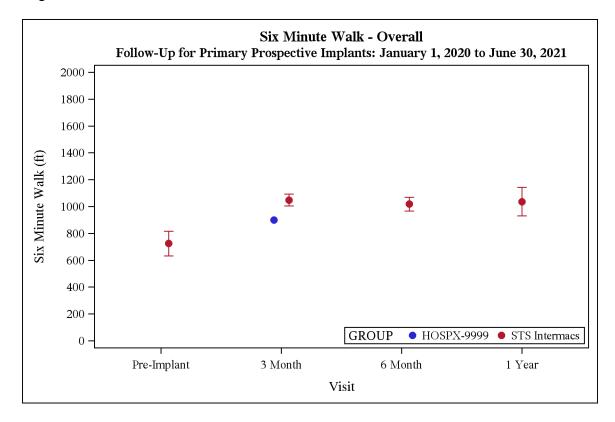
	(n) Other/Unknown			Sick		Complete		Six Minute Walk (ft)					
	N	N	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max	
Pre-Implant	7	6	85.7	1	14.3							-	
3 Month	2	1	50.0			1	50.0	900.0		900.0	900.0	900.0	
6 Month	1	1	100.0										

			COM	PLET										
VISIT	Patients (n)	s Not Done: Other/Unknown		Not Done: Too Sick		Outlier		Complete		Six Minute Walk (ft)				
	N	Ν	%	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	496	232	46.8	236	47.6			28	5.6	724.5	484.9	781.0	5.0	2000.0
3 Month	308	209	67.9	26	8.4			73	23.7	1048.4	377.3	1050.0	227.0	1800.0
6 Month	197	120	60.9	11	5.6	1	0.5	65	33.0	1018.6	413.8	955.0	78.0	2017.0
1 Year	60	35	58.3	2	3.3			23	38.3	1036.7	506.7	1096.0	3.0	2133.0

II.C. Post Implant Summary - Functional Capacity

Exhibit 27a. 6 Minute Walk - Overall

The following figure summarize Six Minute Walk - Overall values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.



II.C. Post Implant Summary - Functional Capacity

Exhibit 27b. 6 Minute Walk - LVAD

The following tables summarize Six Minute Walk completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

GROUP=HOSPX-9999

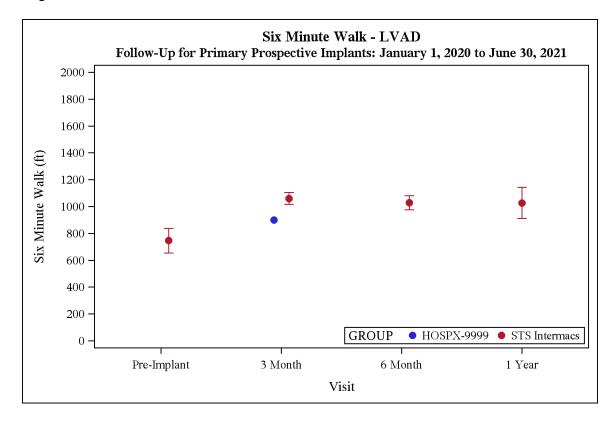
		С	OMPLET	ION	STA	TUS						
VISIT	Patients (n))one: nknown	Do T	lot one: oo ick	Com	plete		Six N	/linute W	alk (ft)	,
	N	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	7	6	85.7	1	14.3							
3 Month	2	1	50.0			1	50.0	900.0		900.0	900.0	900.0
6 Month	1	1	100.0							-		

			COMF	PLET		STA	TUS							
VISIT	Patients (n)	Not E Other/U		Do T	lot one: oo ick	Out	lier	Com	plete		Six M	inute Wa	ılk (ft)	
	Ν	Ν	%	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	455	214	47.0	214	47.0			27	5.9	746.7	479.5	787.0	5.0	2000.0
3 Month	287	194	67.6	22	7.7			71	24.7	1060.2	375.3	1054.0	227.0	1800.0
6 Month	188	114	60.6	10	5.3	1	0.5	63	33.5	1029.2	415.1	974.0	78.0	2017.0
1 Year	55	32	58.2	2	3.6			21	38.2	1026.7	529.5	1096.0	3.0	2133.0

II.C. Post Implant Summary - Functional Capacity

Exhibit 27b. 6 Minute Walk - LVAD

The following figure summarize Six Minute Walk - LVAD values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.



II.C. Post Implant Summary - Functional Capacity

Exhibit 27c. 6 Minute Walk - BiVAD

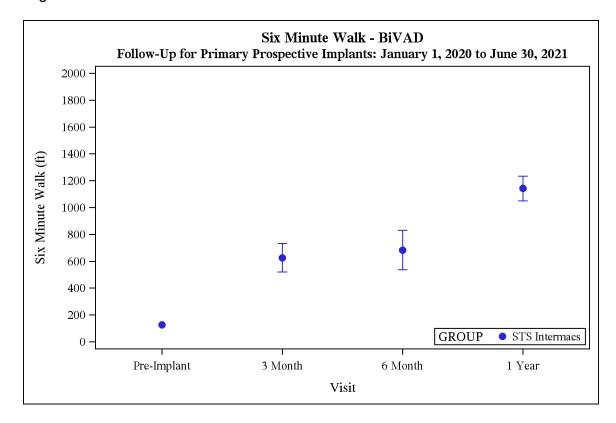
The following tables summarize Six Minute Walk completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

		С	OMPLET	ION	STA	TUS						
VISIT	Patients (n))one: nknown	Do T	lot one: oo ick	Com	plete		Six M	linute W	alk (ft)	
	Ν	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	39	18	46.2	20	51.3	1	2.6	126.0		126.0	126.0	126.0
3 Month	20	15	75.0	3	15.0	2	10.0	626.5	150.6	626.5	520.0	733.0
6 Month	9	6	66.7	1	11.1	2	22.2	683.5	207.2	683.5	537.0	830.0
1 Year	5	3	60.0			2	40.0	1142.5	130.8	1142.5	1050.0	1235.0

II.C. Post Implant Summary - Functional Capacity

Exhibit 27c. 6 Minute Walk - BiVAD

The following figure summarize Six Minute Walk - BiVAD values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.



II.C. Post Implant Summary - Functional Capacity

Exhibit 27d. 6 Minute Walk - TAH

The following tables summarize Six Minute Walk completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

		COMPL STA	ETION					
VISIT	Patients (n)		Done: Sick	S	ix Mi	nute Wa	lk (ft)
	N	N	Mean	Std	Median	Min	Max	
Pre-Implant	2	2	100.0					
3 Month	1	1						

II.C. Post Implant Summary - Functional Capacity

Exhibit 27d. 6 Minute Walk - TAH

The following figure summarize Six Minute Walk - TAH values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.

