Adverse Event Status

Please enter the date of the event you	are
reporti	ng:

Please enter a label describing this event:

Rehospitalization

Was there an occurrence of rehospitalization?	○ Yes ○ No
	~ v
Is this rehospitalization at your	○ Yes ○ No
hospital?	
Date of admission	
MM/DD/YYYY	
	ST= 🔿 Unknown
Discharge Date MM/DD/YYYY	
Date of transplant, death or explant for recovery will be considered the date of discharge.	ST= 〇 Unknown
Primary reason for rehospitalization	○ Anticoagulation adjustment
	◯ Arterial Non-CNS Thrombo-embolism
	◯ Cardiac Arrhythmia
	\bigcirc Cardiac Tamponade
	○ Catastrophe (i.e. weather)
	O Device Malfunction
	◯ Diagnostic Procedure
	⊖ Explant
	⊖ Fever without known cause
	⊖ Fluid Overload
	⊖ Gastroenteritis
	⊖ GI Disorder
	\bigcirc Hematological
	⊖ Hematoma
	⊖ Hemolysis
	◯ Hepatic Dysfunction
	⊖ Hypertension
	◯ Limb vascular complication
	◯ Major Bleeding
	○ Major Infection
	○ Metabolic/Electrolyte Disturbance
	⊖ Myocardial Infarction
	○ Neurological Dysfunction
	○ Pericardial Fluid Collection
	○ Planned medical management
	⊖ Planned Procedure
	⊖ Pneumonia
	○ Psychiatric Episode
	○ Pulmonary Embolism/Hemorrhage
	O Pulmonary, Other
	○ Renal Dysfunction
	 Respiratory Failure
	⊖ Right Heart Failure
	○ Syncope without known cause
	○ Transplant

- Trauma/Accident
- \bigcirc Venous Thromboembolic Event
- \bigcirc Wound Complication
- \bigcirc Wound Dehiscence
- \bigcirc Unknown
- \bigcirc Other, specify

Rehospitalization intervention	 Surgical Procedure Heart Cath Invasive Cardiac Procedures (Other than Heart Cath) Transplantation None Unknown Other
Type of surgical procedure	 Device related operation Other Cardiac Surgical Procedure Non Cardiac Surgical Procedure Other procedure Unknown
Type of other cardiac procedure	Reoperation for Bleeding within 48 hours of implantReoperation for Bleeding and/or tamponade > 48 hoursSurgical Drainage of pericardial effusionAortic Valve Surgery - Replacement - BiologicalAortic Valve Surgery - Replacement - MechanicalAortic Valve ProcedureMitral Valve Surgery - Replacement - BiologicalMitral Valve Surgery - Replacement - BiologicalMitral Valve Surgery - Replacement - MechanicalTricuspid Valve Surgery - Replacement - MechanicalTricuspid Valve Surgery - Replacement - DeVegaTricuspid Valve Surgery - Replacement - BiologicalTricuspid Valve Surgery - Replacement - BiologicalTricuspid Valve Surgery - Replacement - BiologicalTricuspid Valve Surgery - Replacement - MechanicalTricuspid Valve Surgery - Replacement - BiologicalTricuspid Valve Surgery - Replacement - BiologicalTricuspid Valve Surgery - Replacement - BiologicalTricuspid Valve Surgery - Replacement - MechanicalTricuspid Valve Surgery - Replacement - MechanicalTricuspid Valve Surgery - Replacement - MechanicalPulmonary Valve Surgery - Replacement - BiologicalPulmonary Valve Surgery - Replacement - BiologicalPulmonary Valve Surgery - Replacement - MechanicalAneursyomectomyMitraclipTAVRArrhythmia Surgery (Ablation)Ligation of Left Atrial AppendageUnknownOther, specify
Type of procedure (non cardiac surgical procedure)	
Type of Invasive Cardiac Procedure (Other than Heart Cath)	
Enter PA systolic pressure	mmHg ST= O Unknown O Not Done

Enter PA diastolic pressure		mmHg
	ST= 〇 Unknown	
	○ Not Done	
Enter PCW pressure		
		mmHg
	ST= 〇 Unknown	
	⊖ Not Done	
Enter Cardiac output		L/min
	ST= 〇 Unknown	
	○ Not Done	
Other procedure	Intubation/Ventilator	
	Dialysis	
	Bronchoscopy	
	Other, specify	
Clinical Observations		
Systolic blood pressure		mmHg
(millimeters of mercury)	ST= () Unknown	
	\bigcirc Not done	
Diastolic blood pressure		mmHg
(millimeters of mercury)		

ST= () Unknown () Not done

Mean arterial blood pressure

ST= O Unknown O Not done ONot applicable

Has the patient experienced a Neurological Event since time of implant?

○ Yes

Unknown

Note: This applies only to patients who have had a CVA, TIA or Anoxic Brain Injury.

Modified Rankin Scale:

Please click here for further instruction on administering the Modified Rankin Scale in Appendix I. ○ 0 – No symptoms at all

 \bigcirc 1 - No Significant disability: despite symptoms: able to carry out all usual duties and activities

 \bigcirc 2 - Slight disability: unable to carry out all previous activities but able to look after own affairs without assistance

 \bigcirc 3 - Moderate disability: requiring some help, but able to walk without assistance.

4 - Moderately severe disability: unable to walk without assistance,

and unable to attend to own bodily needs without assistance.

- \odot 5 Severe disability: bedridden, incontinent and requiring constant nursing care and attention.
- 6 Dead
- Not Documented

Infection

Was there a major infection?	⊂ Yes ⊂ No
Date of onset	
MM/DD/YYYY	
	ST= OUnknown
Is this a MCS related or Non-MCS related	MCS related
infection?	Non-MCS related
Type of MCS infection	Bacterial
	Fungal
	Viral
	Protozoan
	Unknown
MCS Bacterial	Gram positive
Select all that apply	Gram negative
	Other, Specify
	Unknown
MCS Gram positive	
	Staphylococcus, Methicillin Resistant
	Staphylococcus, Methicillin Sensitive
	Streptococcus
	Other, Specify
NOC One in a setting	Citrabastar
MCS Gram negative	Citrobacter
	Enterobacteriaceae
	Escherichia
	Haemophilus
	Klebsiella Moraxella
	Pseudomonas
	Serratia
	Other, Specify
Type of Non-MCS infection	Bacterial
Type of Non-WCS infection	Fungal
	Protozoan
	Unknown
Non-MCS Bacterial	Gram positive
Coloct all that apply	Gram negative
Select all that apply	Other, Specify

	Unknown
Non-MCS Gram positive	 Enterococcus Staphylococcus, Methicillin Resistant Staphylococcus, Methicillin Sensitive Streptococcus Other, Specify
Non-MCS Gram negative	 Citrobacter Enterobacteriaceae Escherichia Haemophilus Klebsiella Moraxella Pseudomonas Serratia Other, Specify
MCS Related Infections: Select all that apply	 Percutaneous lead site infection Infection of external surfaces of an implantable component A positive culture from the tissue surrounding the external housing of a pump or one of its components implanted within the body (including device components such as controllers, batteries, etc.), when there is clinical evidence of infection such as pain, fever, drainage, erythema, or leukocytosis coupled with the need to treat with anti-microbial therapy Infection of blood-contacting surfaces of an implantable component (device endocarditis) Infection of blood-contacting internal surfaces of the MCS device including inflow/outflow grafts: documented by positive blood cultures or radiographic or echocardiographic evidence of vegetation in blood flow path of the pump coupled with the need to treat with anti-microbial therapy Unknown Other, Specify
Percutaneous lead site infection: Select one	 Superficial percutaneous lead infection A positive culture from the skin surrounding the percutaneous lead when there is clinical evidence of infection such as pain, fever, drainage, erythema, or leukocytosis coupled with the need to treat with anti-microbial therapy. The percutaneous lead exit site is preserved. The gram stain of the skin specimen at the driveline exit site will contain white blood cells (i.e. positive sign for inflammation) Deep percutaneous lead infection A positive culture from the driveline exit site deep to the epithelium, when there is clinical evidence of infection such as pain, fever, drainage, erythema, or leukocytosis coupled with the need for microbial therapy. Unknown
Infection of external surfaces of an implantable component: Select all that apply	 Pump / related - Exit Cannula Pump / related - Pump Pocket Pump / related - transcutaneous power element Pump / related - implantable battery Unknown
Infection of external surfaces of an implantable component: Was the patient	YesNo○ Unknown