Six Minute Walk - TAH Follow-Up for Primary Prospective Implants: January 1, 2020 to June 30, 2021

II.C. Post Implant Summary - Functional Capacity

Exhibit 28. VO2 Max

The following tables summarize VO2 Max completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

		COMPL		тат	US					
VISIT	Patients (n))one: nknown	Do To	ot ne: oo ck	VC)2 Ma	ax (mL/k	g/miı	n)
	Ν	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	7	6	85.7	1	14.3			-		-
3 Month	2	2	100.0							-
6 Month	1	1	100.0						•	

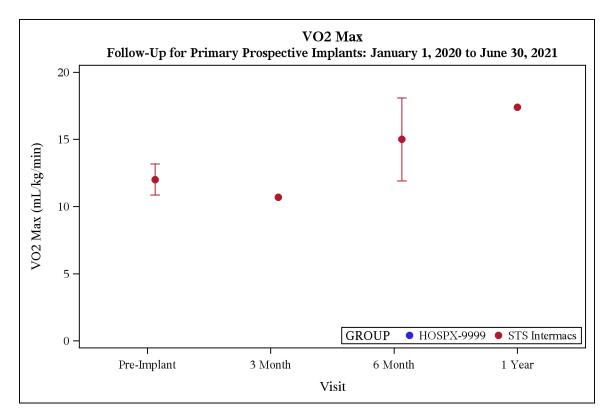
GROUP=HOSPX-9999

		C	OMPLET	ION	STA	rus						
VISIT	Patients (n))one: nknown	Do T	ot ne: oo ck	Com	plete	vc	02 Ma	ax (mL/k	g/mir	1)
	Ν	N	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	496	292	58.9	192	38.7	12	2.4	12.0	4.0	13.2	6.3	17.5
3 Month	308	289	93.8	18	5.8	1	0.3	10.7		10.7	10.7	10.7
6 Month	197	186	94.4	9	4.6	2	1.0	15.0	4.4	15.0	11.9	18.1
1 Year	60	58	96.7	1	1.7	1	1.7	17.4		17.4	17.4	17.4

II.C. Post Implant Summary - Functional Capacity

Exhibit 28. VO2 Max

The following figure summarize VO2 Max values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.



II.C. Post Implant Summary - Functional Capacity

Exhibit 29. Peak R

The following tables summarize Peak R completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

		COMPL STA	-					
VISIT	Patients (n)	Not D Other/U)one: nknown		Р	eak R (%)	
	N	Ν	Mean	Std	Median	Min	Max	
Pre-Implant	7	7	100.0					
3 Month	2	2						
6 Month	1	1						

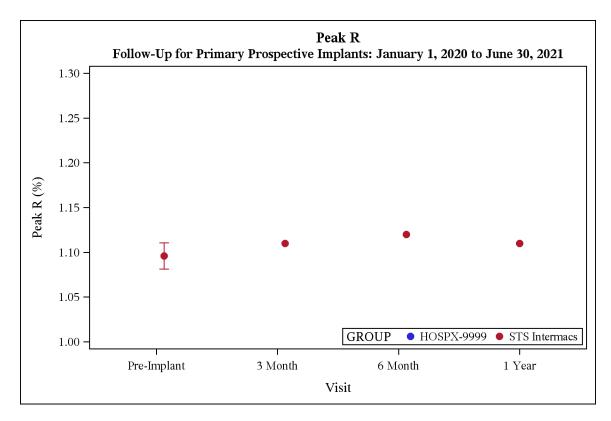
GROUP=HOSPX-9999

		с	OMPLET	ION	STA	TUS						
VISIT	Patients (n))one: nknown	Out	lier	Com	plete		P	eak R (%)	
	N	Ν	%	Ν	%	Ν	%					
Pre-Implant	496	488	98.4	3	0.6	5	1.0	1.1	0.0	1.1	1.1	1.2
3 Month	308	307	99.7			1	0.3	1.1		1.1	1.1	1.1
6 Month	197	194	98.5	2	1.0	1	0.5	1.1		1.1	1.1	1.1
1 Year	60	59	98.3			1	1.7	1.1		1.1	1.1	1.1

II.C. Post Implant Summary - Functional Capacity

Exhibit 29. Peak R

The following figure summarize Peak R values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.



II.C. Post Implant Summary - Functional Capacity

Exhibit 30. Gait Speed

The following tables summarize Gait Speed completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

		с	OMPLET	ION	STA	TUS						
VISIT	Patients)one: nknown	Do T	lot one: oo ick	Com	ploto	Gait	Snoo	d (Feet/s	Sac)	DV
	(n)	Other/O	3	CK	COM			· · · ·	· ·	,		
	N	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	7	6	85.7	1	14.3							
3 Month	2	1	50.0			1	50.0	3.0		3.0	3.0	3.0
6 Month	1	1	100.0			-						

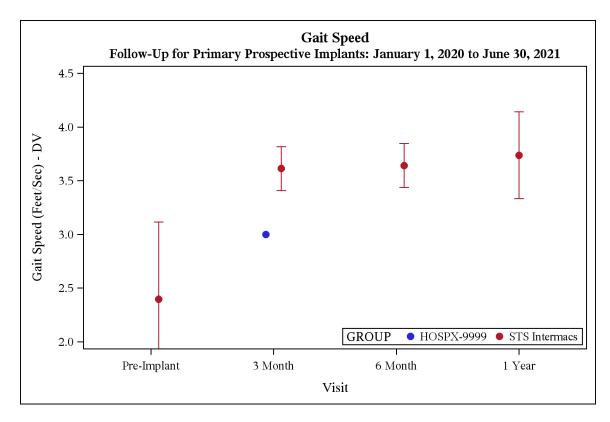
GROUP=HOSPX-9999

			COMF	PLET		STA	rus							
VISIT	Patients (n))one: nknown	Do	ot ne: oo ick	Out	lier	Com	plete	Gait	Spee	d (Feet/s	Sec)	- DV
	N	Ν	%	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	496	266	53.6	225	45.4	1	0.2	4	0.8	2.4	1.4	1.8	1.5	4.5
3 Month	308	242	78.6	23	7.5			43	14.0	3.6	1.3	3.6	1.0	7.5
6 Month	197	152	77.2	9	4.6			36	18.3	3.6	1.2	3.5	2.1	7.5
1 Year	60	46	76.7	1	1.7			13	21.7	3.7	1.5	3.8	0.9	5.8

II.C. Post Implant Summary - Functional Capacity

Exhibit 30. Gait Speed

The following figure summarize Gait Speed values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.



II.C. Post Implant Summary - Functional Capacity

Exhibit 31. Trailmaking

The following tables summarize Trailmaking completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

1007	Patients	COMP	LETIO	N ST	ATUS					
VISIT	(n)		nplete			Trailmaking Time (sec)				
	N	Ν	%	N	%	Mean	Std	Median	Min	Max
Pre-Implant	7	6	85.7	1	14.3	110.0		110.0	110.0	110.0
3 Month	2	1	50.0	1	50.0	158.0		158.0	158.0	158.0
6 Month	1	1	100.0							

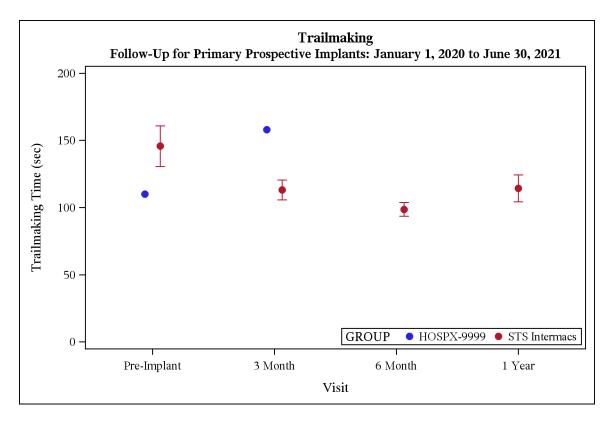
GROUP=HOSPX-9999

	Patients	СОМР	LETIO	N ST	ATUS					
VISIT	(n)		nplete				railma	king Tim	ne (se	ec)
	N	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	496	424	85.5	72	14.5	145.7	128.8	118.5	52.0	1093.0
3 Month	308	220	71.4	88	28.6	113.1	69.7	92.0	36.0	450.0
6 Month	197	132	67.0	65	33.0	98.6	41.3	92.0	4.0	238.0
1 Year	60	38	63.3	22	36.7	114.4	47.1	97.0	38.0	200.0

II.C. Post Implant Summary - Functional Capacity

Exhibit 31. Trailmaking

The following figure summarize Trailmaking values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.



II.C. Post Implant Summary - Functional Capacity

Exhibit 32. NYHA Class

The following tables summarize NYHA completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

		COMF	COMPLETION STATUS								
VISIT	Patients (n))one: nknown	Com	plete	NYHA Class					
	N	Ν	%	Ν	%	Mean	Std	Median	Min	Max	
Pre-Implant	7	4	57.1	3	42.9	3.3	1.2	4.0	2.0	4.0	
3 Month	2			2	100.0	3.0	1.4	3.0	2.0	4.0	
6 Month	1			1	100.0	2.0		2.0	2.0	2.0	

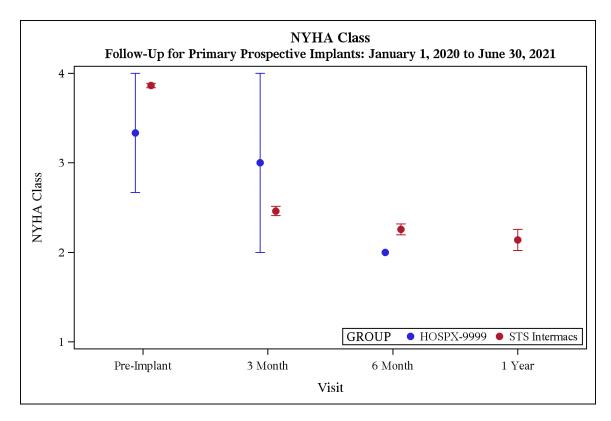
GROUP=HOSPX-9999

		COMP	LETION	STAT	US					
VISIT	Patients (n)	Not D Other/U	Com	plete		NY	HA Clas	s		
	Ν	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	496	167	33.7	329	66.3	3.9	0.5	4.0	1.0	4.0
3 Month	308	59	19.2	249	80.8	2.5	0.8	2.0	1.0	4.0
6 Month	197	30	15.2	167	84.8	2.3	0.8	2.0	1.0	4.0
1 Year	60	10	16.7	50	83.3	2.1	0.8	2.0	1.0	4.0

II.C. Post Implant Summary - Functional Capacity

Exhibit 32. NYHA Class

The following figure summarize NYHA Class values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.



II.C. Post Implant Summary - Quality of Life

Exhibit 33a. EQ-5D Index

The following tables summarize EQ-5D Index completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

		С	OMPLET			TUS						
VISIT	Patients (n)	Not I Other/U	Do T	lot one: oo ick	Com	plete	EQ-5D Index					
	Ν	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	7	5	71.4	1	14.3	1	14.3	0.6		0.6	0.6	0.6
3 Month	2					2	100.0	0.9	0.1	0.9	0.9	1.0
6 Month	1					1	100.0	1.0		1.0	1.0	1.0

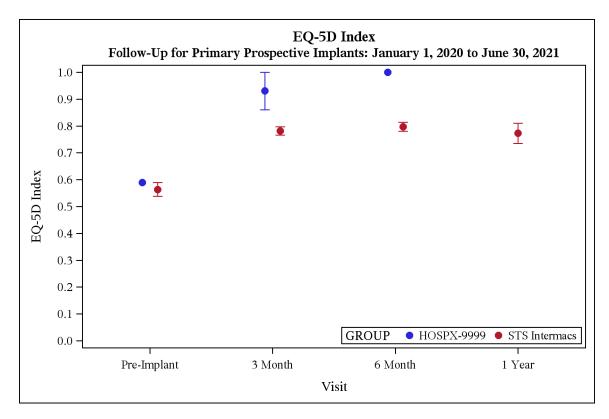
GROUP=HOSPX-9999

		C	OMPLET		STA	TUS						
VISIT	Patients (n))one: nknown	Do T	ot ne: oo ck	Com	plete		EC)-5D Inde	ex	
	Ν	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	496	250	50.4	127	25.6	119	24.0	0.6	0.3	0.6	-0.1	1.0
3 Month	308	165	53.6	12	3.9	131	42.5	0.8	0.2	0.8	0.1	1.0
6 Month	197	93	47.2	4	2.0	100	50.8	0.8	0.2	0.8	0.4	1.0
1 Year	60	28	46.7	1	1.7	31	51.7	0.8	0.2	0.8	0.1	1.0

II.C. Post Implant Summary - Quality of Life

Exhibit 33a. EQ-5D Index

The following figure summarize EQ-5D Index values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.



II.C. Post Implant Summary - Quality of Life

Exhibit 33b. EQ-5D Visual Analog Scale

The following tables summarize EQ-5D Visual Analog Scale completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

GROUP=HOSPX-9999

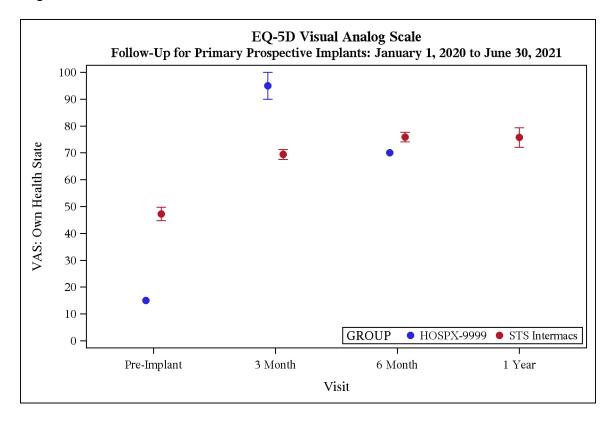
		C	OMPLET	ION		TUS						
VISIT	Patients (n))one: nknown	Not Done: Too Sick		Complete		VAS: Own Health State				
	Ν	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	7	5	71.4	1	14.3	1	14.3	15.0		15.0	15.0	15.0
3 Month	2					2	100.0	95.0	7.1	95.0	90.0	100.0
6 Month	1					1	100.0	70.0		70.0	70.0	70.0

		С	OMPLET	ION	STA	TUS						
VISIT	Patients (n)	Not E Other/U)one: nknown	Not Done: Too Sick		Com	plete	VA	AS: O	wn Heal	th Sta	ate
	Ν	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	496	255	51.4	127	25.6	114	23.0	47.3	26.7	50.0	0.0	100.0
3 Month	308	168	54.5	12	3.9	128	41.6	69.4	20.5	72.5	8.0	100.0
6 Month	197	94	47.7	4	2.0	99	50.3	75.9	17.6	80.0	0.0	100.0
1 Year	60	31	51.7	1	1.7	28	46.7	75.7	19.4	80.0	25.0	100.0

II.C. Post Implant Summary - Quality of Life

Exhibit 33b. EQ-5D Visual Analog Scale

The following figure summarize EQ-5D Visual Analog Scale values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.