If yes, select route	IV Oral Topical Unknown
Percutaneous lead site infection: Was the patient treated with anti-microbial therapy?	 Yes No Unknown
If yes, select route	
	Oral
	Topical Unknown
Infection of blood-contacting surfaces of an	⊖ Yes
implantable component (device endocarditis):	
Was the patient treated with anti-microbial therapy?	O Unknown
If yes, select route	
	Oral
	Topical Unknown
MCS related - Other, specify: Was the patient	
treated with anti-microbial therapy?	O No O Unknown
If yes, select route	
•	Oral
	Topical
	Unknown
Non-MCS Related Infections: Select all that apply	Infective Endocarditis Non-MCS related (Positive blood cultures and echocardiography findings for mass or vegetation only on native valves, ICD, or pacemaker leads)
	Bloodstream Infection Positive blood cultures with no other source
	identified; Bloodstream infection: non-VAD site or central venous catheter- related (definition from the Centers for Disease Control/National Healthcare Safety Network)
	Mediastinitis
	Sepsis Life-threatening organ dysfunction caused by a dysregulated host response to infection with: Evidence of systemic involvement by infection, manifested by need to treat with anti-microbial therapy and positive blood cultures and/or two of the following: (PaO2/FIO2 < 400 or respiratory rate =
	22/min or ventilated respiratory support, Hypotension with systolic BP < 100 mmHg or MAP = 65 mmHg, Platelet count < 150 or elevated prothrombin time or fibrinogen degradation products, Bilirubin (serum) > 50% above baseline, Altered mental status (Glasgow score < 15), Creatinine (serum) > 50% above
	 baseline, Need for intravenous vasoconstricting agents) Localized non-MCS infection Infection localized to a site not involving the MCS device or components (e.g., pneumonia, urinary tract infection, cholecystitis, diverticulitis, dental abscess) coupled with the need to treat with anti-misrabial therapy. A positive aulture from the infected site or argan should
	anti-microbial therapy. A positive culture from the infected site or organ should be present unless strong clinical evidence indicates the need for treatment despite negative cultures Other, Specify

	Unknown
Infective Endocarditis: Was the patient	⊖ Yes
treated with anti-microbial therapy?	○ No
	O Unknown
If yes, select route	
	Oral
	Topical
	Unknown
BSI: Was the patient treated with anti-	◯ Yes
microbial therapy?	O No
morobial morapy.	O Unknown
lf	
If yes, select route	
	Oral
	Topical
	Unknown
Mediastinitis: Select subtype	◯ Procedure-related mediastinitis
	O Non-MCS related mediastinitis Mediastinitis definitively owing to another
	cause e.g., esophageal perforation during endoscopy, contiguous with
	empyema
	O Superficial mediastinal or thoracotomy wound infection Infection involving
	only skin, subcutaneous fat, and muscle of implant incision
	O Unknown
Procedure-related mediastinitis: Select one	O Deep sternal wound infection (isolated)
	\odot Deep sternal wound infection involving MCS device components
	Continuous with mediastinum or already situated in the mediastinum. May be
	contiguous with implanted components of the MCS device
	Unknown
Mediastinitis: Was the patient treated with	⊖ Yes
Mediastinitis: Was the patient treated with anti-microbial therapy?	○ No
-	
anti-microbial therapy?	○ No
-	O No O Unknown
anti-microbial therapy?	No Unknown IV Oral
anti-microbial therapy?	No Unknown
anti-microbial therapy? If yes, select route	 No Unknown IV Oral Topical Unknown
anti-microbial therapy? If yes, select route Sepsis: Was the patient treated with anti-	 No Unknown IV Oral Topical Unknown Yes
anti-microbial therapy? If yes, select route	 No Unknown IV Oral Topical Unknown Yes No
anti-microbial therapy? If yes, select route Sepsis: Was the patient treated with anti-	 No Unknown IV Oral Topical Unknown Yes
anti-microbial therapy? If yes, select route Sepsis: Was the patient treated with anti-	 No Unknown IV Oral Topical Unknown Yes No
anti-microbial therapy? If yes, select route Sepsis: Was the patient treated with anti- microbial therapy?	 No Unknown IV Oral Topical Unknown Yes No Unknown
anti-microbial therapy? If yes, select route Sepsis: Was the patient treated with anti- microbial therapy?	 No Unknown IV Oral Topical Unknown Yes No Unknown IV Oral
anti-microbial therapy? If yes, select route Sepsis: Was the patient treated with anti- microbial therapy?	 No Unknown IV Oral Topical Unknown Yes No Unknown IV Oral
anti-microbial therapy? If yes, select route Sepsis: Was the patient treated with anti- microbial therapy? If yes, select route	 No Unknown IV Oral Topical Unknown Yes No Unknown IV Oral Topical Unknown
anti-microbial therapy? If yes, select route Sepsis: Was the patient treated with anti- microbial therapy? If yes, select route	 No Unknown IV Oral Topical Unknown Yes No Unknown IV Oral Topical Unknown Pneumonia
anti-microbial therapy? If yes, select route Sepsis: Was the patient treated with anti- microbial therapy? If yes, select route	 No Unknown IV Oral Topical Unknown Yes No Unknown IV Oral Topical Unknown Pneumonia Tracheobronchitis
anti-microbial therapy? If yes, select route Sepsis: Was the patient treated with anti- microbial therapy? If yes, select route	 No Unknown IV Oral Topical Unknown Yes No Unknown IV Oral Topical Unknown Pneumonia Tracheobronchitis Urinary Tract
anti-microbial therapy? If yes, select route Sepsis: Was the patient treated with anti- microbial therapy? If yes, select route	 No Unknown IV Oral Topical Unknown Yes No Unknown IV Oral Topical Unknown Pneumonia Tracheobronchitis

	GI Other, Specify
	Unknown
Localized non-MCS device infection: Was the	○ Yes
patient treated with anti-microbial therapy?	○ No
	O Unknown
If yes, select route	IV
	Oral
	Topical
	Unknown
Non-MCS related - Other, specify: Was the	○ Yes
patient treated with anti-microbial therapy?	○ No
	Unknown
If yes, select route	
-	Oral
	Topical
	Unknown
Did this infection contribute to death?	○ Yes
	○ No
	Unknown
The association of the infection event should	O Patient related e.g., non-adherence or poor management of driveline exit
be classified as	site or indwelling catheters, IV drug abuse, aspiration
	\odot Management related $$ e.g., improper tunneling, contamination of the
	intraoperative site, prolonged intubation
	\bigcirc Device related $$ e.g., device endocarditis diagnosed by radiological
	examination or detection of pannus within the conduits or device
	O No association identified
Location of patient	In hospital
	Out of hospital
	Unknown
Was surgery an intervention for this AE?	○ Yes
	No
	O Unknown
Did the patient test positive for COVID-19?	Yes
	○ No
	O Unknown
If yes, select all symptoms that apply	Cough
	Diarrhea
	Anosmia (loss of sense of smell)
	Sore Throat
	Difficulty Breathing None
	Other, Specify
	_ calor, opeony

If yes, select all interventions that apply	Intubation New Inotropes ECMO Dialysis RVAD None Other, Specify
If yes, select all therapies the patient received	 Hydroxychloroquine Azithromycin Immunoglobulin Anti-viral therapy Steroids Convalescent Plasma Interlukin 6 inhibitor None Other, Specify
Anti-viral therapy, specify:	
If yes, did the patient have an associated bacterial lung infection?	YesNoUnknown

Bleeding

Transfusions for anemia and hemolysis are not considered bleeding events

Was there a major bleeding event?	○ Yes
	○ No
	O Unknown
If yes, Select Type	 Type 1 Bleeding that is not actionable and does not cause the patient to seek unscheduled performance of studies, hospitalization, or treatment by a healthcare professional; may include episodes leading to self-discontinuation of medical therapy by the patient without consulting a healthcare professional. This type is not relevant during a hospitalization Type 2 Any overt, actionable sign of hemorrhage (e.g., more bleeding than would be expected for a clinical circumstance, including bleeding found by imaging alone) that does not fit the criteria for Type 3, 4, or 5 but does meet at least one of the following criteria (1. Requiring non-surgical, medical intervention by a healthcare professional, 2. Leading to hospitalization or increased level of care, 3. Prompting evaluation) Type 3a Overt bleeding accompanied by hemoglobin drop of 3 to < 5g/dl or (1.86-3.1 mmol/liter SI units) (provided hemoglobin drop is related to bleed) Type 4 VAD implantation-related bleeding (includes concomitant cardiac or non-cardiac surgical procedures) Type 5 Fatal bleeding
Type 2: select all that apply	 Requiring non-surgical, medical intervention by a healthcare professional Leading to hospitalization or increased level of care Prompting evaluation
Type 3b: select all that apply	 Cardiac tamponade Bleeding requiring surgical intervention for control (excluding dental/nasal/skin/hemorrhoid) Bleeding requiring intravenous vasoactive agents Other, Specify
Type 4: select all that apply	 Reoperation after the closure of incision or incisions used to implant the VAD to control bleeding >= 50 kg: >= 4U PRBC within any 48 hours during the first 7 days post-implant < 50 kg: >= 20 cm3/kg PRBC within any 24 hours during the first 7 days post-implant Chest tube output > 2 liters within 24 hours
Type 5: select one	 Type 5a: Probable fatal bleeding; no autopsy or imaging confirmation but clinically suspicious Type 5b: Definite fatal bleeding; overt bleeding or autopsy or imaging confirmation Unknown
Source/cause/location of bleeding	 Mediastinal: chest wall Mediastinal: outflow-aorta anastomosis

	 Mediastinal: inflow conduit Mediastinal: cardio-pulmonary bypass cannulation site Mediastinal: coagulopathy with no surgical site Mediastinal: other surgical sites Pump or implanted component pocket (battery or controller) Mediastinal: Unspecified Pleural space Intra-abdominal Retroperitoneal Pulmonary Genitourinary tract GI: Upper gastrointestinal (colon, rectum, and anus) GI: unknown, but guaiac positive stools ENT/Dental Other, specify
Date of bleeding episode onset	ST= OUnknown
Location of patient	 In hospital Out of hospital Unknown
Anticoagulant therapy at time of event Select all that apply	WarfarinHeparinLovenoxAspirinDipyridamoleClopidogrel (plavix)ArgatrobanBivalirudinFondaparinuxDextranTiclopidineHirudinLepirudinXimelagatranNoneOther, specify
The association of the bleeding event should be classified as Select one	 Patient related e.g., coagulopathy unrelated to surgical technique such as non-adherence with anti-coagulation medication resulting in an inappropriately high level of anti-coagulation, hepatic failure Management related e.g., related to surgical technique; hypertension; bleeding in the setting of inappropriate levels of anti-coagulation or to mismanagement of anti-coagulants Device related e.g., bleeding from the outflow graft, apical connector, or other internal components No association identified

Neurological Dysfunction

Was there a neurological dysfunction?	○ Yes ○ No
	Unknown
Select type	 Type 1 - Overt CNS injury Acutely symptomatic brain or spinal cord injury Type 2 - Covert CNS injury Acutely asymptomatic brain or spinal cord injury detected by neuroimaging
	 Type 3 - Neurologic dysfunction (acutely symptomatic) without CNS injury Include seizures here
Type 1: select subtype	Type 1a - Ischemic stroke Sudden onset of neurologic signs or symptoms fitting a focal or multifocal vascular territory within the brain, spinal cord, or retina
	Type 1ah - Ischemic stroke with hemorrhagic conversion Ischemic stroke includes hemorrhagic conversions
	Type 1b - Symptomatic intracerebral hemorrhage Rapidly developing
	neurologic signs and symptoms (focal or global) caused by an intraparenchymal, intraventricular, spinal cord, or retinal collection of blood, no caused by trauma
	Type 1c - Symptomatic subarachnoid hemorrhage Rapidly developing neurologic signs or symptoms (focal or global) and/or headache caused by bleeding into the sub-arachnoid space, not caused by trauma
	Type 1d - Stroke, not otherwise specified An episode of acute focal neurologic signs or symptoms and/or headache presumed to be caused by CNS ischemia or CNS hemorrhage, persisting 24 hours or until death, but without sufficient evidence to be classified as one of the above (i.e., no
	 neuroimaging performed) Type 1e - Symptomatic hypoxic-ischemic injury Non-focal (global) neurologic signs or symptoms due to diffuse brain, spinal cord, or retinal cell death (confirmed by pathology or neuroimaging) in a non-vascular distribution, attributable to hypotension and/or hypoxia
	Type 1f - Symptomatic subdural hemorrhage An episode of acute focal neurologic signs or symptoms and/or headache accompanied by evidence of
	 bleeding into the subdural space: not caused by an accident or trauma. Type 1g– Traumatic Brain Injury Intracerbral, Subarachnoid or subdural- A brain bleed due to an injury. Examples: Falls, Motor Vehicle accident Unknown
Type 2: select subtype	Type 2a - Covert CNS infarction Brain, spinal cord or retinal cell death attributable to focal or multifocal ischemia on the basis of neurological imaging or pathologic evidence of CNS infarction, without a history of acute neurologic symptoms consistent with the lesion location
	 Type 2ah - Covert CNS infarction with hemorrhagic conversion Type 2b - Covert CNS hemorrhage Neuroimaging or pathologic evidence of CNS hemorrhage within the brain parenchyma, subarachnoid space, subdural space, ventricular system Unknown
Type 3: select subtype	Type 3a - TIA Transient focal neurologic signs or symptoms (lasting < 24 hours presumed to be owing to the focal brain, spinal cord, or retinal ischemia but without evidence of acute infarction by neuroimaging or pathology (or in th absence of imaging)
	 Type 3b - Delirium without CNS injury Transient non-focal global neurologic signs or symptoms (variable duration) without evidence of cell deat

	by neuroimaging or pathology injury
	Seizure
	O Unknown
Type 1a: select one	 Persist for 24 hours or until death With pathology or neuroimaging evidence that demonstrates either (a) CNS infarction in the corresponding vascular territory (with or without hemorrhage) or (b) Absence of other apparent causes (including hemorrhage), even if no evidence of acute ischemia in the corresponding vascular territory is detected Symptoms lasting < 24 hours With pathology or neuroimaging confirmation of CNS infarction in the corresponding vascular territory. Note: when CNS infarction location does not match the transient symptoms, the event would be classified as covert CNS infarction (Type 2a) and a TIA (Type 3a), but not an ischemic stroke. Signs and symptoms consistent with stroke typically include an acute onset of one of the following: focal weakness and/or numbness, impaired language production or comprehension, homonymous hemianopia or quadrantanopia, diplopia, altitudinal monocular blindness, hemispatial neglect, dysarthria, vertigo, or ataxia. For pediatric patients, generalized symptoms such as seizure, irritability, or altered wakefulness may be accepted as confirmation of acute stroke if imaging or pathology demonstrates previously
	undocumented CNS infarction
Type 1ah: select one	 Class A Petechial (non-space-occupying) hemorrhage: Petechiae or confluent petechiae within the infarction or its margins, but without a space-occupying effect. Class B Confluent (space-occupying) hemorrhage: Confluent hemorrhage or hematoma originating from within the infarcted area with space-occupying effect.
	roughout the patient's complete STS Intermacs® lifespan.
Modified Rankin Scale Please click here for further instruction on administering the Modified Rankin Scale in Appendix I.	 0 - No symptoms at all 1 - No Significant disability: despite symptoms: able to carry out all usual duties and activities
	 2 - Slight disability: unable to carry out all previous activities but able to look after own affairs without assistance 3 - Moderate disability: requiring some help, but able to walk without assistance. 4 - Moderately severe disability: unable to walk without assistance, and unable to attend to own bodily needs without assistance. 5 - Severe disability: bedridden, incontinent and requiring constant nursing care and attention. 6 - Dead Not Documented Not Done
NIH Stroke Scale Please click here for further instruction on administering the NIHSS in Appendix I.	 look after own affairs without assistance 3 - Moderate disability: requiring some help, but able to walk without assistance. 4 - Moderately severe disability: unable to walk without assistance, and unable to attend to own bodily needs without assistance. 5 - Severe disability: bedridden, incontinent and requiring constant nursing care and attention. 6 - Dead Not Documented
Please click here for further instruction on administering the	 look after own affairs without assistance 3 - Moderate disability: requiring some help, but able to walk without assistance. 4 - Moderately severe disability: unable to walk without assistance, and unable to attend to own bodily needs without assistance. 5 - Severe disability: bedridden, incontinent and requiring constant nursing care and attention. 6 - Dead Not Documented 0-5 6-14 15+ Not Documented