II.C. Post Implant Summary - Quality of Life

Exhibit 33c. KCCQ-12 - Scored

The following tables summarize KCCQ-12 - Scored completion status and values at your site and STS Intermacs at select follow-up visits. Only follow-up data for patients first devices are considered for this summary. Unlikely values have been categorized as outliers and are listed in the accompanying data quality report.

GROUP=HOSPX-9999

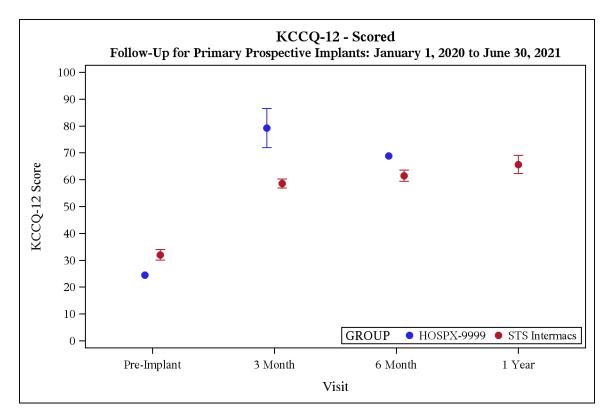
		с	OMPLET	ION		TUS						
VISIT	Patients	Not E	Do	lot one: oo								
	(n)	Other/U	Sick		Complete		KCCQ-12 Score					
	N	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	7	5	71.4	1	14.3	1	14.3	24.5		24.5	24.5	24.5
3 Month	2					2	100.0	79.2	10.3	79.2	71.9	86.5
6 Month	1		•			1	100.0	68.8		68.8	68.8	68.8

		С	OMPLET	ION	STA	TUS						
VISIT	Patients (n)		Not Done: ner/Unknown		ot ne: oo ick	Com	Complete		ксо	CQ-12 Sc	ore	
	Ν	Ν	%	Ν	%	Ν	%	Mean	Std	Median	Min	Max
Pre-Implant	496	237	47.8	134	27.0	125	25.2	32.0	21.7	27.1	0.0	96.9
3 Month	308	147	47.7	12	3.9	149	48.4	58.6	20.5	57.3	13.9	100.0
6 Month	197	86	43.7	4	2.0	107	54.3	61.5	21.4	60.9	17.2	100.0
1 Year	60	27	45.0	1	1.7	32	53.3	65.7	19.1	68.3	19.3	96.4

II.C. Post Implant Summary - Quality of Life

Exhibit 33c. KCCQ-12 - Scored

The following figure summarize KCCQ-12 - Scored values your site and STS Intermacs at select follow-up visits. Only follow-up data for patients' first devices are considered for this summary. The dots represent average values and the error bars are the standard errors.



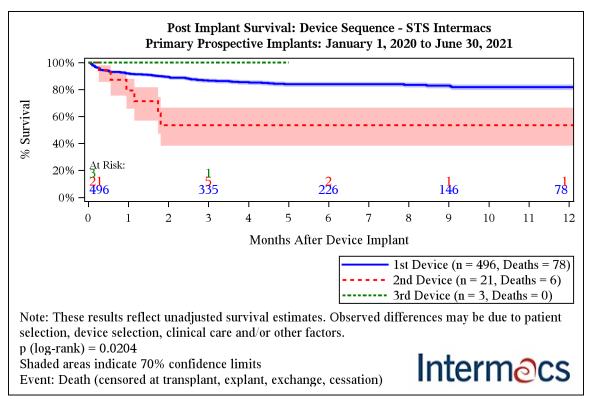
II.E. Post Implant Outcomes

This section provides statistical summaries of outcomes after VAD implant. These depictions include all patients who received a primary device at your institution and compares it with the equivalent group in Intermacs. The p-values are a univariate comparison (log-rank test) of survival at your institution with survival for the entire registry. Univariate comparisons are well known to be limited in value because no adjustment is made for differing patient characteristics in the groups. Nevertheless, these comparisons can provide an initial comparison of unadjusted results. Future version of this report will provide risk adjusted comparisons.

Note: If your site did not report any patients within a specified group, only the overall STS Intermacs data will be displayed.

Exhibit 34a. Post Implant Survival - Device Sequence - STS Intermacs

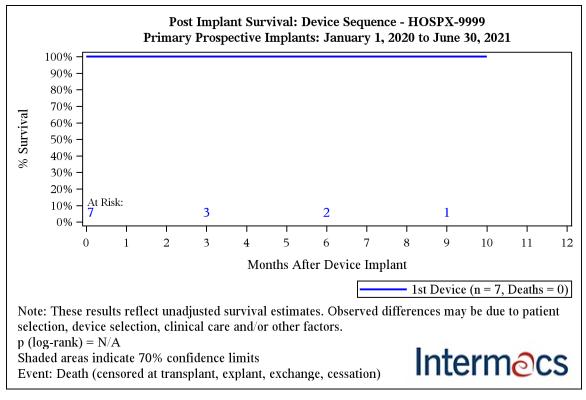
The following figure compares survival time on specific devices based on device sequence for all of STS Intermacs. Each curve represents survival from the time the device was implanted until death. Patients are censored at time of transplant, explant for any reason, or the device was turned off.



Months after Device Implant	1:	st Device	21	nd Device	3	Brd Device
1	92.0%	(90.6%-93.1%)	79.3%	(65.8%-87.9%)	100.0%	(100.0%-100.0%)
3	86.5%	(84.8%-88.0%)	53.5%	(38.3%-66.5%)	100.0%	(100.0%-100.0%)
6	83.9%	(82.0%-85.6%)	53.5%	(38.3%-66.5%)		
9	82.9%	(80.9%-84.7%)	53.5%	(38.3%-66.5%)		
12	81.7%	(79.6%-83.7%)	53.5%	(38.3%-66.5%)		

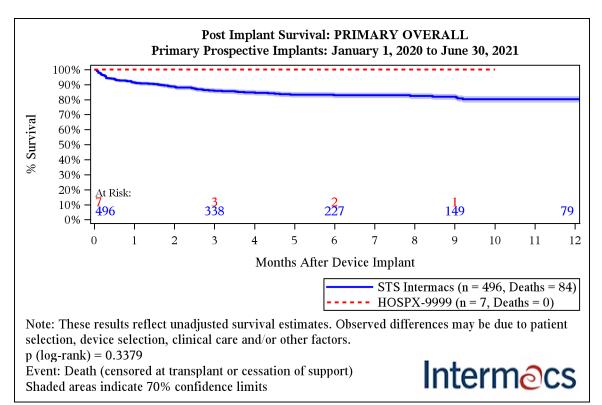
Exhibit 34b. Post Implant Survival - Device Sequence - HOSPX-9999

The following figure compares survival time on specific devices based on device sequence for your site. Each curve represents survival from the time the device was implanted until death. Patients are censored at transplant, explant for any reason, or the device was turned off.