Did this neurological dysfunction adverse event contribute to the patient's death?	 Yes No Unknown
The association of the neurologic event should be classified as	 Patient related e.g., documentation of previous carotid or cerebrovascular disease, coagulopathy unrelated to surgical technique such as non-adherence with anti-coagulation medication resulting in an inappropriately high level of anticoagulation, related to illicit drug use, non-adherence with other medications, trauma, associated with sepsis Management related e.g., over anti-coagulation or associated with the use of accessory assist device, hypotension or hypertension-related to surgical procedure Device related e.g. secondary to pump thrombosis or device malfunction No association identified
Method of diagnosis of CNS event	CT MRI Angiogram Clinical Unknown Other, specify
Anticoagulant therapy at time of event Check all that apply	Warfarin Heparin Lovenox Aspirin Dipyridamole Clopidogrel (plavix) Argatroban Bivalirudin Fondaparinux Dextran Ticlopidine Hirudin Lepirudin Ximelagatran None Other, specify

Device Malf/Failure and/or Pump Thrombus

Was there a device malfunction / failure and /	○ Yes
or a pump thrombus?	○ No
Was there a device malfunction?	⊖ Yes
A device malfunction occurs when any component of the	○ No
MCSD system ceases to operate to its designed	O Unknown
performance specifications or otherwise fails to perform as	
intended. Performance specifications include all claims made in the instructions for use. Device malfunctions are	
further defined as major or minor.	
If yes, select type	◯ Major Device Malfunction
	O Minor Device Malfunction
	O Unknown
If Major Device Malfunction, check all criteria	Death
that apply	Hospitalization Emergency room visit or prolongation of hospitalization, or
	escalation of the level of care in an ongoing hospitalization (i.e., transfer to the
	intensive care unit) I Life-threatening event i.e. stroke or TIA, cardiac arrest, heart failure,
	syncope or near syncopal event, arrhythmia, etc.
	Results in significant disability or incapacity
	Requires an intervention to prevent impairment/injury Urgent
	transplantation listing (immediate urgent listing for the transplant), Pump
	replacement, Pump explant, Pump deactivation without explant or partial
	explant of components, Breach of integrity of percutaneous lead requiring
	repair, Operation to repair or replace any internal component of the circulatory
	support system, Procedure to repair or stent an outflow graft
	Unknown
Requires an intervention to prevent	Urgent transplantation listing (immediate urgent listing for the transplant
impairment/injury, check all criteria that apply	Pump replacement (please enter explant form and add new device to
[record exchange)
	Pump explant (please complete explant form)
	Pump deactivation without explant or partial explant of components
	(please complete explant form and select explant reason: turned off
	(decommissioned))
	Breach of integrity of percutaneous lead requiring repair
	Operation to repair or replace any internal component of the circulatory
	support system
	Procedure to repair or stent an outflow graft
	Unknown
Date of Device Malfunction onset	
MM/DD/YYYY	
Device Type	
Location of nationt	In hospital
Location of patient	 In hospital Out of hospital

Description of Malfunction Please briefly describe this device malfunction including what happened, what component was involved, method of diagnosis, intervention(s) if any, and the result.	
Pump	○ Yes ○ No
Pump Component(s)	 Pump Body (including bearings and rotor) Driveline Inflow Cannula Outflow Graft (including bend relief)
Implantable component(s)	○ Yes ○ No
Implantable component(s) Check all that apply	 Percutaneous driveline Implantable batteries Other, Specify
Controller	○ Yes ○ No
Controller Component(s)	 Primary System Failure (running in backup mode) Complete System Failure (primary and backup failure) Power Cable (attached to controller) Power Connectors (attached to controller) Other, Specify
Peripherals	○ Yes ○ No
Peripheral Component(s)	 External Battery Cell Battery (in controller) Power Module Patient Cable System Monitor / Display Battery Charger Battery Clip
Pump (RVAD)	○ Yes ○ No
Pump Component(s) (RVAD)	 Pump Body (including bearings and rotor) Driveline Inflow Cannula Outflow Graft (including bend relief)
Implantable component(s) (RVAD)	○ Yes ○ No
Implantable component(s) (RVAD)	 Percutaneous driveline Implantable batteries

Other, Specify

	Other, Specify
Controller (RVAD)	○ Yes ○ No
Controller Component(s) (RVAD)	 Primary System Failure (running in backup mode) Complete System Failure (primary and backup failure) Power Cable (attached to controller) Power Connectors (attached to controller) Other, Specify
Peripherals (RVAD)	YesNo
Peripheral Component(s) (RVAD)	 External Battery Cell Battery (in controller) Power Module Patient Cable System Monitor / Display Battery Charger Battery Clip
Was there a device thrombus? Device thrombus: Intracorporeal device thrombus represents a special case of major device malfunction and can be categorized as a suspected device thrombus or confirmed device thrombus. Device thrombus will be classified as suspected (see definition below) on the basis of clinical, biochemical, or hemodynamic findings or confirmed (see definition below) on the basis of device inspection or incontrovertible radiologic studies or absence of appropriate Doppler flow signals that confirm thrombus within the device or its conduits that results in or could potentially induce circulatory failure.	Yes No Unknown
If yes, select type (suspected or confirmed).	 Suspected device thrombus A device-related malfunction in which clinical or MCSD parameters suggest thrombus on the blood-contacting components of the pump, cannula, or grafts Confirmed device thrombus A major device-related malfunction in which thrombus is confirmed within the blood-contacting surfaces of device inflow cannula or outflow conduit or grafts. This can be reported through direct visual inspection or by incontrovertible contrast radiographic evidence or by the absence of an appropriate Doppler flow signal that results in or could potentially induce circulatory failure or result in thromboembolism
If suspected device thrombus, check all signs and symptoms that apply	 Presence of major hemolysis Including elevation of biochemical markers of hemolysis; i.e., lactate dehydrogenase or plasma-free hemoglobin, or clinical evidence of hemolysis; i.e., hemoglobinuria Presence of heart failure not explained by structural heart disease Abnormal pump parameters consistent with diminished pump output/pump efficiency/pump performance Unknown
If suspected device thrombus, check all events/interventions that apply:	 Death (please complete death form) Stroke or TIA (please complete neuro dysfunction adverse event) Arterial non-CNS thromboembolism (please complete adverse event form) De-novo need for inotrope therapy

	 Treatment with intravenous anti-coagulation (i.e., heparin), intravenous thrombolytics (i.e., tPA), or intravenous anti-platelet therapy (i.e., eptifibatide, tirofiban) Rum repleaement (places enter explant form and add now device to the second seco
	 Pump replacement (please enter explant form and add new device to record exchange) Pump explanation with or without exchange (please complete explant
	Pump explantation with or without exchange (please complete explant form)
	Pump deactivation without pump removal (please complete explant form and select explant reason: turned off (decommissioned))
	Operation to repair or replace any internal component of the circulatory support system
	 Urgent transplantation listing Immediate urgent listing for transplant Unknown
If confirmed device thrombus, check all	Death
criteria that apply	Hospitalization, emergency room visit or prolongation of hospitalization, or escalation of the level of care in an ongoing hospitalization i.e. transfer
	to the intensive care unit
	Life-threatening event i.e., stroke or TIA, cardiac arrest, heart failure,
	syncope or near syncopal event, arrhythmia, etc.
	Results in significant disability or incapacity Requires an intervention to prevent impairment/injury Urgent
	transplantation listing (immediate urgent listing for the transplant), Pump
	replacement, Pump explant, Pump deactivation without explant or partial
	explant of components, Breach of integrity of percutaneous lead requiring
	repair, Operation to repair or replace any internal component of the circulatory
	support system, Procedure to repair or stent an outflow graft
	Unknown
Requires an intervention to prevent impairment/injury, check all criteria that apply	 Urgent transplantation listing (immediate urgent listing for the transplant) Pump replacement (please enter explant form and add new device to record exchange)
	Pump explant (please complete explant form)
	Pump deactivation without explant or partial explant of components (please complete explant form and select explant reason: turned off (decommissioned))
	Breach of integrity of percutaneous lead requiring repair
	Operation to repair or replace any internal component of the circulatory
	support system
	Procedure to repair or stent an outflow graft
	Unknown
If confirmed device thrombus, check all signs	Presence of major hemolysis including elevation of biochemical markers of
and symptoms and events/interventions that	hemolysis; i.e., lactate dehydrogenase or plasma-free hemoglobin, or clinical
apply	evidence of hemolysis; i.e., hemoglobinuria Presence of heart failure not explained by structural heart disease
*Note: Para conduit device thrombus represents a special case of device malfunction whereby thrombus obstructs the	Abnormal pump parameters consistent with diminished pump
outflow graft from the pump. This should be classified as	output/pump efficiency/pump performance.
major if the thrombus directly interferes with pump function	Arterial non-CNS thromboembolism (please complete adverse event
by obstructing flow and if the pump is replaced because of the thrombus. The event should be classified as minor if	form)
there is visible thrombus with the preserved function of the	De-novo need for inotrope therapy
pump but requires surgical intervention. In all instances,	Treatment with intravenous anti-coagulation i.e., heparin
visual confirmation of the thrombus is sufficient for	Intravenous thrombolytics i.e., tPA
confirmation. **Note: If a suspected device thrombus event is ultimately confirmed through visual inspection following	Intravenous anti-platelet therapy i.e., eptifibatide, tirofiban
pump replacement, urgent transplantation or on autopsy following death, the event may be reclassified to confirmed device thrombus.	
Date of device thrombus onset	

MM/DD/YYYY

Please select method of confirmation: Check all that apply	 Imaging Study Visual Inspection Manufacturer's Report 	
The association of the device malfunction / thrombus event should be classified as:	Patient related i.e. non-adherence with care of device or instructions for use or its peripheral components, non-adherence with the anti-coagulation regimen, pro-coagulation abnormalities	
	 Management related i.e. surgical protocol deviation, sub-optimal anti- coagulation 	
	 Device related i.e. detected in a device at explant or on contrast studies or associated with hemolysis or other controller data consistent with device malfunction 	
	No association identified	

Other Adverse Events

Were there any additional adverse events?	○ Yes ○ No
Cardiac Arrhythmia	◯ Yes
Did a documented arrhythmia result in clinical compromise?	No
	Unknown
Date of event	
MM/DD/YYYY	
	ST= 🔿 Unknown
Cardiac arrhythmia, select type	◯ Sustained ventricular arrhythmia resulting in clinical compromise, or
Any documented arrhythmia that results in clinical	requiring hospitalization or drug treatment, defibrillation, cardioversion, ICD
compromise (e.g., abnormal VAD function [e.g., diminished	therapy, or arrhythmia ablation procedure
VAD flow or suction events], oliguria, pre-syncope or	O Sustained supraventricular arrhythmia resulting in clinical compromise, o
syncope, angina, dyspnea), or requires hospitalization or	requiring hospitalization or drug treatment, cardioversion, ICD therapy, or
treatment (drug therapy, defibrillation, cardioversion, ICD	arrhythmia ablation procedure
therapy (e.g., shock or anti-tachycardia pacing) or	
arrhythmia ablation procedure). Cardiac arrhythmias are classified as 1 of 2 types:	
The association of the cardiac arrhythmia	Patient related e.g., recurrence of pre-operative arrhythmia non-adherence
event should be classified as follows:	with medications
	Management related e.g., related to uncorrected electrolyte imbalance,
	Swan Ganz malposition, secondary to cardiac tamponade
	\bigcirc Device related $$ e.g., pump malfunction, malposition of pump, or inflow
	cannula
	◯ No association identified
Respiratory Failure	◯ Yes
Impairment of respiratory function requiring reintubation,	No
tracheostomy, or the inability to discontinue ventilatory	Unknown
support within 6 days (144 hours) post-VAD implant since	
last STS Intermacs report/last followup. This excludes	
intubation for reoperation or temporary intubation for	
diagnostic or therapeutic procedures.	
Date of event	
MM/DD/YYYY	
	ST= OUnknown
Was this a prolonged intubation (patient	⊖ Yes
intubated greater than 144 hours)?	○ No
Cumulative duration of intubation. Any reintubation except	Unknown
procedures should be documented here. Initial implant	
intubation including any subsequent intubation will be	
considered the initial procedure intubation. Begin counting	
intubation hours when patient is in the unit.	
Number of days of intubation	
Number of days of intubation	
Number of days of intubation	ST: O Unknown

Was there a need for reintubation? Extubated within the first 6 days (144 hours) and then re- intubated. Any reintubation except procedure should be documented here.	YesNoUnknown
Date of reintubation	
	ST= 🔿 Unknown
Was there a need for a tracheostomy?	YesNoUnknown
Date of tracheostomy MM/DD/YYYY	ST= 🔿 Unknown
The association of the respiratory failure event should be classified as follows:	 Patient related e.g., non-adherence to medical therapy resulting in respiratory failure Management related e.g., inadequate diuretic therapy resulting in respiratory dysfunction Device related e.g., device failure resulting in respiratory dysfunction No association identified
Evidence of Venous Thromboemoblic event	 Deep Vein thrombosis Pulmonary Embolis Other, specify
	Unknown None
Enter deep vein thrombosis date MM/DD/YYYY	ST= O Unknown
Enter pulmonary embolus date MM/DD/YYYY	ST= 🔿 Unknown
Enter other date MM/DD/YYYY	ST= O Unknown
Anticoagulant therapy at time of event	Warfarin Heparin Lovenox Aspirin Dipyridamole Clopidogrel (plavix) Argatroban Bivalirudin Fondaparinux Dextran Ticlopidine Hirudin Lepirudin Ximelagatran None

Wound Dehiscence	⊖ Yes
Disruption of the apposed surfaces of a surgical incision,	No
excluding infectious etiology, and requiring surgical repair.	Unknown
Date of event	
MM/DD/YYYY	
	ST= O Unknown
Enter Location	Sternum
	O Driveline Sites
	Site of thoracotomy
	Other, specify
Arterial non-CNS Thromboembolism	○ Yes
An acute systemic arterial perfusion deficit in any non-	No
cerebrovascular organ system due to thromboembolism	Olnknown
confirmed by 1 or more of the following: This definition	
excludes neurologic events. 1) standard clinical and laboratory testing 2) operative findings and 3) autopsy	
findings	
Date of event	
MM/DD/YYYY	
	ST= 🔿 Unknown
Location	O Pulmonary
	Renal
	O Hepatic
	Splenic
	Limb
	Other
	Unknown
Confirmation source	Standard clinical and laboratory testing
	Operative findings
	O Autopsy finding
	Other
	Unknown
Anticoagulant therapy at time of event	Warfarin
	Heparin
	Lovenox
	Aspirin
	Dipyridamole
	Clopidogrel (plavix)
	Argatroban
	Bivalirudin
	Fondaparinux
	Fondaparinux Dextran
	 Fondaparinux Dextran Ticlopidine
	 Fondaparinux Dextran Ticlopidine Hirudin
	 Fondaparinux Dextran Ticlopidine Hirudin Lepirudin
	 Fondaparinux Dextran Ticlopidine Hirudin