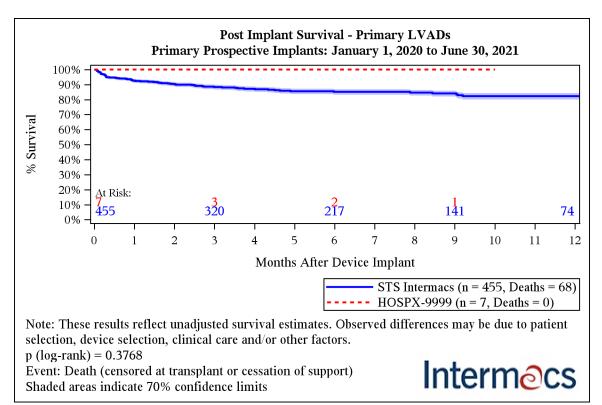
Months after Device Implant	1st Device
1	100.0% (100.0%-100.0%)
3	100.0% (100.0%-100.0%)
6	100.0% (100.0%-100.0%)
9	100.0% (100.0%-100.0%)





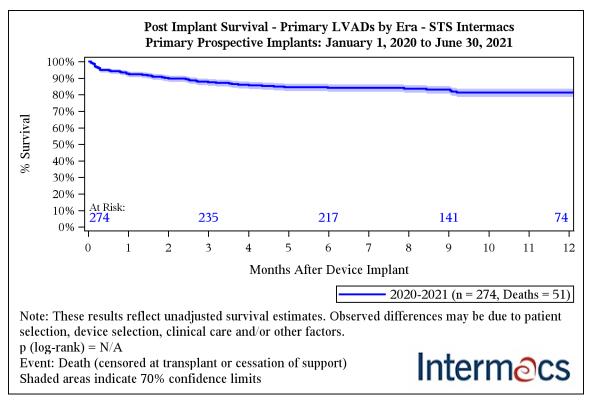
Months after Device Implant		HOSPX-9999
1	91.4% (90.0%-92.6%)	100.0% (100.0%-100.0%)
3	85.8% (84.1%-87.4%)	100.0% (100.0%-100.0%)
6	82.9% (81.0%-84.6%)	100.0% (100.0%-100.0%)
9	81.9% (79.9%-83.7%)	100.0% (100.0%-100.0%)
12	80.2% (78.0%-82.3%)	





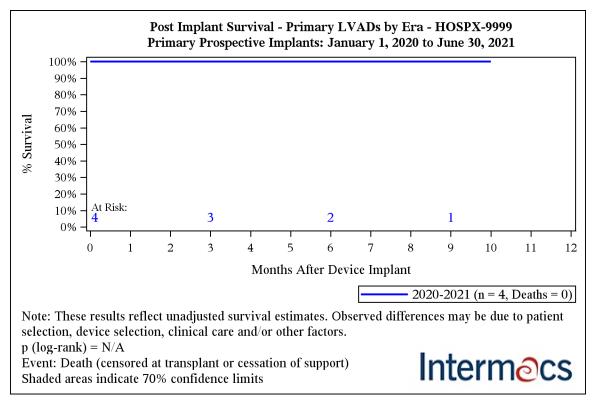
Months after Device Implant		HOSPX-9999
1	92.6% (91.3%-93.8%)	100.0% (100.0%-100.0%)
3	88.3% (86.7%-89.8%)	100.0% (100.0%-100.0%)
6	85.2% (83.3%-86.9%)	100.0% (100.0%-100.0%)
9	84.1% (82.1%-85.9%)	100.0% (100.0%-100.0%)
12	82.3% (80.0%-84.3%)	

Exhibit 37a. Post Implant Survival - Primary LVADs by Era - STS Intermacs



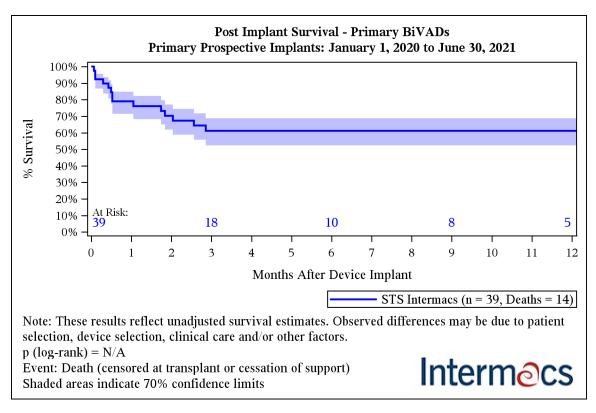
Months after Device Implant	2020-2021
1	92.7% (90.9%-94.1%)
3	87.5% (85.3%-89.4%)
6	84.1% (81.7%-86.2%)
9	83.1% (80.6%-85.2%)
12	81.3% (78.6%-83.6%)

Exhibit 37b. Post Implant Survival - Primary LVADs by Era - HOSPX-9999



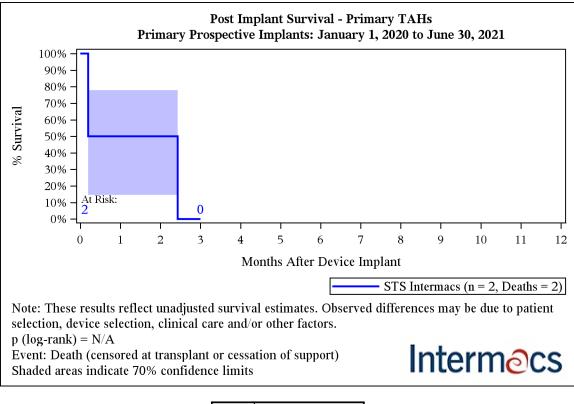
Months after Device Implant	2020-2021
1	100.0% (100.0%-100.0%)
3	100.0% (100.0%-100.0%)
6	100.0% (100.0%-100.0%)
9	100.0% (100.0%-100.0%)





Months after Device Implant	STS Intermacs
1	79.0% (71.5%-84.8%)
3	61.2% (52.4%-68.8%)
6	61.2% (52.4%-68.8%)
9	61.2% (52.4%-68.8%)
12	61.2% (52.4%-68.8%)





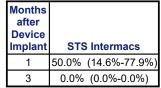
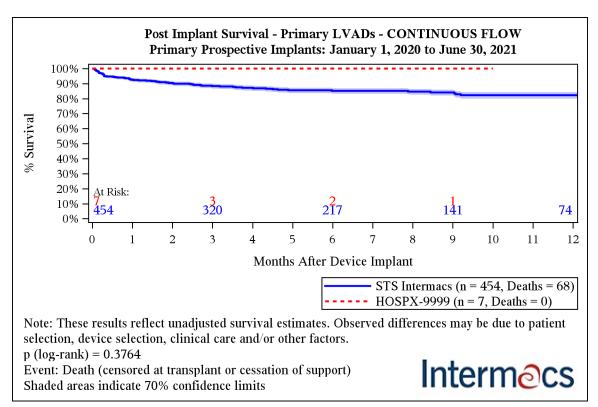


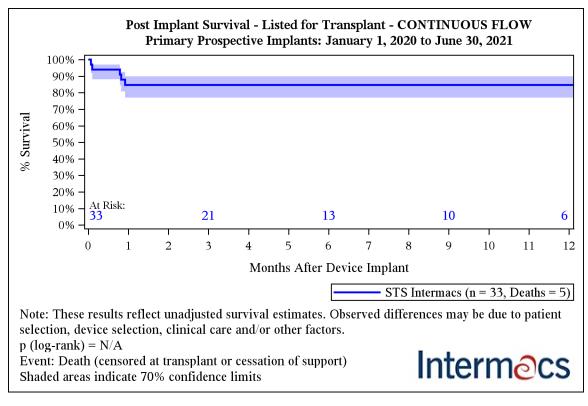
Exhibit 40. Post Implant Survival - Primary LVADs - PULSATILE FLOW Not enough patients or events to produce exhibit

Exhibit 41. Post Implant Survival - Primary LVADs - CONTINUOUS FLOW



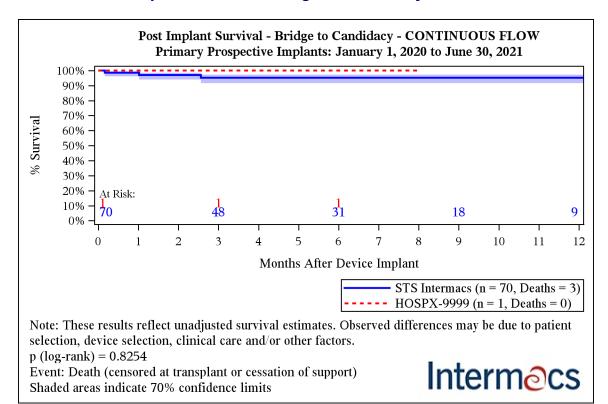
Months after Device Implant		HOSPX-9999
1	92.6% (91.3%-93.8%)	100.0% (100.0%-100.0%)
3	88.3% (86.7%-89.8%)	100.0% (100.0%-100.0%)
6	85.1% (83.2%-86.8%)	100.0% (100.0%-100.0%)
9	84.1% (82.0%-85.9%)	100.0% (100.0%-100.0%)
12	82.3% (80.0%-84.3%)	

Exhibit 42. Post Implant Survival - Listed for Transplant - CONTINUOUS FLOW



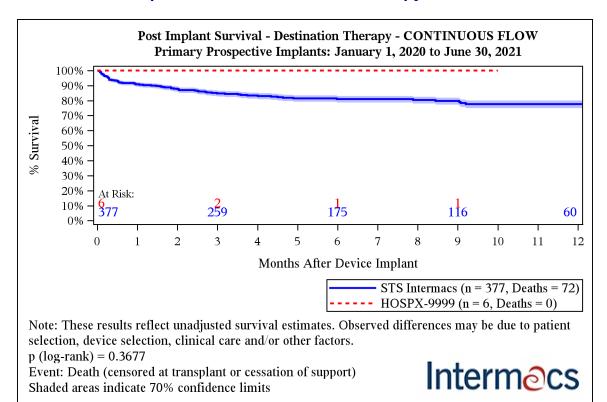
Months after Device Implant	STS Intermacs
1	84.6% (77.0%-89.9%)
3	84.6% (77.0%-89.9%)
6	84.6% (77.0%-89.9%)
9	84.6% (77.0%-89.9%)
12	84.6% (77.0%-89.9%)

Exhibit 43. Post Implant Survival - Bridge to Candidacy - CONTINUOUS FLOW



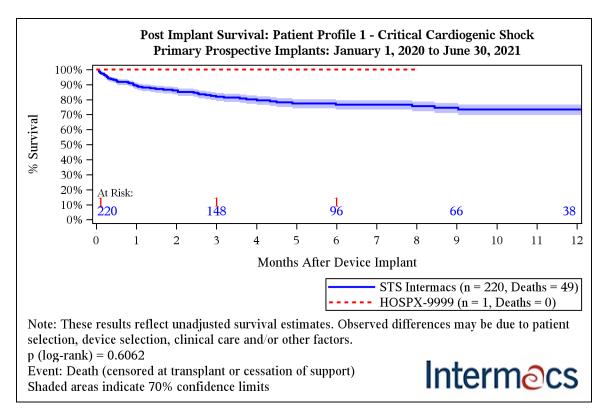
Months after Device Implant		HOSPX-9999
1	98.6% (96.2%-99.5%)	100.0% (100.0%-100.0%)
3	95.2% (91.6%-97.3%)	100.0% (100.0%-100.0%)
6	95.2% (91.6%-97.3%)	100.0% (100.0%-100.0%)
9	95.2% (91.6%-97.3%)	
12	95.2% (91.6%-97.3%)	

Exhibit 44. Post Implant Survival - Destination Therapy - CONTINUOUS FLOW



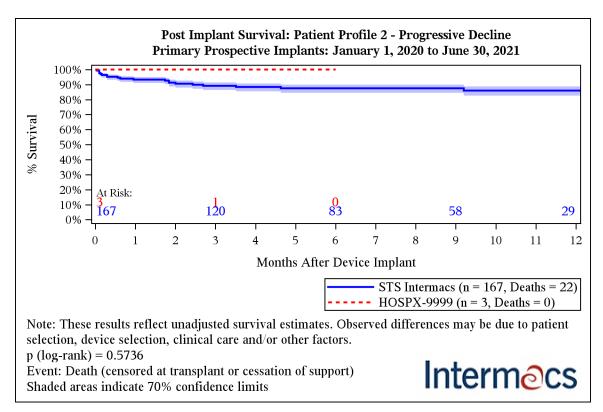
Months after Device Implant		HOSPX-9999
1	90.8% (89.2%-92.2%)	100.0% (100.0%-100.0%)
3	84.8% (82.7%-86.6%)	100.0% (100.0%-100.0%)
6	81.0% (78.7%-83.1%)	100.0% (100.0%-100.0%)
9	79.8% (77.3%-82.0%)	100.0% (100.0%-100.0%)
12	77.7% (75.0%-80.1%)	

Exhibit 45a. Post Implant Survival: Patient Profile 1 - Critical Cardiogenic Shock



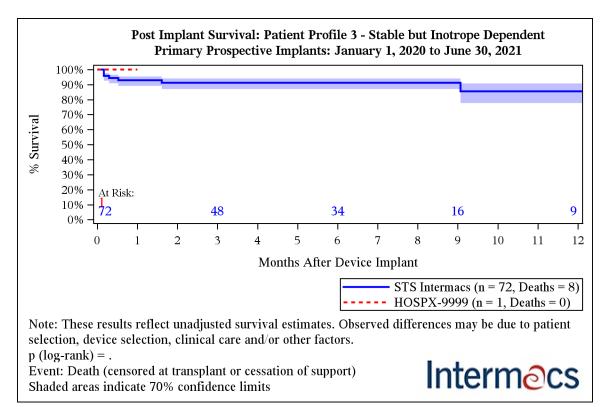
Months after Device Implant		HOSPX-9999
1	89.4% (87.2%-91.3%)	100.0% (100.0%-100.0%)
3	81.9% (79.1%-84.4%)	100.0% (100.0%-100.0%)
6	76.6% (73.4%-79.5%)	100.0% (100.0%-100.0%)
9	74.5% (71.0%-77.7%)	
12	73.4% (69.7%-76.7%)	

Exhibit 45b. Post Implant Survival: Patient Profile 2 - Progressive Decline



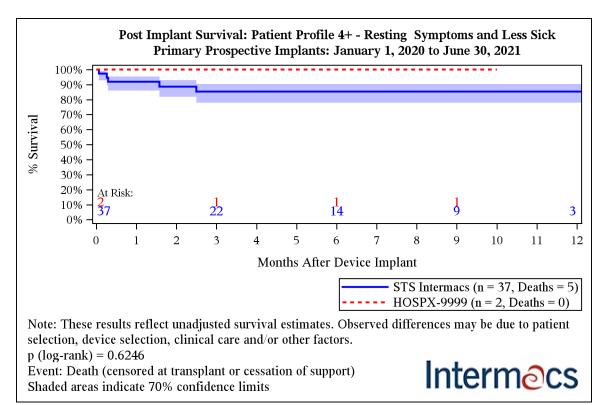
Months after Device Implant		HOSPX-9999
1	93.3% (91.1%-95.0%)	100.0% (100.0%-100.0%)
3	89.2% (86.4%-91.4%)	100.0% (100.0%-100.0%)
6	87.5% (84.5%-90.0%)	100.0% (100.0%-100.0%)
9	87.5% (84.5%-90.0%)	
12	86.0% (82.6%-88.8%)	