Other, specify

Hypertension New-onset blood pressure elevation greater than or equal to 140 mm Hg systolic or 90 mm Hg diastolic (pulsatile pump) or 110 mm Hg mean pressure (rotary pump).	YesNoUnknown
Date of event	
	ST= O Unknown
Hepatic Dysfunction	◯ Yes
An increase in any two of the following hepatic laboratory values (total bilirubin, AST, and ALT) to a level greater than 3 times the upper limit of normal for the hospital, beyond 14 days post-implant (or if hepatic dysfunction is the primary cause of death).	 No Unknown
Date of event	
MM/DD/YYYY	ST= 🔿 Unknown
Total bilirubin measurement	
	ST: O Unknown
	○ Not Done
SGOT // AST measurement	
	ST: O Unknown
	○ Not Done
SGPT // ALT measurement	
	ST: O Unknown
	○ Not Done
Psychiatric Episode	◯ Yes
Disturbance in thinking, emotion or behavior that causes substantial impairment in functioning or marked subjective distress and requires intervention. Intervention is the addition of new psychiatric medication, hospitalization, or referral to a mental health professional for treatment. Suicide is included in this definition.	 No Unknown
Date of event	
MM/DD/YYYY	ST= O Unknown
The psychiatric event should be classified according to the DSM 5 classification: (select one)	 Axis I: Clinical disorders, including anxiety disorders, mood disorders, schizophrenia and other psychotic disorders. Axis II: Personality disorders and mental retardation. Axis III: General medical conditions. Axis IV: Psychosocial and environmental problems. Unknown
Pericardial Effusion Did a pericardial effusion that required drainage occur?	YesNoUnknown

Date of event	
	ST= 〇 Unknown
Signs of tamponade	⊖ Yes
	ONO
Method of drainage	Surgical intervention
	Cath
	Unknown
Myocardial Infarction	○ Yes
Did a myocardial infarction occur?	No
	Unknown
Date of event	
	ST= 🔿 Unknown
Other events	○ Yes
Did any other major serious adverse event occur?	No
	Unknown
Description	
Other Major Serious Adverse Event. An event that causes	
clinically relevant changes in the patient's health (e.g.	
cancer).	
Date of event	
MM/DD/YYYY	ST= OUnknown

Explant

Was device explanted for any reason (includes exchanges or "turned off")?	○ Yes ○ No
Explant date	
-	ST= 〇 Unknown
Device explanted	○ LVAD
Device explanted	
Did patient suffer major hemolysis related solely to this device?	YesNoUnknown
Patient's Home Street Address	
	ST= O Unknown O Undisclosed
Patient's Home City	
	ST= 〇 Unknown
	○ Undisclosed
Patient's Home State/Territory/Province	⊖ Alabama
	⊖ Alaska
	⊖ American Samoa
	⊖ Arizona
	⊖ Arkansas
	⊖ California
	 ○ Delaware ○ District of Columbia
	Federated States of Micronesia
	 Florida
	⊖ Georgia
	⊖ Guam
	⊖ Hawaii
	○ Idaho
	⊖ Illinois
	◯ Indiana
	⊖ Iowa
	⊖ Kansas
	⊖ Kentucky
	⊖ Louisiana
	○ Maine
	⊖ Marshall Islands
	⊖ Maryland
	⊖ Massachusetts
	⊖ Michigan

- \bigcirc Minnesota
- ⊖ Mississippi
- \bigcirc Missouri
- \bigcirc Montana
- ⊖ Nebraska
- \bigcirc Nevada
- New Hampshire
- New Jersey
- O New Mexico
- O New York
- O North Carolina
- ◯ North Dakota
- Northern Mariana Islands
- \bigcirc Ohio
- ⊖ Oklahoma
- \bigcirc Oregon
- \bigcirc Palau
- \bigcirc Pennsylvania
- Puerto Rico
- \bigcirc Rhode Island
- \bigcirc South Carolina
- ⊖ South Dakota
- \bigcirc Tennessee
- \bigcirc Texas
- \bigcirc Utah
- \bigcirc Vermont
- \bigcirc Virgin Islands
- \bigcirc Virginia
- \bigcirc Washington
- ⊖ West Virginia
- \bigcirc Wisconsin
- $\bigcirc \text{Wyoming}$
- ⊖ Alberta
- ⊖ Ontario
- \bigcirc Nova Scotia
- ⊖ British Columbia
- \bigcirc Manitoba
- \bigcirc Quebec
- New Brunswick
- \bigcirc Prince Edward Island
- \bigcirc Saskatchewan
- \bigcirc Newfoundland and Labrador
- ⊖ Unknown

Patient's Home Zip Code

ST= 🔾 Unknown

Was the patient on ECMO at any time since implant of their durable LVAD?

NoUnknown

O Yes

Total number of days on ECMO

 $\mathsf{ST=} \bigcirc \mathsf{Unknown}$

If death or transplant occurred post cessation of MCSD support please complete the 1 year post cessation form.

Explant reason	⊖ Explant - Death
	O Explant - Transplanted
	⊖ Explant - Exchange
	◯ Explant - No new device
	\bigcirc Turned off (decommissioned)
Explant reasons Check all that apply	 Device Malfunction: Elective (Please fill out Device Malfunction/Thrombus form) Device Malfunction: Emergent (Please fill out Device
	Malfunction/Thrombus form) Device Thrombosis: Elective (Please fill out Device Malfunction/Thrombus form)
	Device Thrombosis: Emergent (Please fill out Device
	Malfunction/Thrombus form)
	Infection: Elective (Please fill out Infection form)
	Infection: Emergent (Please fill out Infection form) Other
Exchanged Device FDA IDE Trial	Yes
device was exchanged, was the new device part of an	○ Tes ○ No
FDA IDE trial?	Unknown
Name of FDA IDE Trial	
Evolant record	Recovery
Explant reasons	 Recovery Withdrawal of Support
Check all that apply	Device Malfunction: Elective (Please fill out Device
	Malfunction/Thrombus form)
	Device Malfunction: Emergent (Please fill out Device
	Malfunction/Thrombus form)
	Device Thrombosis: Elective (Please fill out Device
	Malfunction/Thrombus form)
	Device Thrombosis: Emergent (Please fill out Device Malfunction/Thrombus form)
	Infection: Elective (Please fill out Infection form)
	Infection: Emergent (Please fill out Infection form)
	Other
Reasons	Recovery
Check all that apply	Withdrawal of Support
	Device Malfunction: Elective (Please fill out Device Malfunction (Thrombus form)
	Malfunction/Thrombus form) Device Malfunction: Emergent (Please fill out Device)
	Malfunction/Thrombus form)
	Device Thrombosis: Elective (Please fill out Device
	Malfunction/Thrombus form)
	Device Thrombosis: Emergent (Please fill out Device
	Malfunction/Thrombus form)
	Infection: Elective (Please fill out Infection form)
	 Infection: Emergent (Please fill out Infection form) Other

	07/01/2024
If yes, please fill out the Device Malfunction/Thrombosis	○ No
form	Unknown
Evidence of Pump Thrombosis?	○ Yes
If yes, please fill out the Device Malfunction/Thrombosis	○ No
form	OUnknown
Transplant date	
	ST= OUnknown
Waitlist ID	
May enter '99999', when the waitlist ID number is not known.	