Exhibit 45c. Post Implant Survival: Patient Profile 3 - Stable but Inotrope Dependent



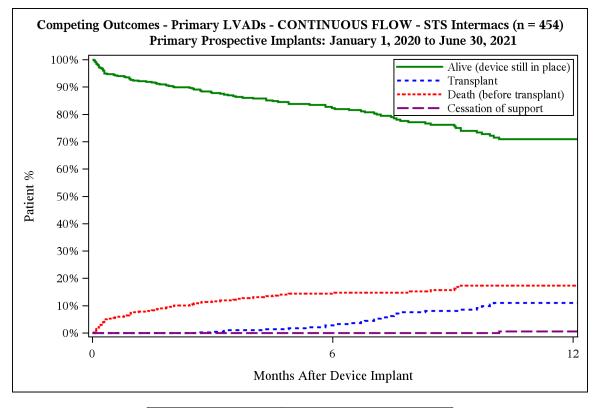
Months after Device Implant		HOSPX-9999
1	92.9% (89.1%-95.4%)	100.0% (100.0%-100.0%)
3	91.2% (87.0%-94.1%)	
6	91.2% (87.0%-94.1%)	
9	91.2% (87.0%-94.1%)	
12	85.5% (77.7%-90.7%)	

Exhibit 45d. Post Implant Survival: Patient Profile 4+ Resting Symptoms and Less Sick



Months after Device Implant		HOSPX-9999
1	91.9% (86.0%-95.4%)	100.0% (100.0%-100.0%)
3	85.3% (77.9%-90.4%)	100.0% (100.0%-100.0%)
6	85.3% (77.9%-90.4%)	100.0% (100.0%-100.0%)
9	85.3% (77.9%-90.4%)	100.0% (100.0%-100.0%)
12	85.3% (77.9%-90.4%)	

Exhibit 46a. Competing Outcomes - Primary LVADs - CONTINUOUS FLOW - STS Intermacs



Outcome	Months After Device Implant						
Outcome	1	3	6	9	12		
Alive (device still in place)	92.6%	87.8%	82.3%	76.1%	70.9%		
Transplant	0.0%	0.5%	2.9%	8.1%	11.0%		
Death (before transplant)	7.4%	11.7%	14.8%	15.8%	17.4%		
Cessation of support	0.0%	0.0%	0.0%	0.0%	0.6%		
Total	100%	100%	100%	100%	100%		

Exhibit 46b. Competing Outcomes - Primary LVADs - CONTINUOUS FLOW - HOSPX-9999 Not enough patients or events to produce exhibit

Exhibit 47a. Competing Outcomes - Primary TAHs - STS Intermacs Not enough patients or events to produce exhibit

Exhibit 47b. Competing Outcomes - Primary TAHs - HOSPX-9999 Not enough patients or events to produce exhibit

IV. Zone Conditions: Hemolysis, Hypertension, and Right Heart Failure

The following Exhibits consist of data collected regarding the three "Zone Conditions": Hemolysis, Hypertension, and Right Heart Failure. These zones were added to the STS Intermacs released in June of 2014. The zone sections, including data fields and flag calculations, were added to the Web Based Data Entry (WBDE) system. In the WBDE, the flags are calculated on any applicable form that is updated by a user after the release date, and the flags are displayed in the WBDE (on the Patient Summary). The report exhibits on the following pages provide useful, clinical analysis of the flags by adding comparison to the STS Intermacs Aggregate Group, and include some further division into relevant categories, such as classification of severity, and timing.

Note: Further information on the calculation and processing of the Zone Conditions can be found on the Intermacs.org website, in the Reports FAQ section. For any queries about the zone conditions or the exhibits, please don't hesitate to contact the Intermacs Data Warehouse and Analytics Center.

Exhibit 48a: Hemolysis Evaluation Condition Coverage at Follow-up

The table below consists of data that was collected in the Hemolysis Zone for each Follow-Up interval. Patients that were implanted with a Primary Device on or after June 2, 2014 are analyzed in this exhibit. In the 'Unable to Evaluate' column, the condition could not be evaluated due to missing variables.

GROUP=HOSPX-9999

F - 11			olysis uation	
Follow-up Visit	All		dition uated	
	Ν	N	%	
3 Month	2	2	100.00	
6 Month	1	1 100.0		

			Hemolysis Evaluation					
Follow-up Visit	AII	Unable to Co Evaluate Ev			dition luated			
	Ν	N %		Ν	%			
3 Month	308	15	4.87	293	95.13			
6 Month	197	7	3.55	190	96.45			
1 Year	60	1	1.67	59	98.33			

IV. Zone Conditions: Hemolysis

Exhibit 48b: Hemolysis Major and Minor Condition Coverage at Follow-up

The table below consists of patients who had Major or Minor Hemolysis Conditions for each Follow-Up interval. Patients that were implanted with a Primary Device on or after June 2, 2014 are analyzed in this exhibit.

GROUP=HOSPX-9999

Follow-up Visit	All	Hemolysis Condition No		
	Ν	Ν	%	
3 Month	2	2	100.00	
6 Month	1	1 100.0		

Follow-up		Hemolysis Condition					
Visit	All	Major Minor		I Major Minor N		No	
	Ν	N % N %		Ν	%		
3 Month	293	6	2.05	32	10.92	255	87.03
6 Month	190	3	1.58	10	5.26	177	93.16
1 Year	59	-		10	16.95	49	83.05

Exhibit 48c: Hypertension Evaluation Condition Coverage at Follow-up

The table below consists of data that was collected in the Hypertension Zone for each Follow-Up interval. Patients that were implanted with a Primary Device on or after June 2, 2014 are analyzed in this exhibit. In the 'Unable to Evaluate' column, the condition could not be evaluated due to missing variables.

			Hypertension Evaluation					
Follow-up Visit	AII	Unable to Evaluate						
	Ν	Ν	N %		%			
3 Month	2	1	50.00	1	50.00			
6 Month	1	1	100.00					

GROUP=HOSPX-9999

		Hypertension Evaluation			n		
Follow-up Visit	AII						
	Ν	N %		Ν	%		
3 Month	308	81	26.30	227	73.70		
6 Month	197	42 21.32		155	78.68		
1 Year	60	10	16.67	50	83.33		

Exhibit 48d: Hypertension No or Yes Condition Coverage at Follow-up

The table below consists of patients who did or did not have Hypertension Conditions for each Follow-Up interval. Patients that were implanted with a Primary Device on or after June 2, 2014 are analyzed in this exhibit.

GROUP=HOSPX-9999

Follow-up		Hypert Conc	ension lition	
Visit	All	No HTN		
	Ν	Ν	%	
3 Month	1	1 100.00		

Follow-up		Hypertension Condition				
Visit	All	H	TN	No HTN		
	Ν	Ν	%	Ν	%	
3 Month	227	4	1.76	223	98.24	
6 Month	155	5	3.23	150	96.77	
1 Year	50	•		50	100.00	

IV. Zone Conditions: Right Heart Failure

Exhibit 48e: Right Heart Failure Evaluation Condition Coverage at Follow-up

The table below consists of data that was collected in the Right Heart Failure Zone for each Follow-Up interval. Patients that were implanted with a Primary Device on or after June 2, 2014 are analyzed in this exhibit. In the 'Unable to Evaluate' column, the condition could not be evaluated due to missing variables.

GROUP=HOSPX-9999

Follow-up		RHF Evaluation			
Visit	All	Condition Evaluated			
	Ν	Ν	%		
3 Month	2	2	100.00		
6 Month	1	1	100.00		

Fallen		R	RHF Evaluation					
Follow-up Visit	AII		ole to uate	Condition Evaluated				
	Ν	Ν	%	Ν	%			
3 Month	308	21	6.82	287	93.18			
6 Month	197	9	4.57	188	95.43			
1 Year	60	5	8.33	55	91.67			

Exhibit 48f: Right Heart Failure Evaluation Condition Coverage at Follow-up

The table below consists of patients who Right Heart Failure for each Follow-Up interval, including analysis of severity. Patients that were implanted with a Primary Device on or after June 2, 2014 are analyzed in this exhibit. For full details on how each severity level is calculated, please check the Reports FAQ section on the Intermacs.org website.

GROUP=HOSPX-9999

Follow-up Visit	Δ 11	RHF Condition			
VISIC	N	N	%		
3 Month	2	2	100.00		
6 Month	1	1	100.00		

		RHF Condition								
Follow-up Visit	All	1.3. RHF4. RHFNo RHF(Mild)Support		ropic	5. RHF (RVAD Support)					
	Ν	Ν	%	Ν	%	Ν	%	Ν	%	
3 Month	287	255	88.85	11	3.83	20	6.97	1	0.35	
6 Month	188	173	92.02	8	4.26	6	3.19	1	0.53	
1 Year	55	50	90.91	4	7.27	1	1.82			

Exhibit 49: Patients Implanted at your Center but Excluded from the Cumulative - All Patient Report

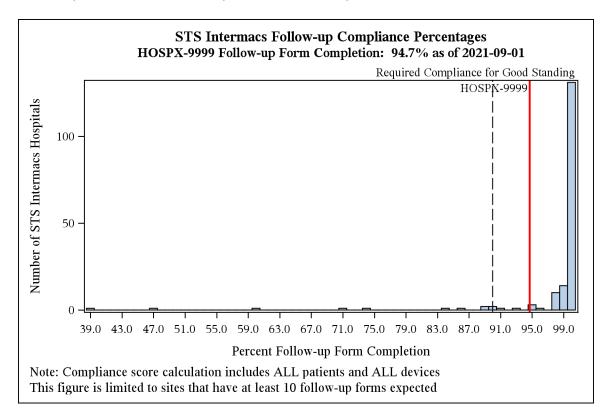
Excluded		Incolour
Patient ID	Exclusion Reason	Implant Year
	Retrospective Patient	2006
	Pediatric Patient (Age<19)	2007
	Patient Implant Date Outside of Report Coverage	
	Pediatric Patient (Age<19)	2011
	Pediatric Patient (Age<19)	2011
5561	Retrospective Patient	2010
5590	Retrospective Patient	2010
	Retrospective Patient	2010
5593	Retrospective Patient	2010
7012	Pediatric Patient (Age<19)	2012
107122	Retrospective Patient	2015
	Retrospective Patient	2018
125366	Implant, Pre-Implant, or Patient form not validate	d 2020
125722	Implant, Pre-Implant, or Patient form not validate	d 2020
126037	Implant, Pre-Implant, or Patient form not validate	d 2020
126223	Implant, Pre-Implant, or Patient form not validate	d 2020
126245	Implant, Pre-Implant, or Patient form not validate	d 2020
126375	Implant, Pre-Implant, or Patient form not validate	d 2020
126411	Implant, Pre-Implant, or Patient form not validate	d 2020
126738	Implant, Pre-Implant, or Patient form not validate	d 2021
126823	Implant, Pre-Implant, or Patient form not validate	d 2021
126928	Implant, Pre-Implant, or Patient form not validate	d 2021
127069	Implant, Pre-Implant, or Patient form not validate	d 2021
127251	Implant, Pre-Implant, or Patient form not validate	d 2021
127334	Implant, Pre-Implant, or Patient form not validate	d 2021
127727	Implant, Pre-Implant, or Patient form not validate	d 2021
127743	Implant, Pre-Implant, or Patient form not validate	d 2021
127744	Implant, Pre-Implant, or Patient form not validate	d 2021
127829	Implant, Pre-Implant, or Patient form not validate	d 2021
127830	Implant, Pre-Implant, or Patient form not validate	d 2021
127984	Implant, Pre-Implant, or Patient form not validate	d 2021
128045	Implant, Pre-Implant, or Patient form not validate	d 2021

III. Registry Compliance

Exhibit 50. Compliance

Site compliance is determined by the percentage of all follow-up forms that are due during this reporting period that have been completed. **This calculation has been updated to include ALL follow-up forms expected for ALL patients and ALL devices.** Only active sites that have at least 10 follow-up forms due are included in this figure (n = 172 sites). This exhibit is the same in both cumumlative and recent report. It is based on all follow-up forms.

STS Intermacs has defined required compliance as 90%. If your hospital is below 90%, please examine your patient follow-up table that is contained in the STS Intermacs Data Quality Quarterly Report. This table will identify the exact patients who have a 'past due' follow-up form.



V. Glossary

BiVAD: BiVentricular Assist Device BMI: Body Mass Index **BP: Blood Pressure** BSA: Body Surface Area BTC: Bridge to Candidacy BUN: Blood Urea Nitrogen CMS: Centers for Medicare and Medicaid COPD: Chronic Obstructive Pulmonary Disease CNS: Central Nervous System CRP: C - Reactive Protein CVA: Cerebrovascular Accident DCC: Data Coordinating Center **DT: Destination Therapy** ECMO: Extracorporeal-membrane Oxygenation EQ-5D: Euro Quality of Life FDA: Federal Drug Administration HF: Heart Failure IABP: Intra-Aortic Balloon Pump IgG: Immunoglobulin G **INR: International Normalized Ratio** Intermacs: Interagency Registry for Mechanically Assisted Circulatory Support LVAD: Left Ventricular Assist Device LVEF: Left Ventricular Ejection Fraction LVEDD: Left Ventricular End Diastolic Dysfunction LVSF: Left Ventricular Shortening Fraction MCSD: Mechanically Circulatory Support Device NHLBI: National Heart Lung and Blood Institute NT pro brain natriuretic peptide: N-Terminal pro brain Natriuretic peptide NYHA: New York Heart Association **OR: Operating Room** Regurg: Regurgitation RVAD: Right Ventricular Assist Device **RVEF: Right Ventricular Ejection Fraction** SAE: Serious Adverse Event SGOT-AST: Serum Glutamic Oxaloacetic Transaminase SGPT-ALT: Serum Glutamic Pyruvic Transaminase STS: Society of Thoracic Surgeons TAH: Total Artificial Heart TIA: Transient Ischemic Attack VAD: Ventricular Assist Device VAS: Visual Analog Scale