Death

Did the patient die?	○ Yes ○ No
Death date	
MM/DD/YYYY	ST= 〇 Unknown
Patient's Home Street Address	
	ST= 🔾 Unknown
	○ Undisclosed
Patient's Home City	
-	
	ST= O Unknown
Patient's Home State/Territory/Province	⊖ Alabama
-	\bigcirc Alaska
	⊖ American Samoa
	⊖ Arizona
	⊖ Arkansas
	⊖ California
	\bigcirc Colorado
	⊖ Delaware
	◯ District of Columbia
	○ Federated States of Micronesia
	⊖ Florida
	⊖ Guam ⊝ Hawaii
	⊖ Idaho
	○ Illinois
	○ Indiana
	○ lowa
	⊖ Kansas
	⊖ Kentucky
	⊖ Louisiana
	⊖ Maine
	⊖ Marshall Islands
	\bigcirc Maryland
	⊖ Massachusetts
	⊖ Michigan
	○ Minnesota
	○ Mississippi
	○ Missouri
	○ Montana
	○ Nebraska
	 ○ Nevada ○ New Hampshire

- \bigcirc New Jersey
- \bigcirc New Mexico
- New York
- North Carolina
- North Dakota
- \bigcirc Northern Mariana Islands
- \bigcirc Ohio
- \bigcirc Oklahoma
- ⊖ Oregon
- \bigcirc Palau
- Pennsylvania
- Puerto Rico
- \bigcirc Rhode Island
- \bigcirc South Carolina
- South Dakota
- ⊖ Texas
- \bigcirc Utah
- \bigcirc Vermont
- \bigcirc Virgin Islands
- \bigcirc Virginia
- \bigcirc Washington
- \bigcirc West Virginia
- \bigcirc Wisconsin
- \bigcirc Wyoming
- \bigcirc Alberta
- \bigcirc Ontario
- \bigcirc Nova Scotia
- ⊖ British Columbia
- \bigcirc Manitoba
- \bigcirc Quebec
- O New Brunswick
- \bigcirc Prince Edward Island
- \bigcirc Saskatchewan
- \bigcirc Newfoundland and Labrador
- \bigcirc Unknown

ST= O Unknown
◯ Yes
No
Unknown
○ Yes
○ No
O Unknown
◯ Yes
O No
Unknown
Onkilowit
ST= O Unknown

Post mortem device explant?	○ Yes
	○ No
	Unknown
Did the device go to the	◯ Yes
manufacturer?	No
	Unknown
Location of death	◯ In hospital
Elocation of death	Out of hospital
	O Unknown
Timing of death	O Expected
	O Unexpected
	Unknown
Did COVID-19 contribute to death?	○ Yes
Did COVID-19 contribute to death?	O No
	0 Unknown
Primary cause of death	
	○ Respiratory: Respiratory Failure
	○ Respiratory: COVID-19
	\bigcirc Respiratory: Pulmonary: Other, specify
	○ Circulatory: Arterial Non-CNS Thromboembolism
	○ Circulatory: Myocardial Infarction
	○ Circulatory: Myocardial Rupture
	○ Circulatory: Ruptured Aortic aneurysm
	○ Circulatory: Right Heart Failure
	○ Circulatory: Major Bleeding
	○ Circulatory: Cardiac Arrhythmia
	O Circulatory: Hemolysis
	O Circulatory: Hypertension
	O Circulatory: Other, Specify
	○ Circulatory: Sudden unexplained death
	O Circulatory: CHF
	○ Circulatory: Heart Disease
	Circulatory: End Stage Cardiomyopathy Circulatory: End Stage Lashamic Cardiomyopathy
	Circulatory: End Stage Ischemic Cardiomyopathy Circulatory: Paripardial Eluid Callection (offician)
	Circulatory: Pericardial Fluid Collection (effusion) Directive (Intention) or CI/CLI): Happitia Duration
	 Digestive (Intestinal or GI/GU): Hepatic Dysfunction Digestive (Intestinal or GI/GU): Renal Dysfunction
	 Digestive (Intestinal of GI/GU): Renal Dystunction Digestive (Intestinal of GI/GU): GI Disorder
	 Digestive (Intestinal of GI/GU): Fluid/Electrolyte Disorder
	O Digestive (Intestinal or GI/GU): Pancreatitis
	 Digestrie (integration of 0, 00) is an evaluate Nervous System: Neurological Dysfunction
	O Psychiatric Episode/Suicide
	O Major Infection
	O Device Malfunction
	◯ Multiple System Organ Failure (MSOF)
	○ Withdrawal of Support, specify
	⊖ Cancer
	○ Wound Dehiscence
	⊖ Trauma/accident, specify
	○ Endocrine
	⊖ Hematological
	⊖ Other, specify

Select type of cancer	○ CNS
	⊖ GI
	⊖ Lymph
	○ ENT
	○ Renal
	⊖ Breast
	○ Reproductive
	⊖ Skin
	⊖ Other
	OUnknown
Specify support withdrawn	
Specify	

Extracorporeal / Paracorporeal Pump Change

Exchange of extracorporeal/paracorporeal pumps and pump components. Please use this form when only extracorporeal/paracorporeal pump components (i.e. cannulaes, and pumps) are exchanged. If components/pump are exchanged in the surgery suite and/or the pump is exchanged to a different device brand (i.e. Maquet to Berlin Heart) then please fill out the device explant form and enter a new device and do not fill out this form.

Was there an extracorporeal pump/component exchange?	○ Yes ○ No
Pump/Component Exchange Date: Enter exchange date in MMDDYYYY format.	ST= 〇 Unknown
Device Type:	C LVAD RVAD BIVAD
Component Exchanged: Select all that apply. Note: not all components are applicable to all devices.	Pump Inflow Cannula Parts (not requiring OR visit) Outflow Cannula Parts (not requiring OR visit) Driving Tube Connector Other, specify
RVAD Component Exchanged: Select all that apply. Note: not all components are applicable to all devices.	 Pump Inflow Cannula Parts (not requiring OR visit) Outflow Cannula Parts (not requiring OR visit) Driving Tube Connector Other, specify
Reason for Exchange Select one of the following.	 Thrombus NOT associated with hemolysis Change in hemodynamics Clinical status Device parameters (please enter Device Malfunction Form) Upsizing device because of patient growth status Other, specify

Hemolysis

Must be within 30 days of event

Was there a hemolysis adverse event?	○ Yes ○ No
If yes, select type	 Minor Hemolysis A plasma-free hemoglobin value greater than 20 mg/dl or a serum LDH level greater than two and one-half times the upper limits of the normal range at the implanting center occurring after the first 72 hours post-implant in the absence of clinical symptoms or findings of hemolysis or abnormal pump function (see Major Hemolysis for a list of symptoms and findings) and thought not attributable to laboratory error. Major Hemolysis A plasma-free hemoglobin value greater than 20 mg/dl or a serum LDH level greater than two and one-half times the upper limits of the normal range at the implanting center occurring after the first 72 hours post-implant and associated with clinical symptoms or findings of hemolysis or abnormal pump function.
If major hemolysis, select condition Major Hemolysis requires the presence of at least one of these conditions Note: Isolated LDH elevations should not be reported as hemolysis if attributable to laboratory error, hepatic or pulmonary dysfunction. If suspected, confirmatory testing of LDH, LDH isoenzymes and plasma-free hemoglobin within 24 hours should be obtained to rule out laboratory error. All causes of hemolysis should be reported regardless of whether they are thought attributable to the device or not.	 Hemoglobinuria tea-colored urine Anemia hematocrit <= 25 or hemoglobin <= 8 not explained by chronic illness or usual post-VAD state Hyperbilirubinemia total bilirubin above 2 mg/dl, with predominately indirect component Pump malfunction and/or abnormal pump parameters as per section on device malfunction
The association of the hemolysis event should be classified as (select one):	 Patient related e.g., hematologic abnormalities Management related e.g., drug related, secondary pump or IABP related, pump malposition Device related e.g., related to pump thrombosis or device malfunction No association identified
Date of Event MM/DD/YYYY	ST= O Unknown
Please enter the peak Plasma-free hemoglobin (PFH).	ST: O Unknown O Not Done
What is your hospital's upper limit of the normal range for peak PFH?	ST: O Unknown O Not Done
Please enter the peak serum lactate dehydrogenase (LDH)	ST: O Unknown O Not Done

What is your hospital's upper limit of the	
normal range of LDH?	ST: O Unknown
	○ Not Done
Min. HCT	
	ST: O Unknown
	○ Not Done
Мах. НСТ	
	ST: O Unknown
	○ Not Done
Min. HGB	
	ST: O Unknown
	○ Not Done
Max. HGB	
Max. HGB	
	ST: O Unknown
	○ Not Done
Highest Total Bilirubin	
Tighest Total Dillubili	
	ST: O Unknown
	⊖Not Done

Right Heart Failure

Was there a Right Heart Failure Adverse	○ Yes
Event?	○ No
Date of Diagnosis	
MM/DD/YYYY	ST= OUnknown
If yes, select type	 Early Acute RHF Need for implantation of a temporary or durable RVAD (including ECMO) concomitant with LVAD implantation (RVAD implanted before the patient leaving the operating room). REMINDER: Only check this option if the RVAD was implanted during the LVAD implantation procedure Early post-implant RHF NOTE: Does NOT include RVAD/BiVAD placed during LVAD implantation Late RHF
Early post-implant RHF: Select category	Need for implantation of a temporary or durable RVAD (including ECMC
	within 30 days following LVAD implantation for any duration of time
	 Initiation or continuation of inotropic or vasopressor support or inhaled nitric oxide after 14 days following LVAD implantation or having to initiate
	this support within 30 days of implant for a duration of at least 14 days
	 Death occurring in patients within 30 days of LVAD implant who have no
	received an RVAD but who remain on inotropes or vasopressors at the
	time of death and meet criteria for the diagnosis of RHF The contribution of early post-implant RHF to the death (primary or secondary) will be made by the clinical care team.
If late RHF, select category	○ Need for implantation of an RVAD (including ECMO) greater than 30
	days after an LVAD implantation This may occur within the index
	hospitalization for LVAD implant or during subsequent rehospitalization for an
	diagnosis which resulted in a need for temporary or permanent right-sided
	mechanical assist devices
	 Hospitalization that occurs greater than 30 days post-implant and which requires intravenous diuretics or inotropic support for at least 72 hours

The primary diagnosis of right heart failure is made by the presence of at least two of the following clinical findings or is associated with at least one of the following manifestations

Initiation or continuation of inotropic or vasopressor support clinical findings Check all that are present	 Ascites Functionally limiting peripheral edema >= 2 Elevated estimated jugular venous pressure (>= 6cm) at least half way up the neck in an upright patient or hepatomegaly (> 3cm below costal margin) Elevated measured central venous pressure (CVP) or right atrial pressure (RAP) (>= 16mmHg) Other, Specify
Initiation or continuation of inotropic or vasopressor support manifestations Check all that are present	 Renal failure with serum creatinine > 2x baseline values Liver injury with an elevation of at least 2x upper limit normal in AST/ALT or total bilirubin > 2.0 SvO2 < 50% Cardiac index < 2.2 liter/min/m2

	 Reduction in pump flow of > 30% from the previous baseline in the absence of mechanical causes such as cardiac tamponade or tension pneumothorax Elevated lactate > 3.0 mmol/liter Other, Specify
Death clinical findings Check all that are present	 Ascites Functionally limiting peripheral edema >= 2 Elevated estimated jugular venous pressure (>= 6cm) at least half way up the neck in an upright patient or hepatomegaly (> 3cm below costal margin) Elevated measured central venous pressure (CVP) or right atrial pressure (RAP) (>= 16mmHg) Other, Specify
Death manifestations Check all that are present	 Renal failure with serum creatinine > 2x baseline values Liver injury with an elevation of at least 2x upper limit normal in AST/ALT or total bilirubin > 2.0 SvO2 < 50% Cardiac index < 2.2 liter/min/m2 Reduction in pump flow of > 30% from the previous baseline in the absence of mechanical causes such as cardiac tamponade or tension pneumothorax Elevated lactate > 3.0 mmol/liter Other, Specify
Hospitalization clinical findings Check all that are present	 Ascites Functionally limiting peripheral edema >= 2 Elevated estimated jugular venous pressure (>= 6cm) at least half way up the neck in an upright patient or hepatomegaly (>3 cm below costal margin) Elevated measured central venous pressure (CVP) or right atrial pressure (RAP) (>= 16mmHg) Other, Specify
Hospitalization manifestations Check all that are present	 Renal failure with serum creatinine > 2x baseline values Liver injury with an elevation of at least 2 x upper limit normal in AST/ALT or total bilirubin > 2.0 SvO2 < 50% Cardiac index < 2.2 liter/min/m2 Reduction in pump flow of > 30% from the previous baseline in the absence of mechanical causes such as cardiac tamponade or tension pneumothorax Elevated lactate > 3.0 mmol/liter Other, Specify
The association of the RHF event should be classified as	 Patient related e.g., pre-implant RHF, volume overload secondary to non-adherence with medical management, severe aortic regurgitation, cardiorenal syndrome, arrhythmia induced, pulmonary disease, elevated pulmonary vascular resistance Management related e.g., related to implant surgery, volume overload, inotropic agent withdrawal

Renal Dysfunction

If the patient has acute renal failure diagnosis prior to the implant, do not enter an acute renal failure ae for that patient. If the acute renal failure escalates to chronic after the VAD implant, then document as chronic renal failure

Was there a Renal Dysfunction adverse event?	○ Yes ○ No
If yes, select type	 Acute Renal Dysfunction Chronic Renal Dysfunction An increase in serum creatinine of 2 mg/dl or greater above baseline, or requirement for renal replacement therapy, either of which is sustained for at least 90 days
If acute, select stage	 Stage 1 Increase in serum creatinine to 150% to 199% (1.5 to 1.99x increase compared with baseline) or increase of > 0.3 mg/dl (> 26.4 mmol/liter) or urine output < 0.5 ml/kg/h for > 6 but < 12 hours Stage 2 Increase in serum creatinine to 200% to 299% (2.0 to 2.99x increase compared with baseline) or urine output < 0.5 ml/kg/h for > 12 but < 24 hours Stage 3 Increase in serum creatinine to > 300% (> 3x increase compared with baseline) or serum creatinine of > 4.0 mg/dl (> 354 mmol/liter) with an acute increase of at least 0.5 mg/dl (44 mmol/liter) or urine output < 0.3 ml/kg/h for > 24 hours or anuria for > 12 hours or need for renal replacement therapy (includes dialysis or ultrafiltration) regardless of above criteria
If stage 1, Select all that apply	 Increase in serum creatinine to 150% to 199% (1.5 to 1.99x increase compared with baseline) Increase of > 0.3 mg/dl (> 26.4 mmol/liter) Urine output < 0.5 ml/kg/h for > 6 but < 12 hours
If stage 2, Select all that apply	 Increase in serum creatinine from 200% to 299% (2.0 to 2.99x increase compared with baseline) Urine output < 0.5 ml/kg/h for > 12 but < 24 hours
If stage 3, Select all that apply	 Increase in serum creatinine to > 300% (> 3x increase compared with baseline) Serum creatinine of > 4.0 mg/dl (>354 mmol/liter) with an acute increase of at least 0.5 mg/dl (44 mmol/liter) Urine output <0.3 ml/kg/h for >24 hours Anuria for >12 hours Need for renal replacement therapy (includes dialysis or ultrafiltration) regardless of above criteria
Date of event MM/DD/YYYY	ST= OUnknown

 The association of the renal dysfunction event should be classified as follows Patient related e.g., non-adherence to medical therapy resulting in renal dysfunction Management related e.g., overprescribing of diuretic therapy or administration of renal toxic drugs or contrast agents that result in renal dysfunction Device related e.g., device failure resulting in renal dysfunction No association identified 	2	 dysfunction Management related e.g., overprescribing of diuretic therapy or administration of renal toxic drugs or contrast agents that result in renal dysfunction Device related e.g., device failure resulting in renal dysfunction 	
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